

# Characterization of goniochromism: current status and pending challenges

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# Outline

- **What is? How is it measured and perceived?**
  - Current instrumentation and standards
- **How these visual effects are caused by?**
- **New findings from the GVC-UA**
- **Future challenges:**
  - Modeling and prediction of visual appearance
  - New materials and process technologies
  - Visual appearance matching control management

# What is goniochromism?

- **Abrupt color change due to the light source and observer angle variations**
  - **Lightness and chroma variations**
  - **Texture variations: sparkle (glitter), graininess, etc**



# Economical impact of goniochromism

- Industrial applications
  - Special-effect pigments
    - Metallic, pearlescent (iridescent) pigments
  - Automotive coatings
  - Plastics
  - Cosmetics
  - Security inks
  - Building materials
  - Computer graphics
    - Virtual Reality, etc





# Economical impact of goniochromism

- 80 % of automotive coatings are effect coatings




- Metallic coatings accentuate the curved profile: Light – Dark Flop



- Pearlescent coatings result in a more spectacular color effect: Color Flop



- Effect finishes with special glitter effect (Xirallics™)

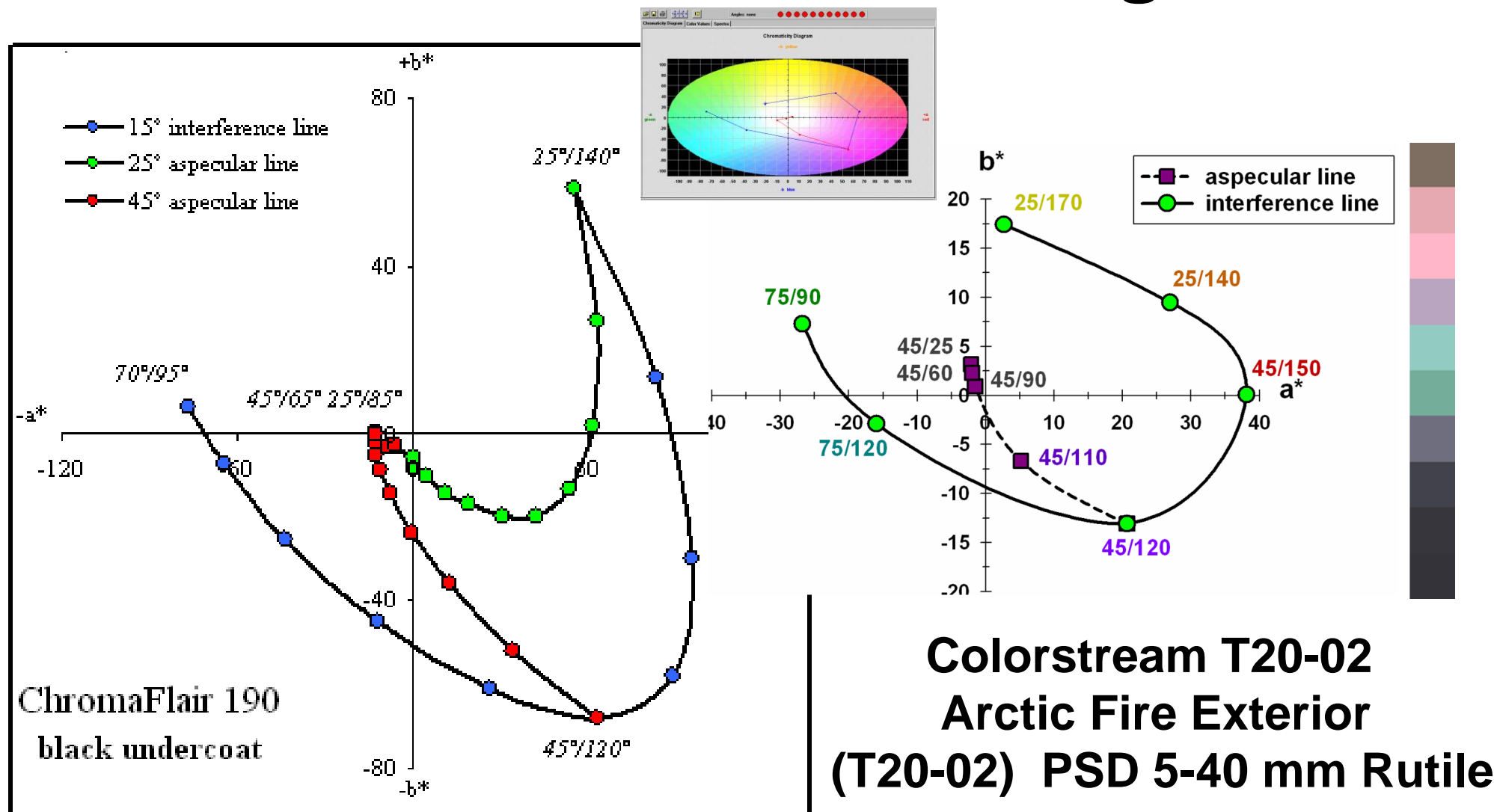
Photo: Courtesy of 

# Practical demonstration with GVB

- **Gonio-Vision-Box as portable lighting booth**
  - Sample: Viola Fantasy – Merck
  - <http://blog.goniovision.com/gonio-vision-box/portable-gvb/>

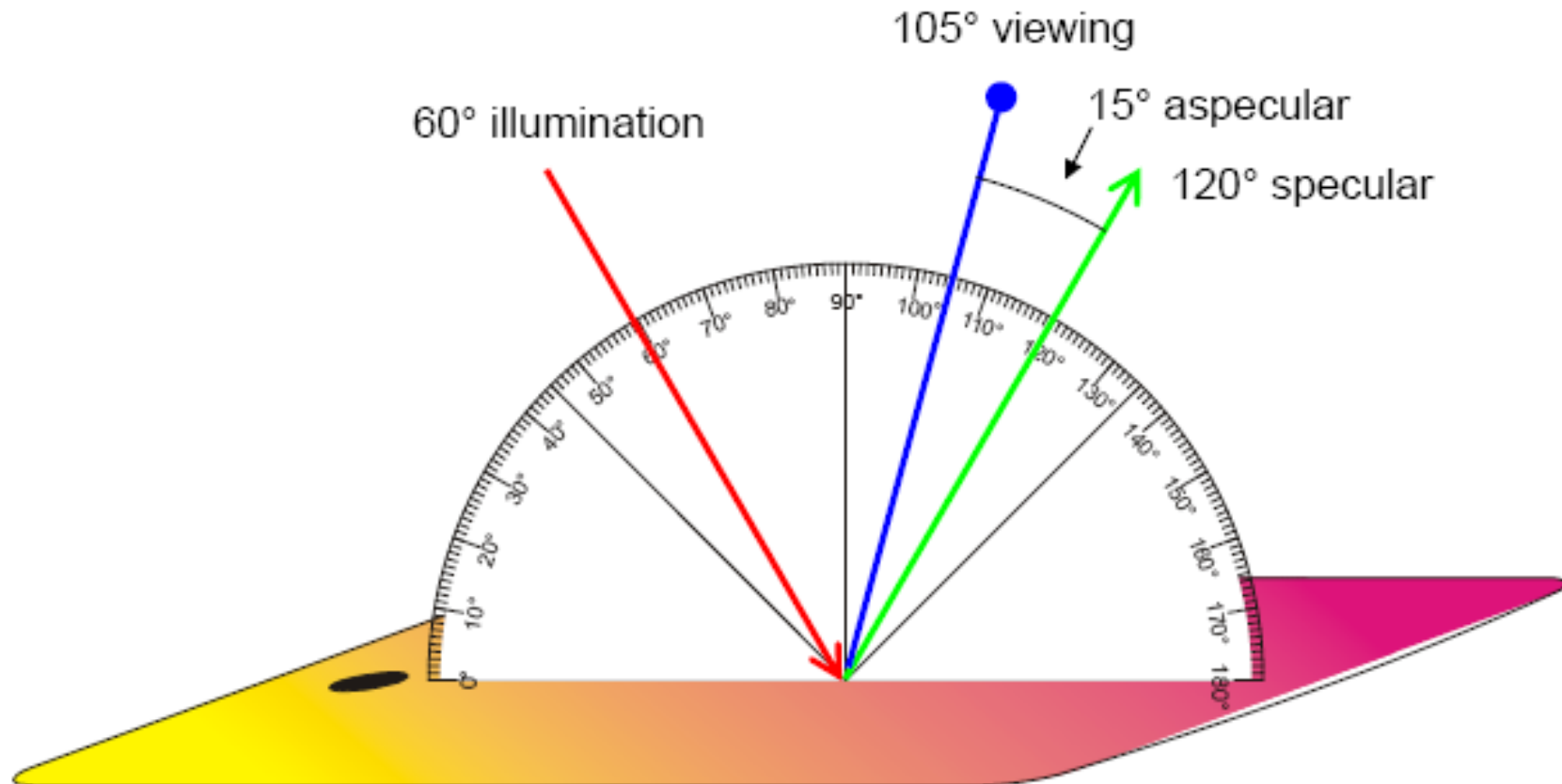


# How are these color changes?



# Measurement geometries

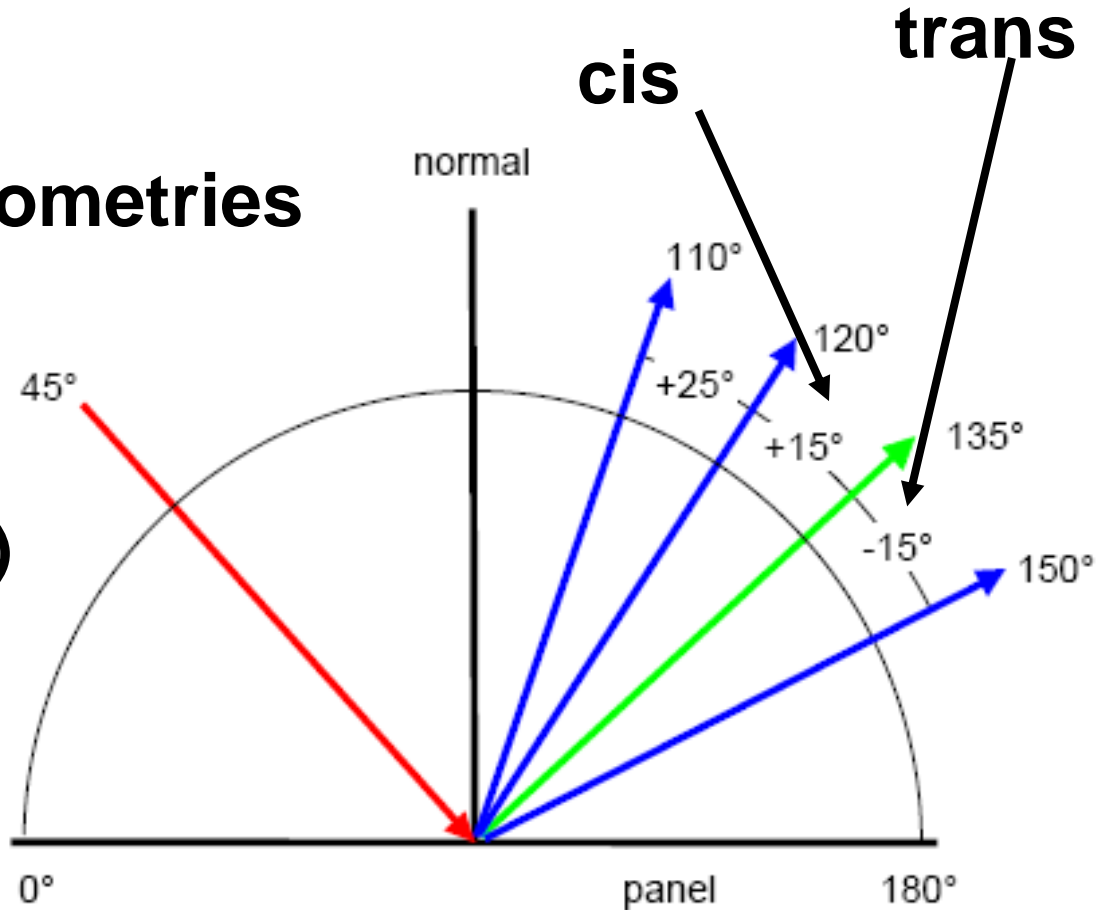
- 1<sup>st</sup> approximation to recommended notation:





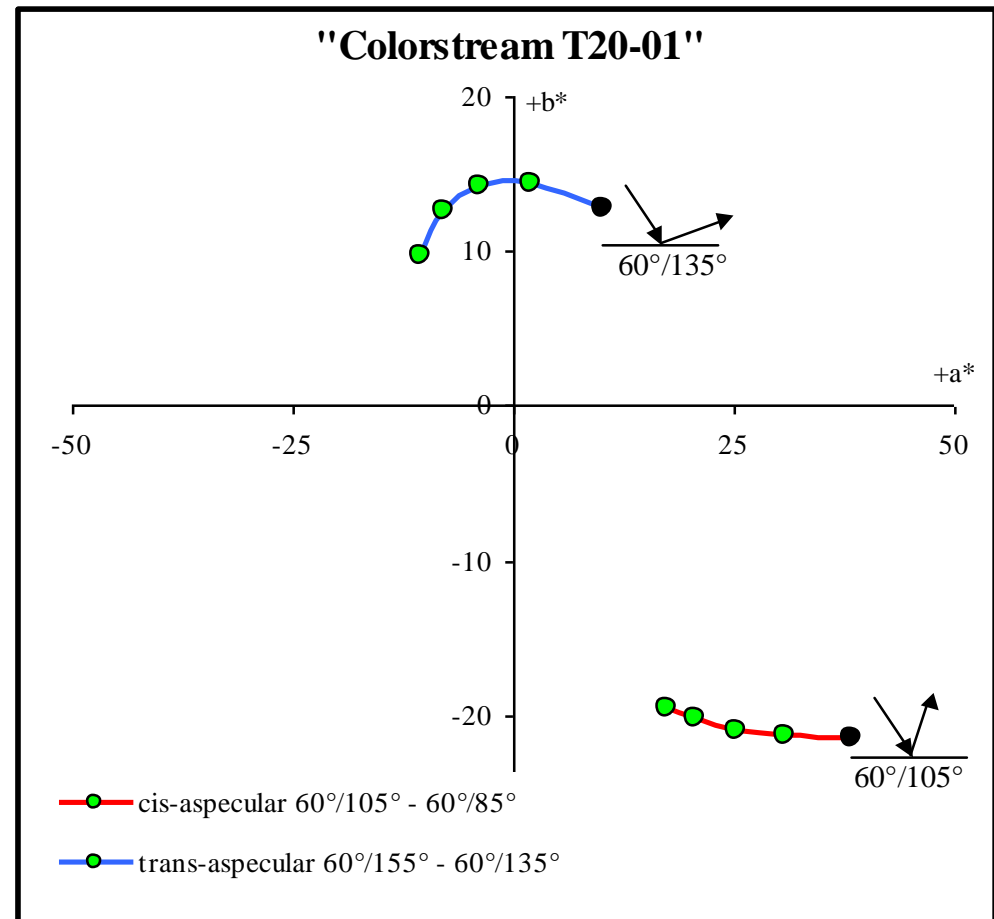
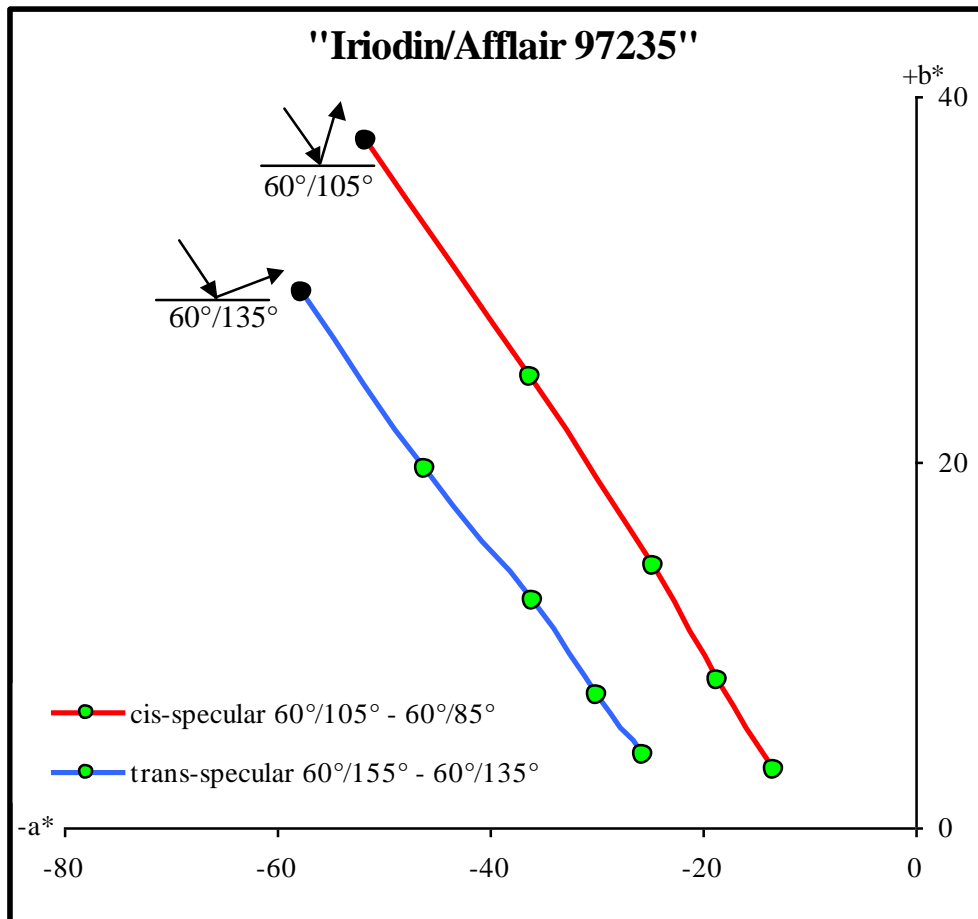
# Measurement geometries

- 1<sup>st</sup> approximation to recommended notation:
  - Sign criterion
  - Cis vs. trans geometries
  - Examples:
    - $45^\circ \times 150^\circ$
    - $45^\circ \times 135^\circ$  (spec)
    - $45^\circ \times 120^\circ$
    - $45^\circ \times 110^\circ$



# Measurement geometries

- 1<sup>st</sup> approximation to recommended notation:



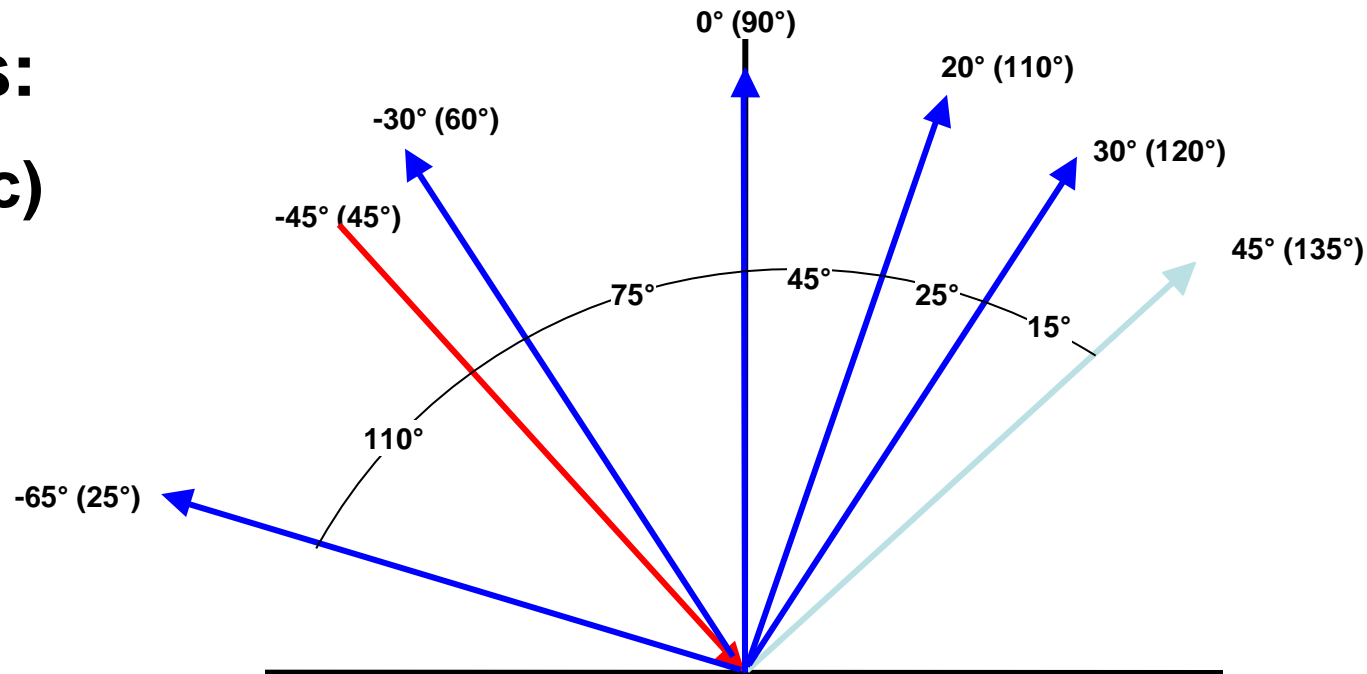
# Measurement geometries

- **2<sup>nd</sup> approximation to recommended notation:**
  - **Aspecular lines:**
    - **Constant illumination angle**
    - **Variable aspecular angle**
  - **Interference lines:**
    - **Variable illumination angle**
    - **Constant aspecular angle, with sign criterion**
  - **In vs. out of incidence plane**

# Measurement geometries

## – Aspecular lines:

- $45^\circ \times 135^\circ$  (spec)
- $45^\circ \times 120^\circ$
- $45^\circ \times 110^\circ$
- $45^\circ \times 90^\circ$
- $45^\circ \times 60^\circ$
- $45^\circ \times 25^\circ$



- angle of illumination fixed
- specular angle fixed
- viewing angle changed
- aspecular angle changed

# Measurement geometries

## – From normal and specular angle:

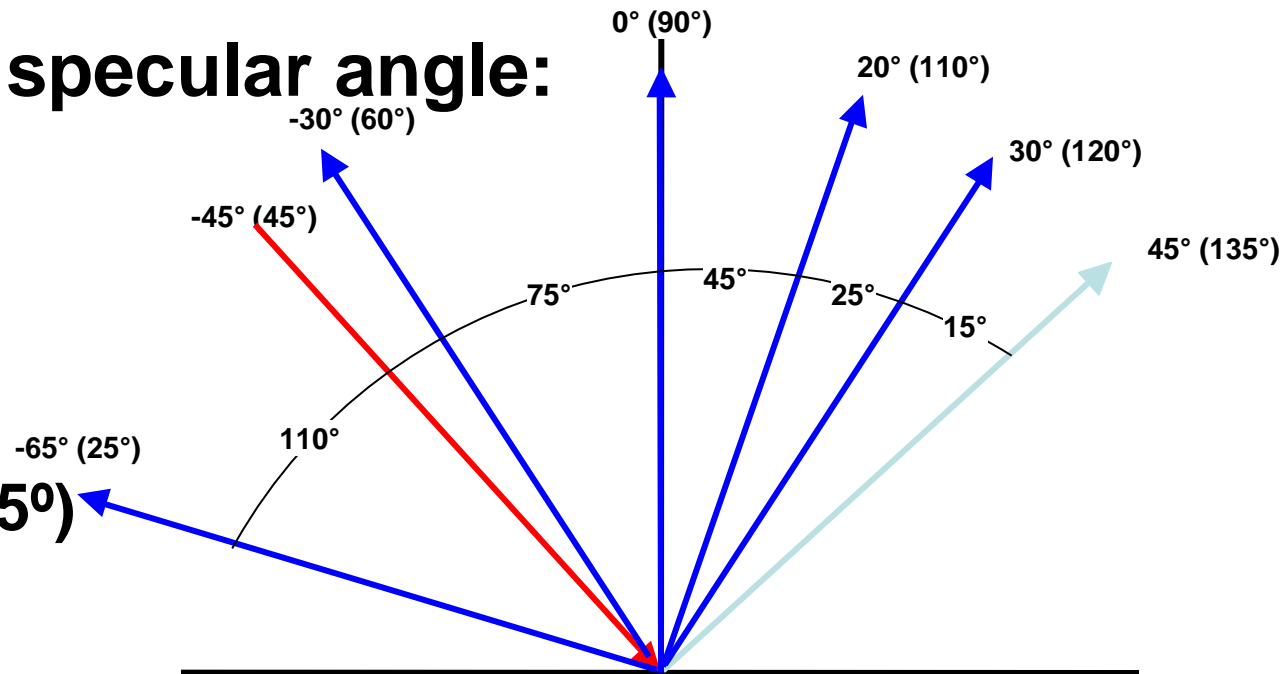
–  $45^\circ \times 135^\circ$  (spec)  
=  $45^\circ : -45^\circ$  (as  $0^\circ$ )

–  $45^\circ \times 120^\circ$   
=  $45^\circ : -30^\circ$  (as  $+15^\circ$ )

–  $45^\circ \times 110^\circ$   
=  $45^\circ : -20^\circ$  (as  $+25^\circ$ )

–  $45^\circ \times 90^\circ$   
=  $45^\circ : 0^\circ$  (as  $+45^\circ$ )

Etc.



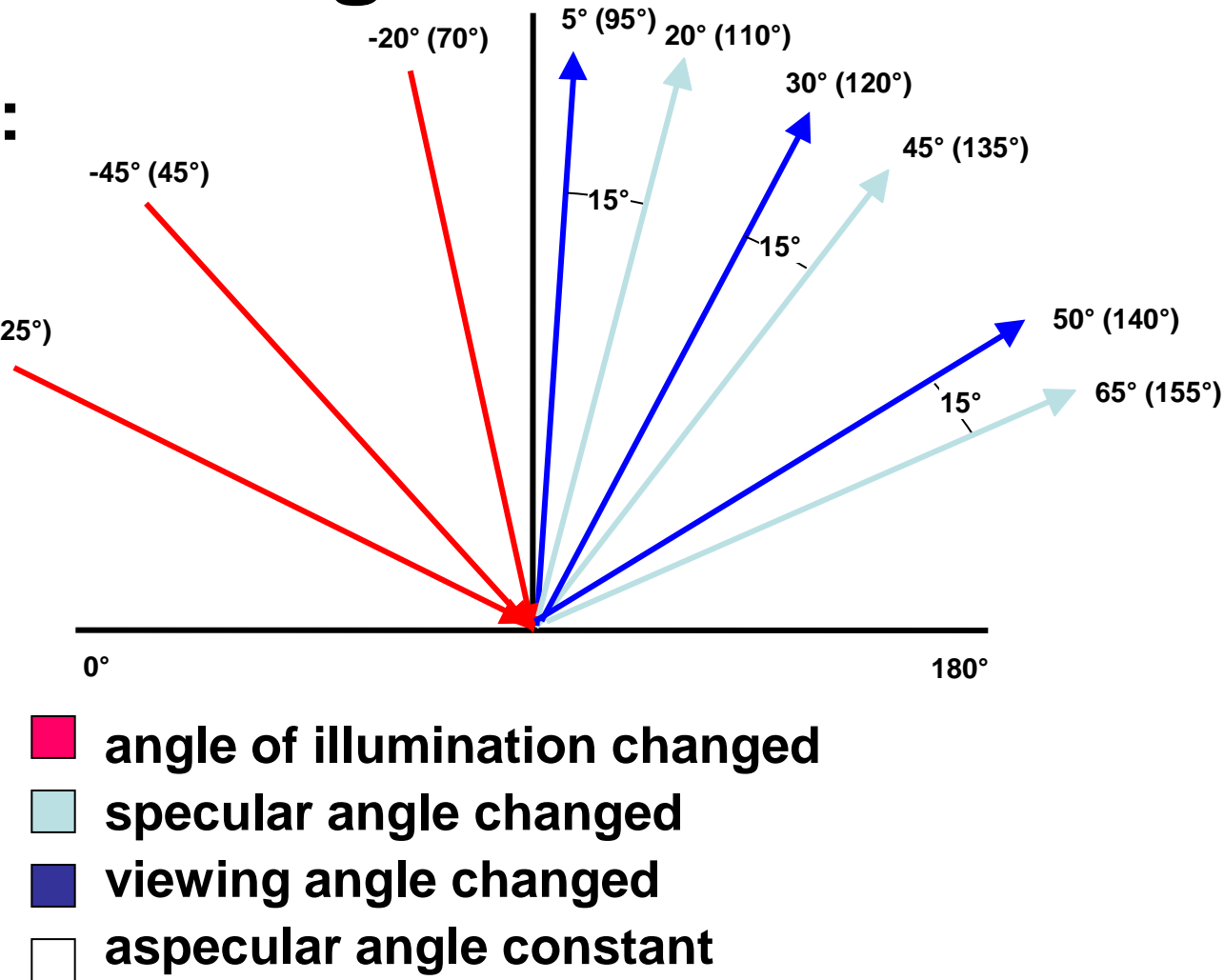
- angle of illumination fixed
- specular angle fixed
- viewing angle changed
- aspecular angle changed
-



# Measurement geometries

## – Interference lines:

- 25°/155° (spec)
- 25°/140° (+15°)
- 45°/135° (spec)
- 45°/120° (+15°)
- 70°/110° (spec)
- 70°/95° (+15°)



# Measurement geometries

## – From normal and specular angle:

–  $25^\circ \times 140^\circ$

=  $65^\circ : -50^\circ$  (as  $+15^\circ$ )

= 65as15

–  $45^\circ \times 120^\circ$

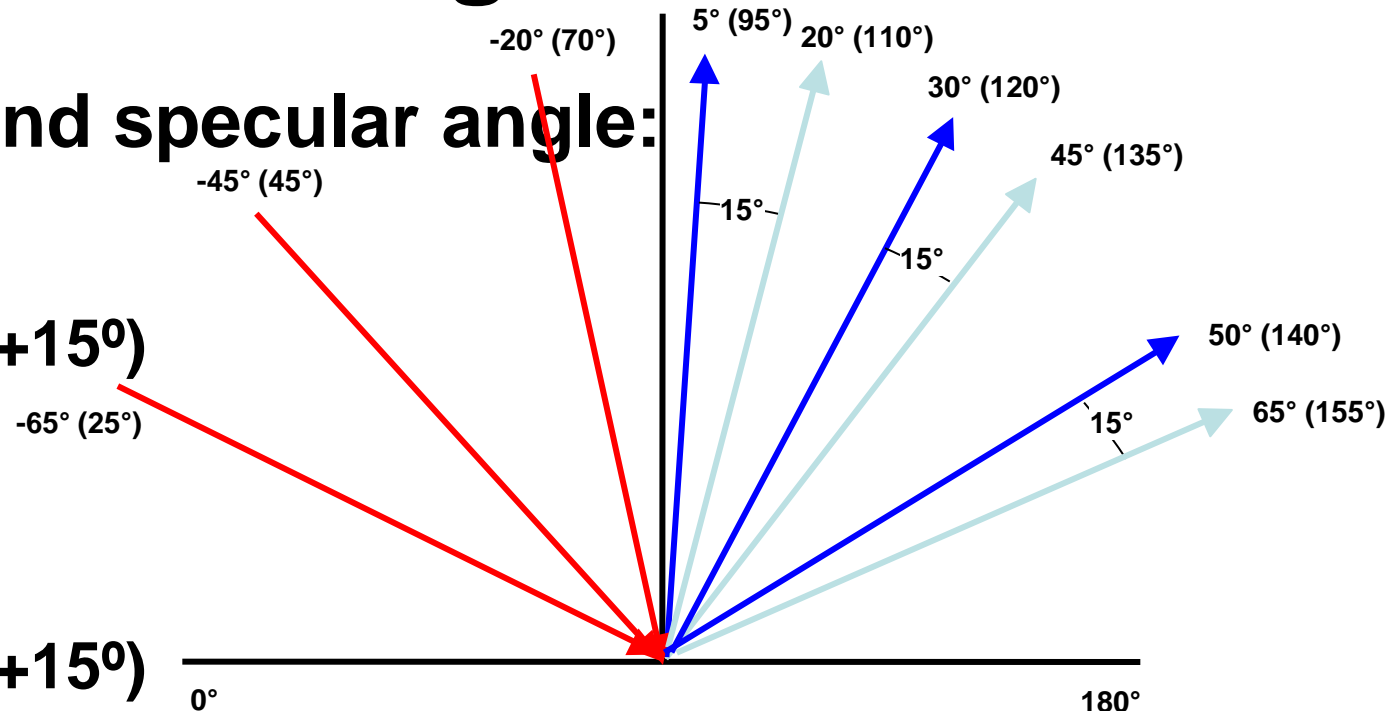
=  $45^\circ : -30^\circ$  (as  $+15^\circ$ )

= 45as15

–  $70^\circ \times 95^\circ$

=  $20^\circ : -5^\circ$  (as  $+15^\circ$ )

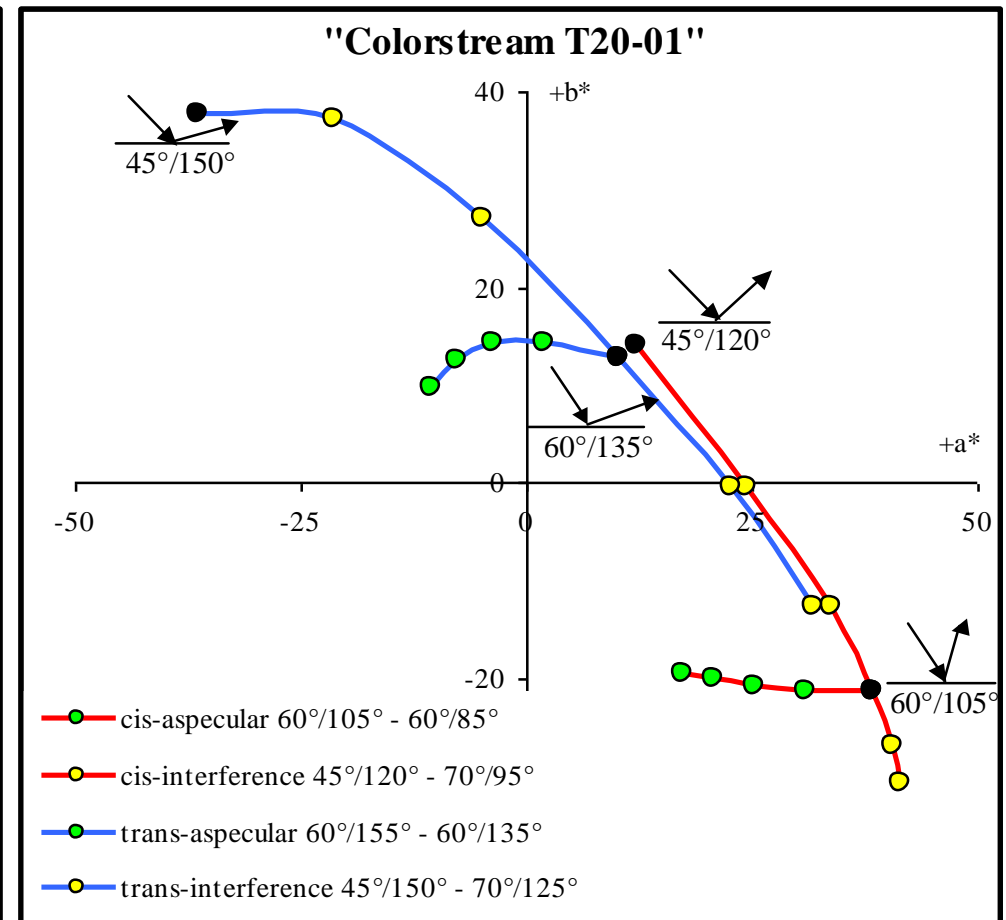
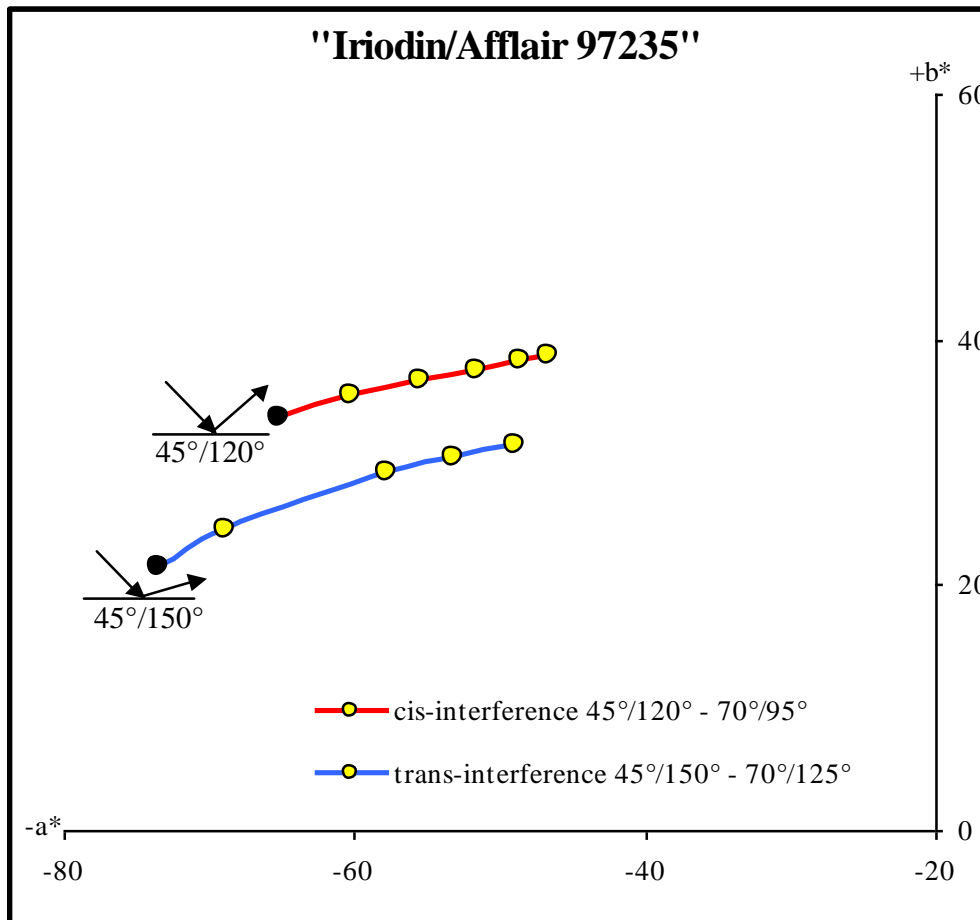
= 20as15



- angle of illumination changed
- specular angle changed
- viewing angle changed
- aspecular angle constant

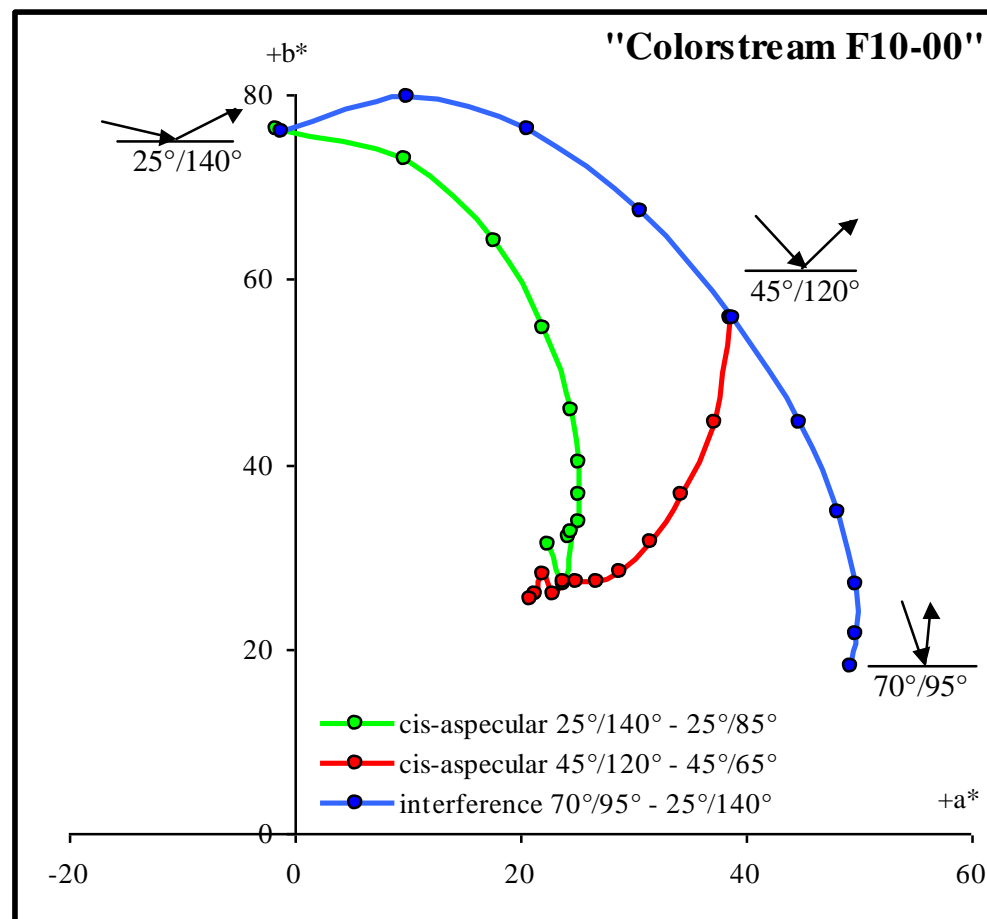
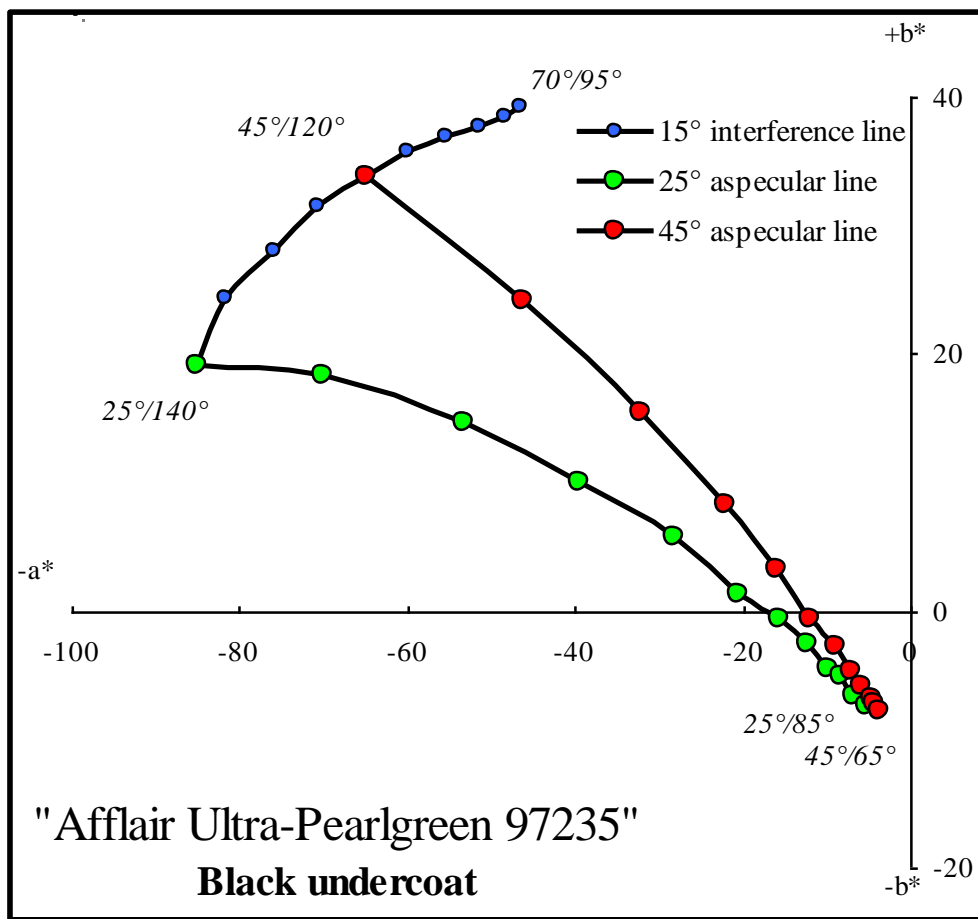
# Measurement geometries

- 2<sup>nd</sup> approximation to recommended notation:



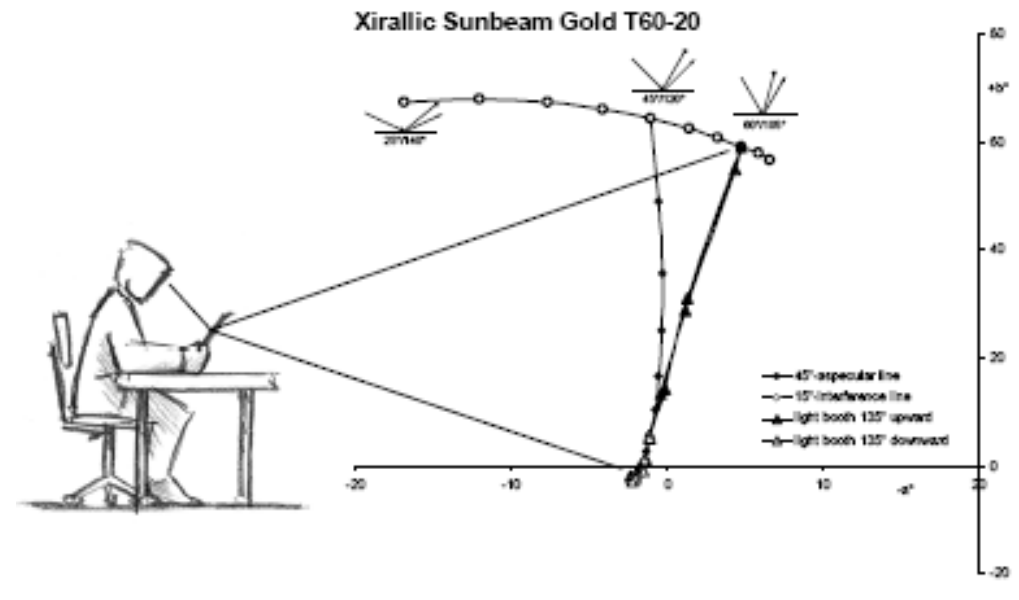
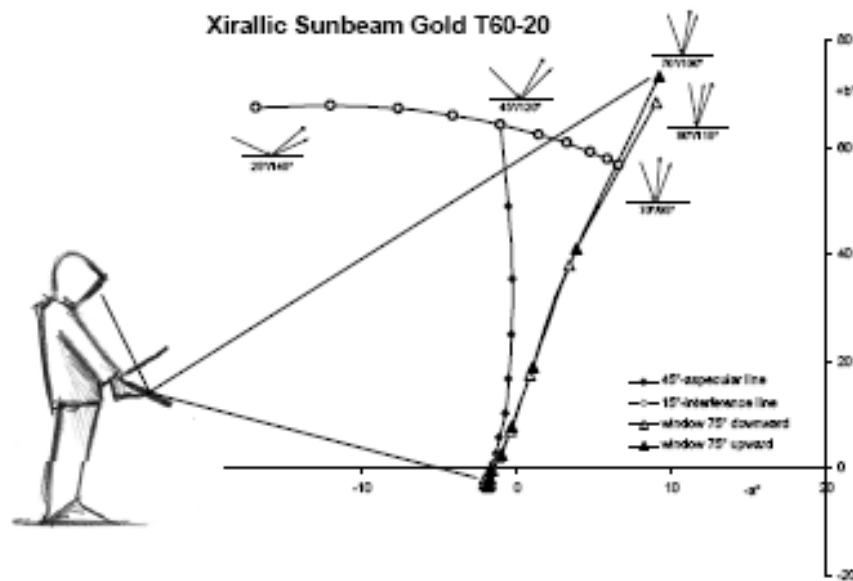
# Measurement geometries

## • More examples:



# Recommendations for observation

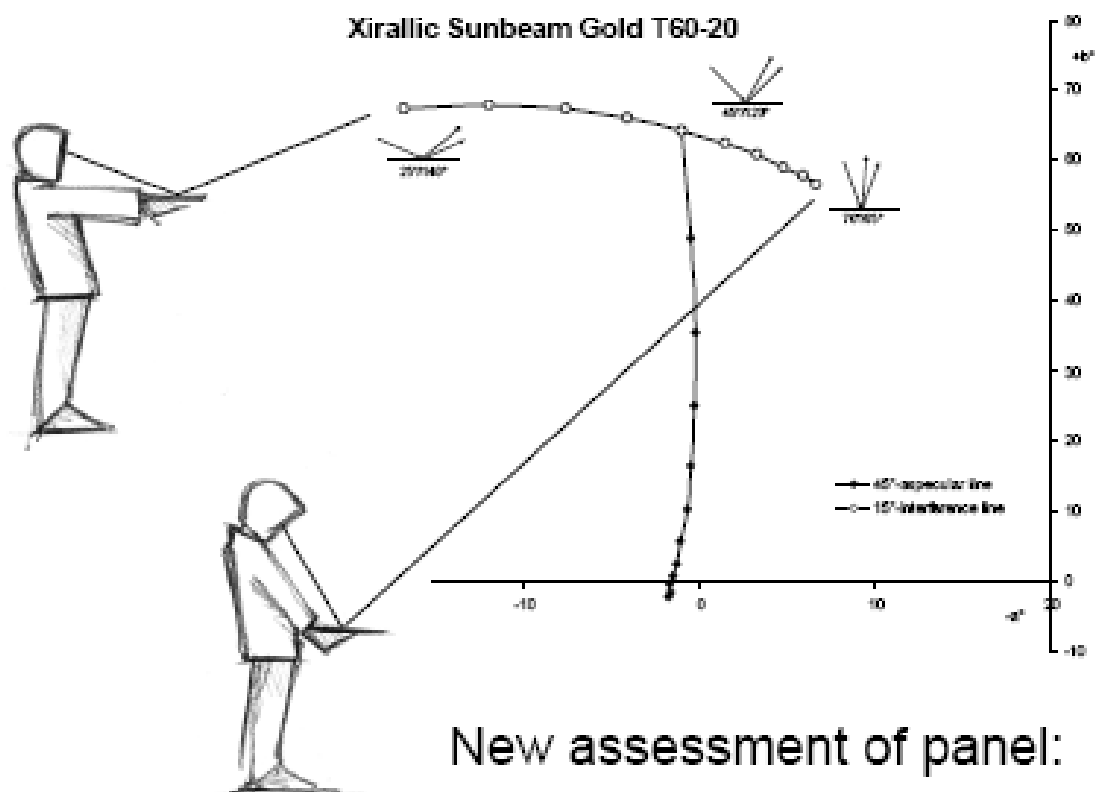
- Along aspecular lines:





# Recommendations for observation

- Along interference lines:

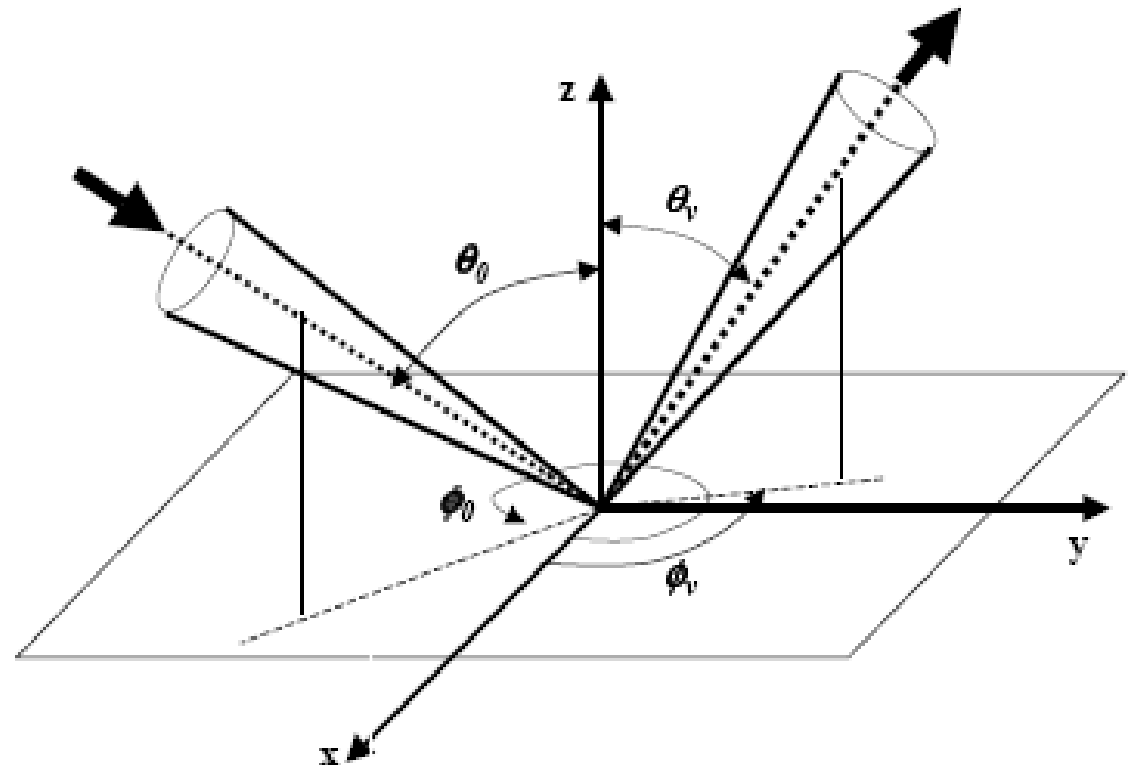


New assessment of panel:  
Moving panel parallel up and down.



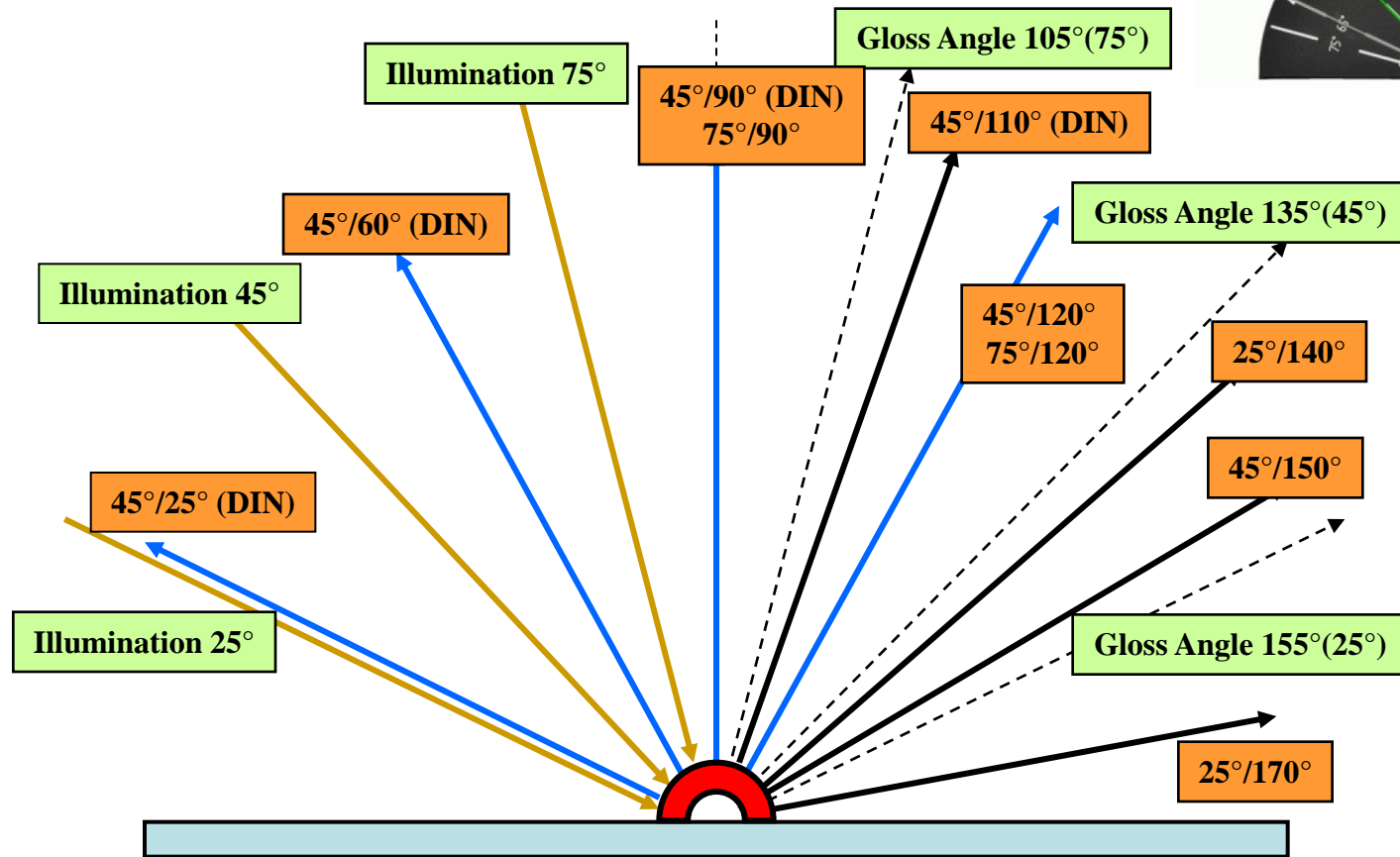
# Measurement geometries

- In vs. Out of incidence plane:
  - 45as-15az0° = 45°x:150° in plane
  - 45as-15az90,  
out of plane
  - 50.1as-15az33.4,  
out of plane
  - Etc.



# Measurement geometries

## • Exercise with Gonio-Vision-Box:

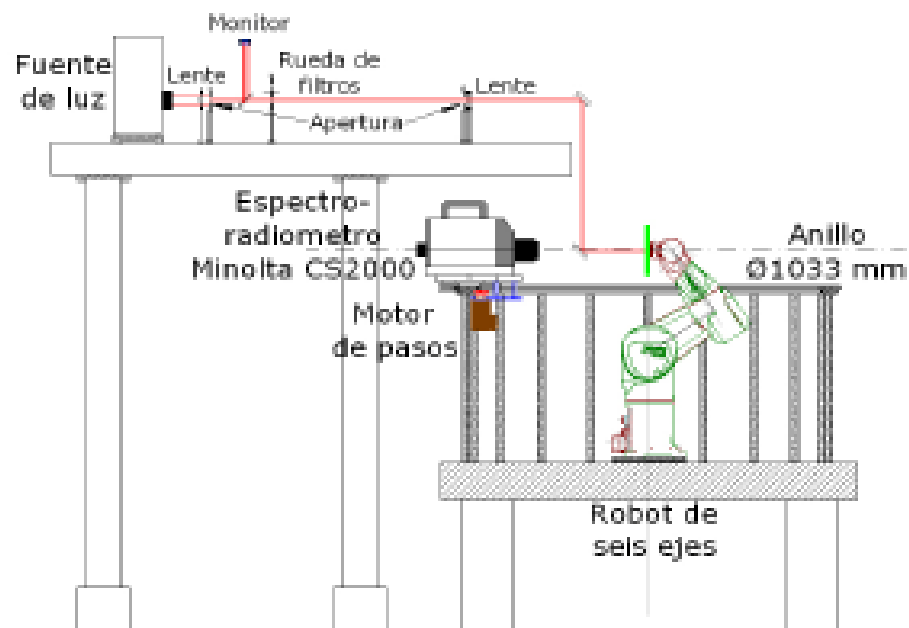
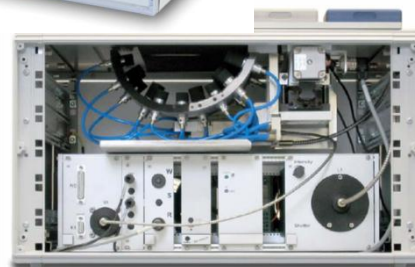


# International standards

- **CIE 15:2004, directional geometries**
- **ASTM E2539-08 ; ASTM E2175-08**
- **ASTM E2194-09 ; ASTM E284-09a**
- **ASTM E2387-05 ; ASTM E1767-04**

Standards	ASTM interference line						ASTM/DIN aspecular line			
	25°	25°	45°	45°	75°	75°	45°	45°	45°	45°
illumination angle										
observation angle	170° (-15°)	140° (+15°)	150° (-15°)	120° (+15°)	120° (-15°)	90° (+15°)	110° (+25°)	90° (+45°)	60° (+75°)	25° (+110°)

# Multi-gonio-spectrophotometers

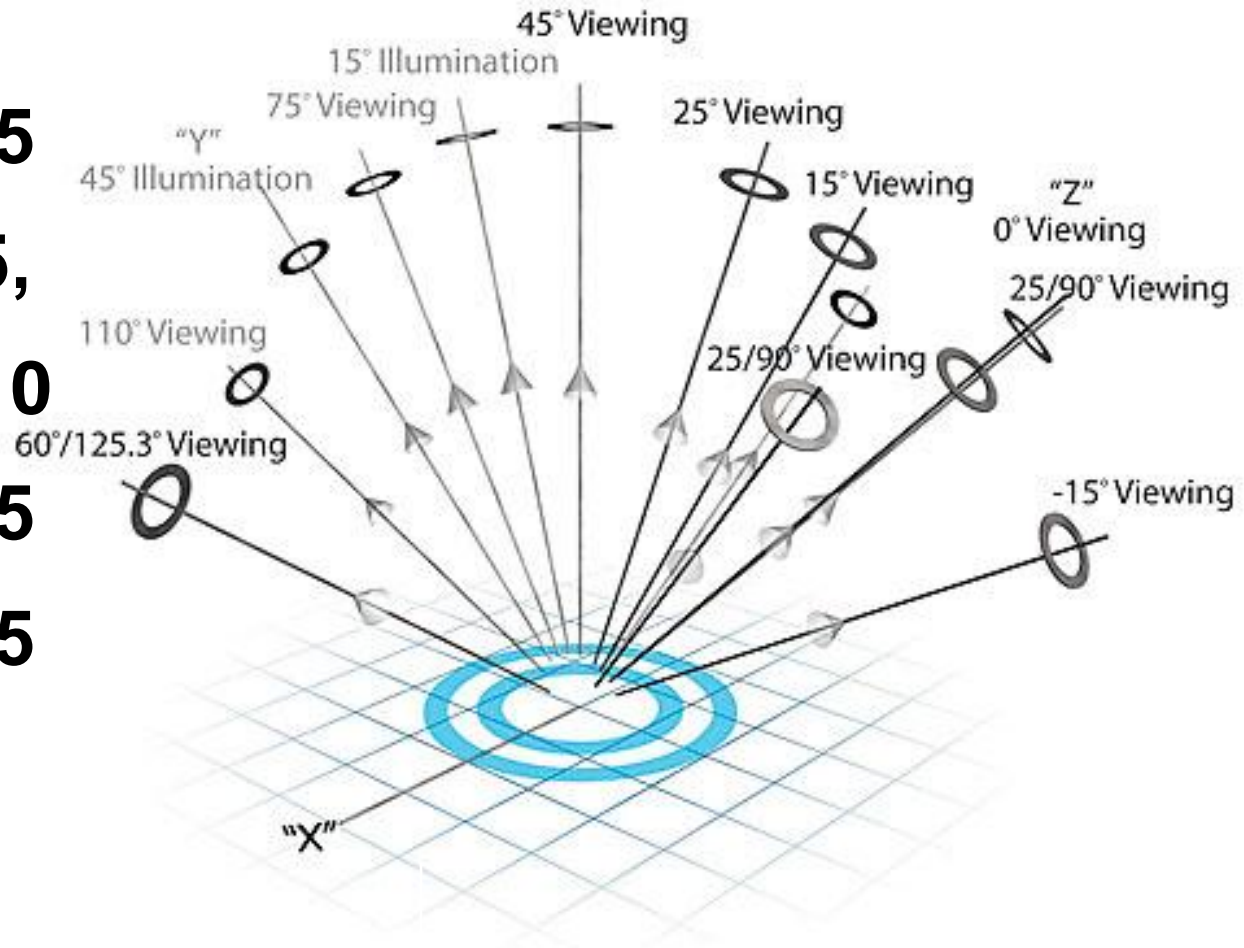




# X-Rite MA98

- In-plane geom.:**

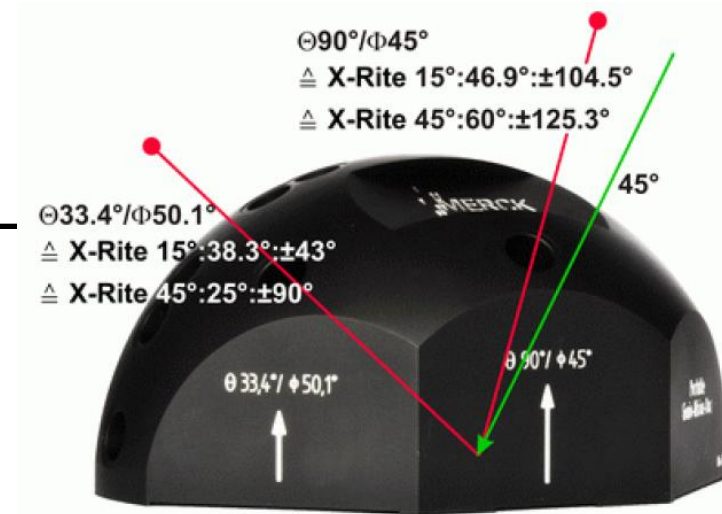
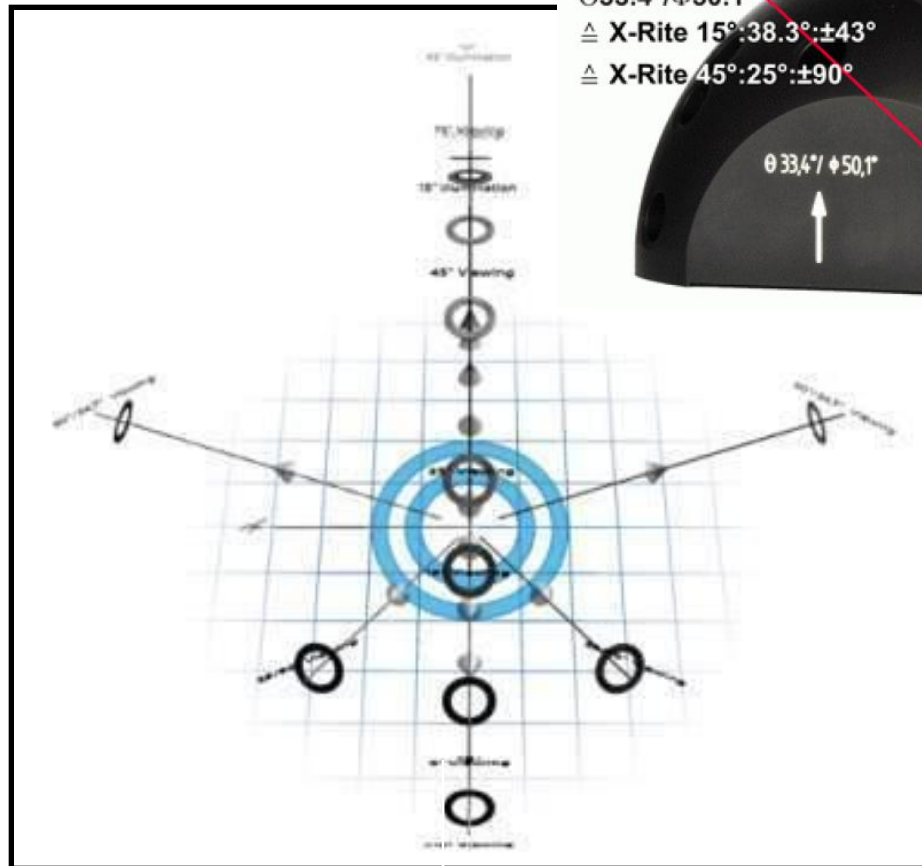
- 45as-15 , 45as15
- 45as25 , 45as45,
- 45as75 , 45as110
- 15as-15 , 15as15
- 15as-45 , 15as45
- 15as80



# X-Rite MA98

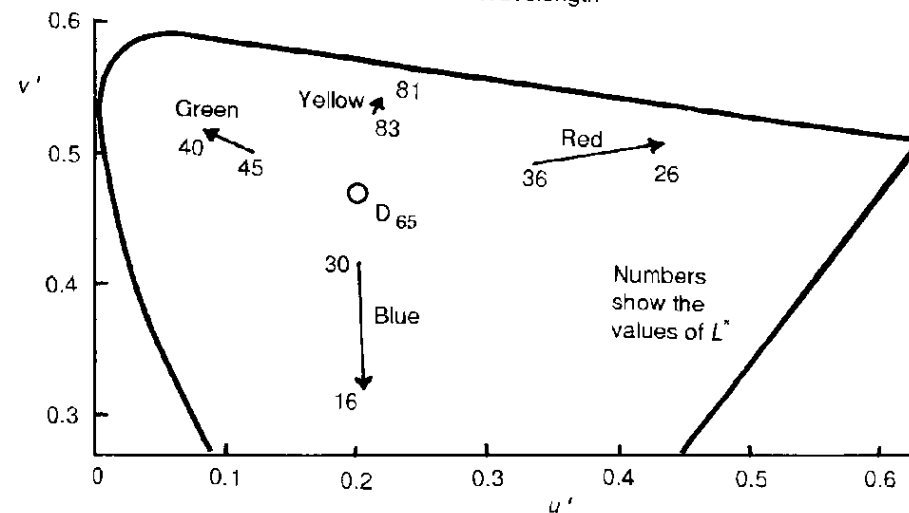
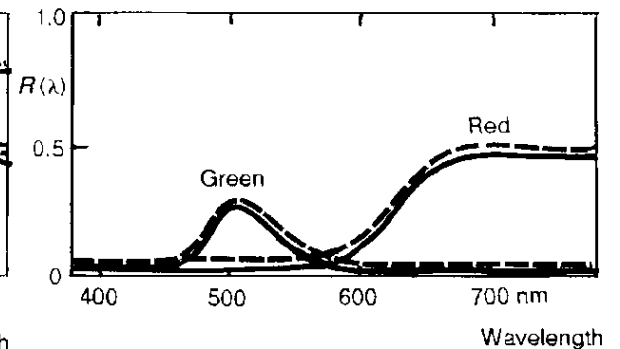
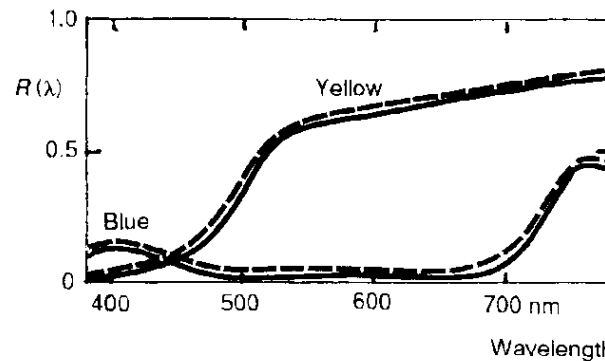
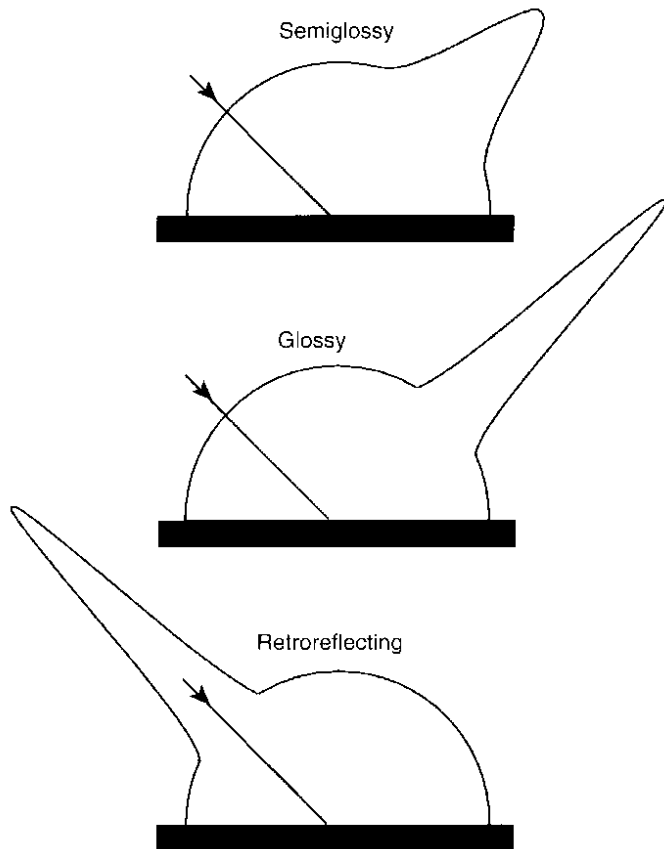
## • Out-of-plane geom.:

- 45as25az90
- 45as25az-90
- 45as60az125.3
- 45as60az-125.3
- 15as38.5az43
- 15as38.5az-43
- 15as46.9az104.5
- 15as46.9az-104.5



# Only chromaticity changes?

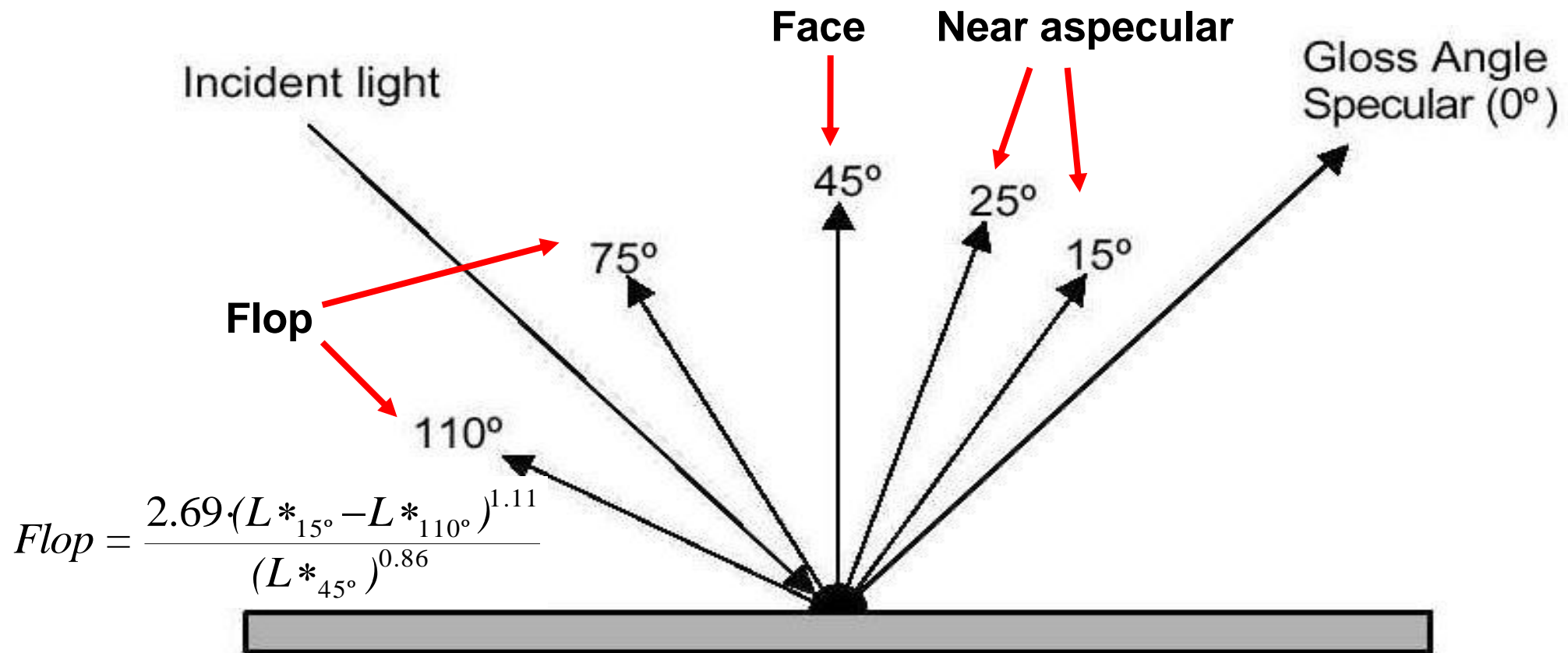
- Lightness changes too: spinc vs. spex in d:8



	$\Delta E_{ab}^*$	$\Delta E_{uv}^*$
Yellow	6	4
Green	16	11
Red	18	19
Blue	18	15

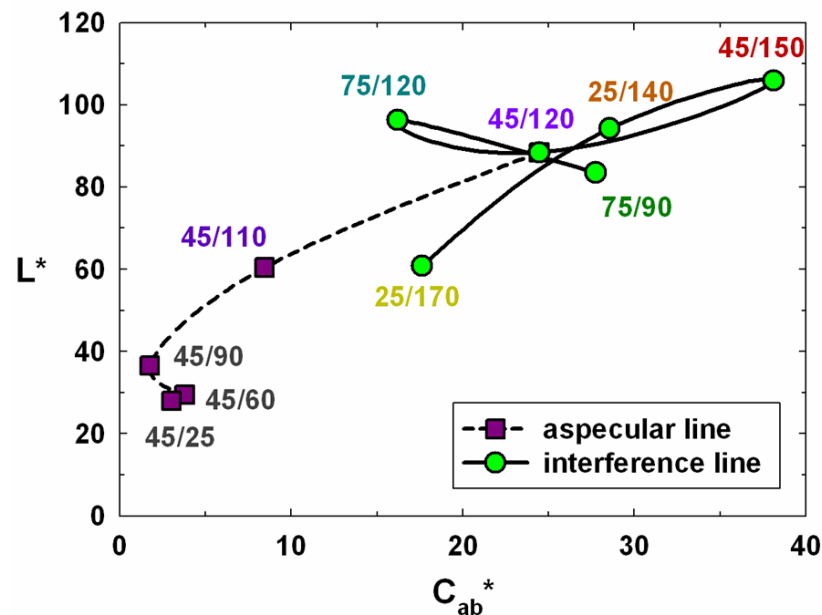
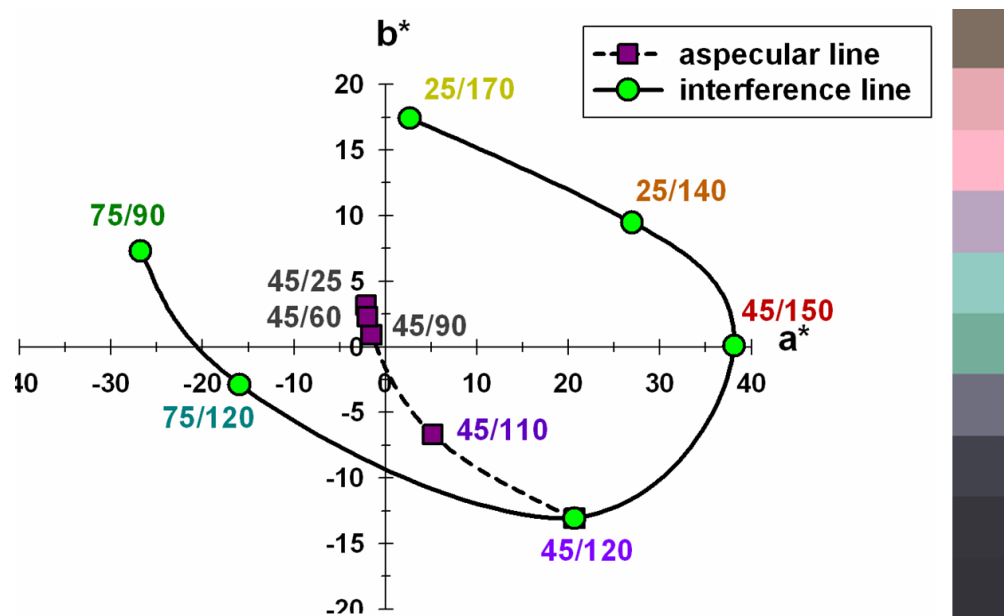
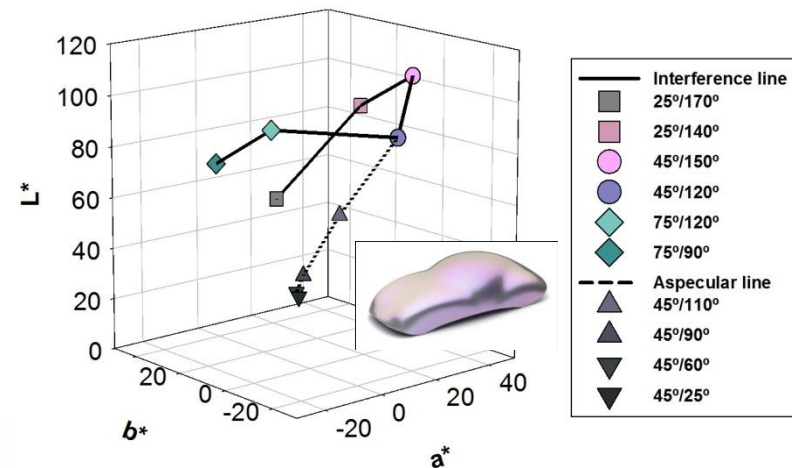
# Only chromaticity changes?

- Lightness changes too



# Only chromaticity changes?

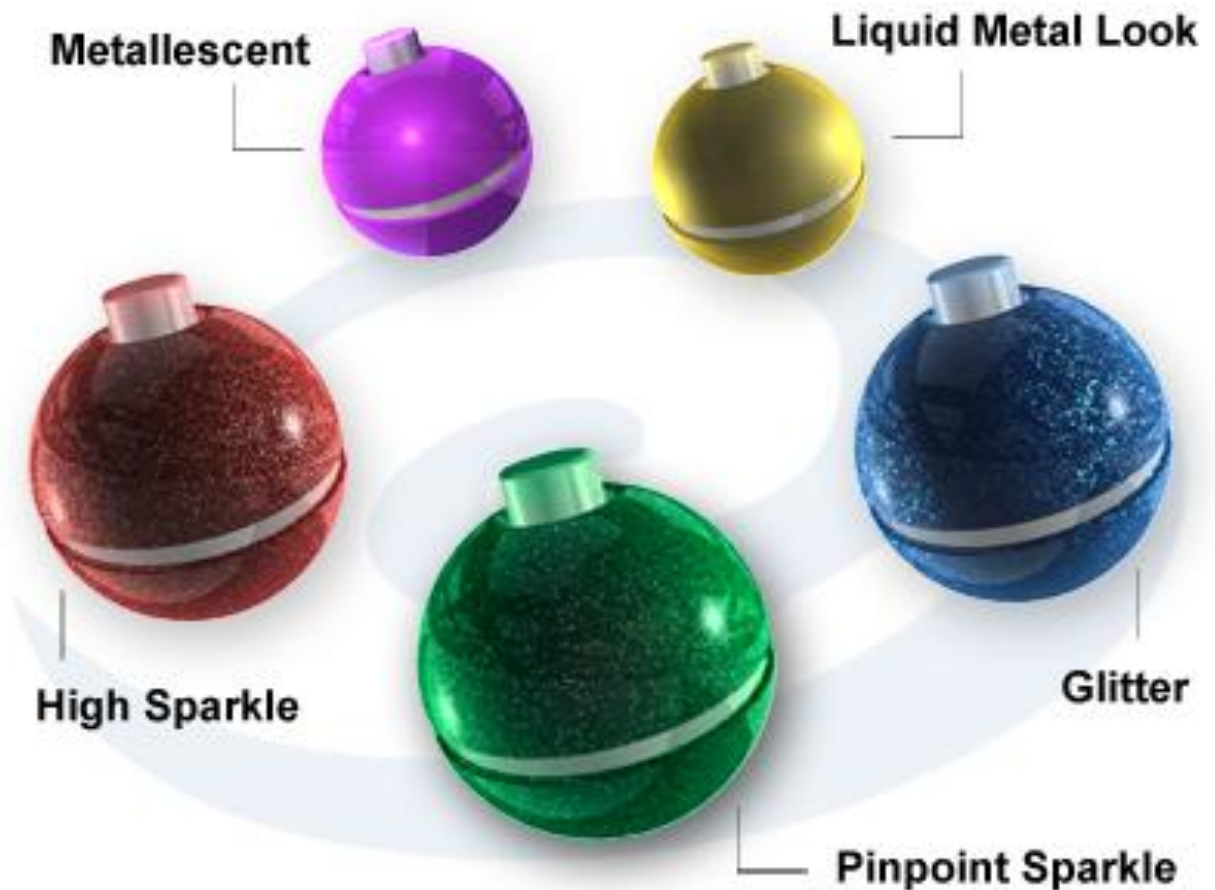
- Lightness changes too:
  - Sample: Colorstream T20-02 Arctic Fire Exterior





# Texture changes in goniochromism

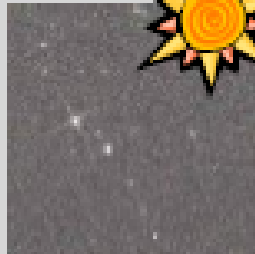
- **Sparkle (glitter) and graininess:**
  - From many small, discrete reflecting elements
- **Depending on:**
  - Lighting design
  - Viewing distance



# Texture changes in goniochromism

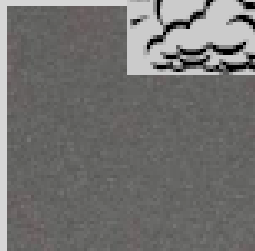
- **Sparkle vs. graininess: illumination conditions**

Appearance of effect finishes depends on illumination conditions:



**Sunny sky: Direct illumination**

- Color starts to sparkle



**Cloudy sky: Diffused illumination**

- Fine versus grainy pattern

# Texture changes in goniochromism

## • Sparkle vs. graininess: illumination conditions

Sparkle: Micro brightness – Glints – Diamonds



### Viewing conditions:

- Spotlight ~ direct sun light conditions
- Observation angle critical:  
Sparkle impression changes  
with illumination angle

### Sparkle is generated by e.g.:

- Reflectivity of the individual effect pigment (alu flakes, mica, Xirallics®)
- Amount of effect pigments
- Size of the aluminum flakes
- Flake orientation → Sparkle 75°

High  
Sparkle



Low  
Sparkle



# Texture changes in goniochromism

## • Sparkle vs. graininess: viewing conditions

Graininess:

Texture – Structure – Coarseness – Salt & Pepper



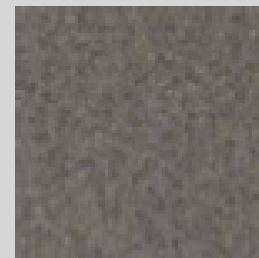
**Viewing conditions:**

- Diffused light
- Close observation distance
- Observation angle not important

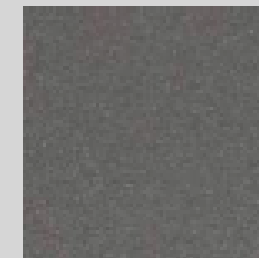
**Potential causes of graininess:**

- Flake type – flake size
- Disorientation of flakes
- Agglomeration of particles

High  
Graininess



Low  
Graininess

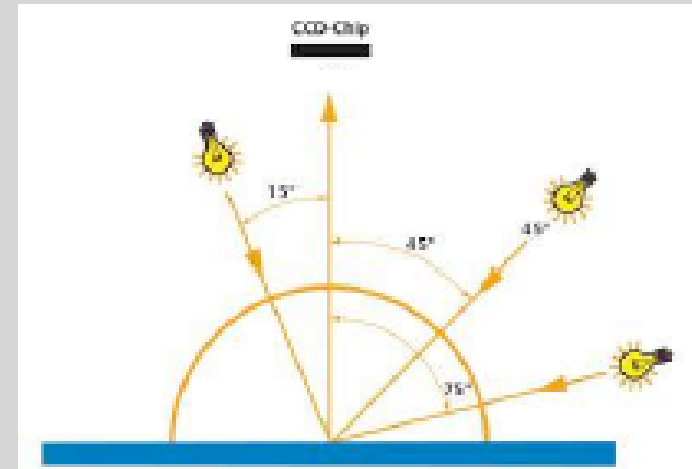


# Instrument for measuring texture changes in goniochromism

- **BYK-mac: multi-gonio + mono CCD camera**

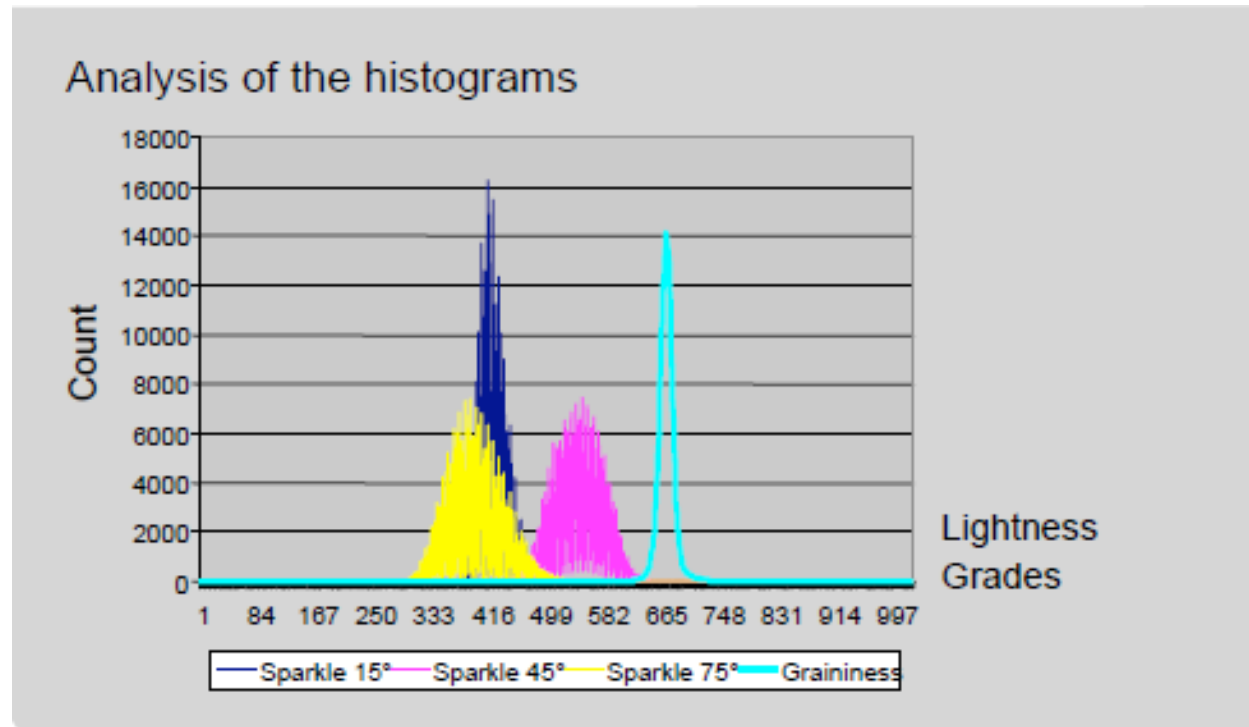
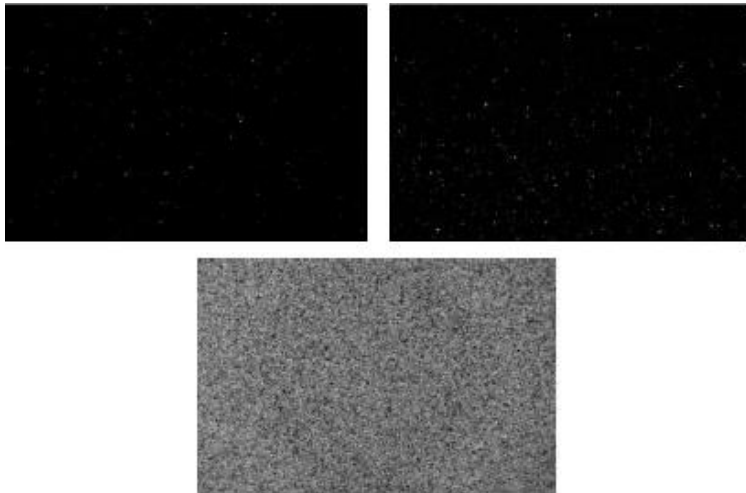


- **Camera Analysis:**  
The spatial resolution of the CCD chip correlates to the spatial resolution of the human eye.
- Camera pictures are taken under different light conditions to simulate sunny sky and cloudy sky.
- The sparkling impression is evaluated under 3-illumination angles: 15°- 45°-75°



# Instrument for measuring texture changes in goniochromism

- **BYK-mac: multi-gonio + mono CCD camera**
  - Camera pictures characterize sparkle and graininess
  - Calculation of sparkle and graininess values



# Instrument for measuring texture changes in goniochromism

- **BYK-mac: flake characterization**

## Analysis of Sparkle Effect:

- **Sparkling area** is detected → Not size of the individual effect pigment
- **Sparkling intensity** is measured:  
How strong is the light flash of the effect pigment
- Total **Sparkle Grade** is determined as a function of Sparkle area and Sparkle intensity

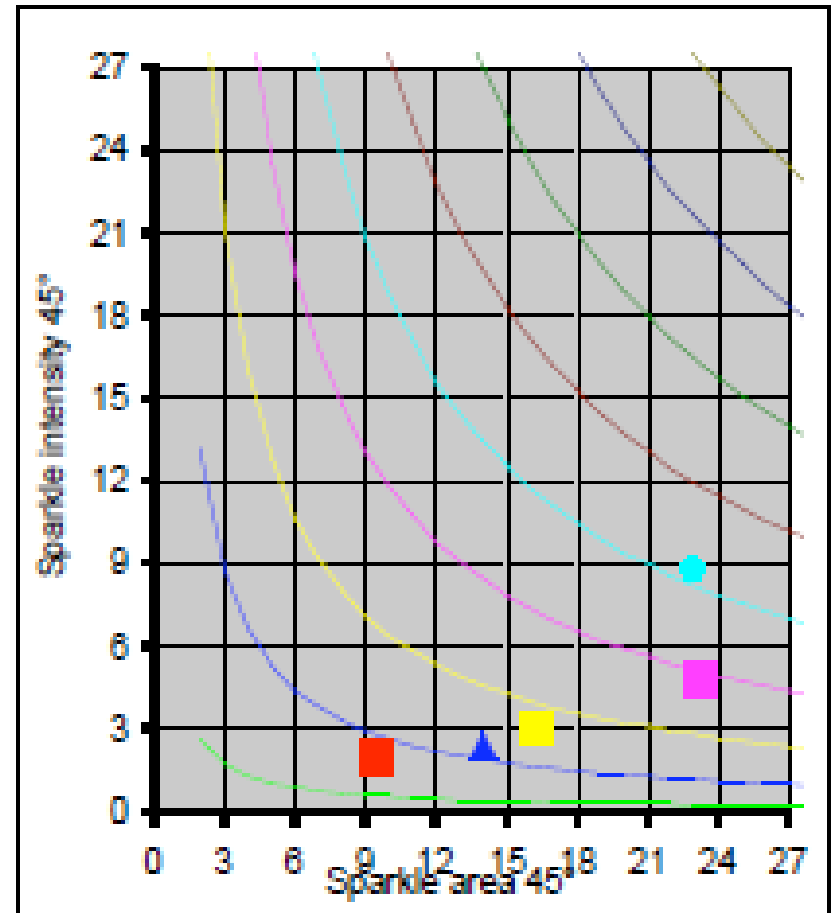
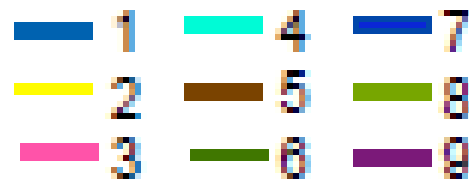


# Instrument for measuring texture changes in goniochromism

- BYK-mac: analysis of sparkle effect
  - Sparkle parameters:
  - 1-D: grade
  - 2-D: area – intensity

Sparkle Grade = f (Sa, Si)

Sparkle Grade





# Instrument for measuring texture changes in goniochromism

- **BYK-mac: sparkle tolerance model**

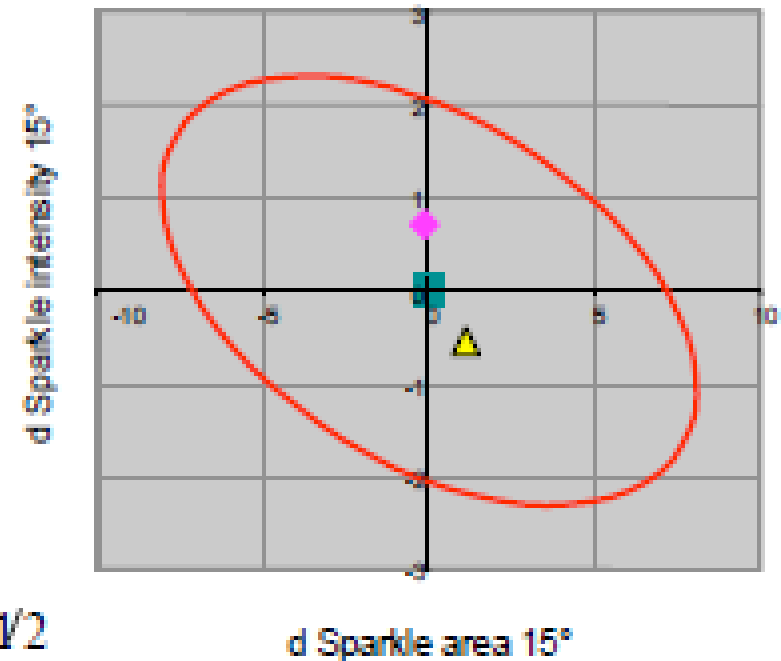
– Sparkle differences:

$\Delta S$  = sample – standard

For 15°, 45° and 75° deg

$$\Delta S = \left[ \left( \frac{\Delta S_a}{f(\text{Tol\_Gr})} \right)^2 + \left( \frac{\Delta S_i}{f(\text{Tol\_GF} \times \text{Tol\_Gr})} \right)^2 \right]^{1/2}$$

$\Delta \text{Sparkle} < \text{Tol\_S}$  **PASS**  
 $\Delta \text{Sparkle} > \text{Tol\_S}$  **FAIL**

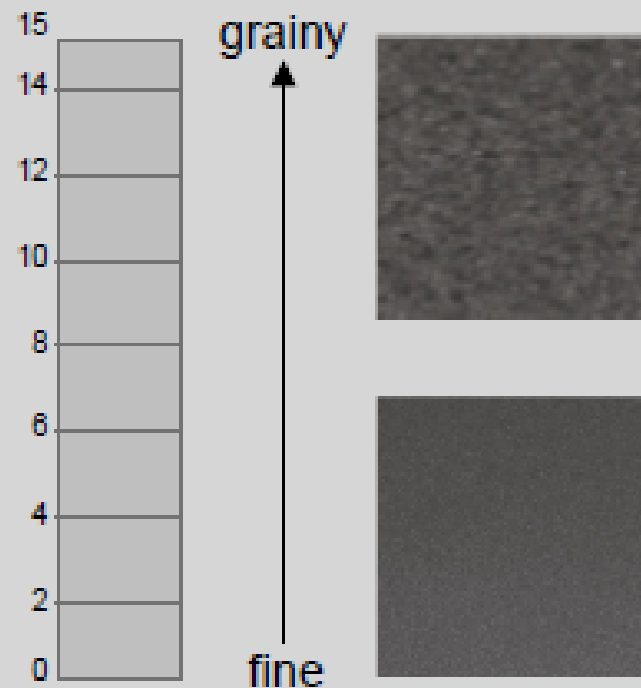


# Instrument for measuring texture changes in goniochromism

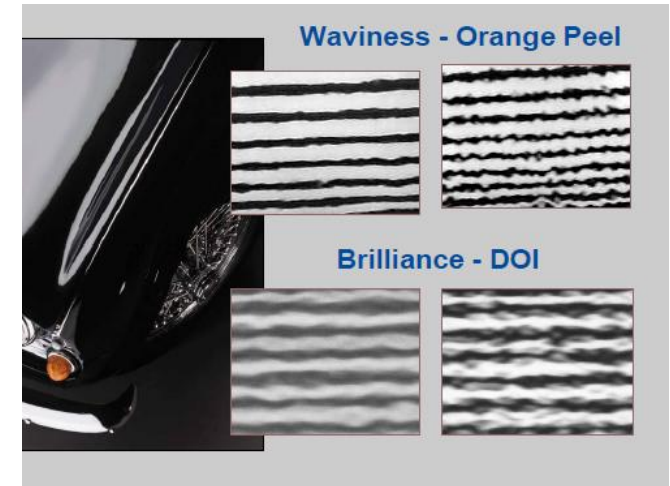
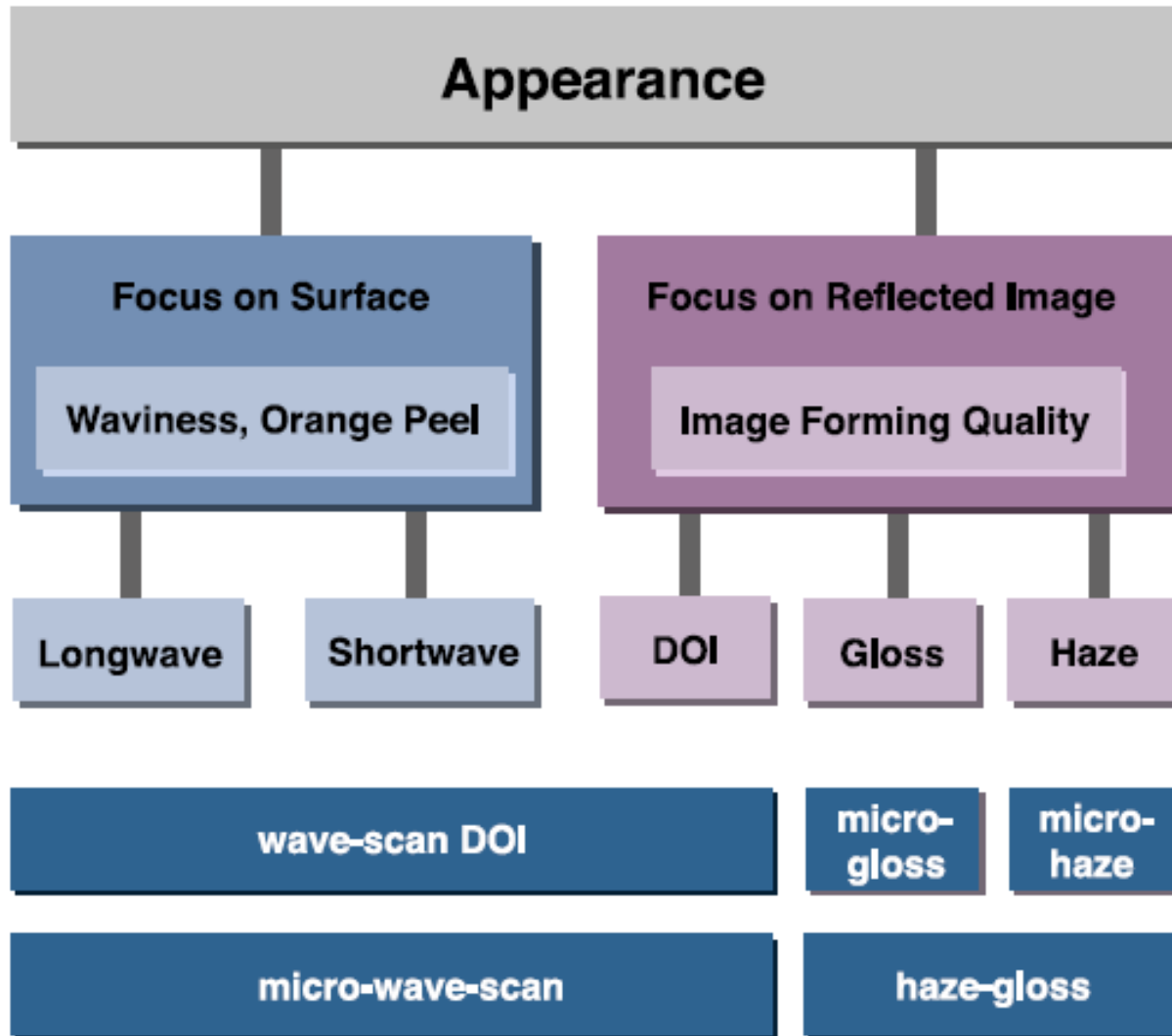
- **BYK-mac: graininess calculation**

## Analysis of Graininess Effect:

- Uniformity of light/dark areas is evaluated and summarized in a **Graininess** value
- 1-dimensional scale: relative dimension
- Solid color (very uniform) → Graininess = 0



# Other visual appearance parameters



Good



Poor

Contrast - Sharpness - Distinctness

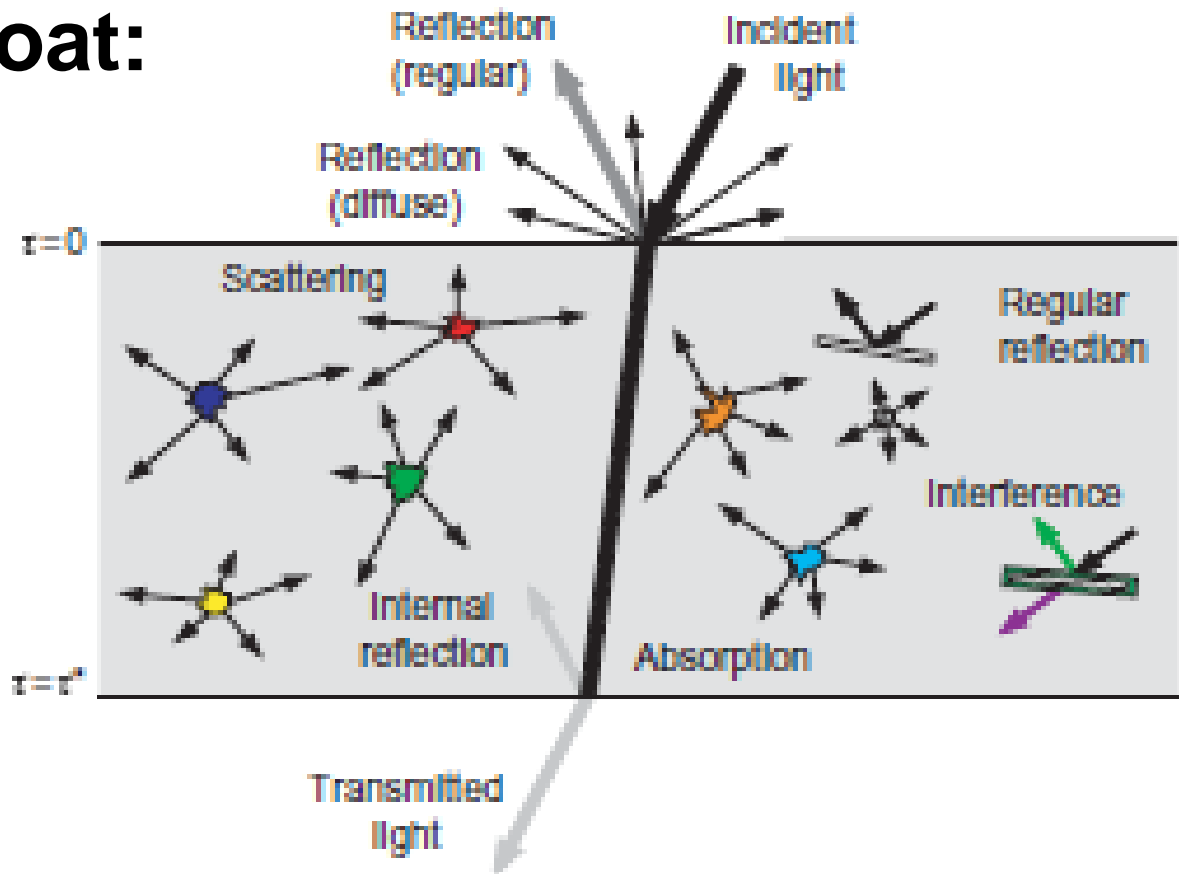
# Outline

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  - Current instrumentation and standards
- **How these visual effects are caused by?**
- **New findings from the GVC-UA**
- **Future challenges:**
  - Modeling and prediction of visual appearance
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  - Visual appearance matching control management

# How visual effects are caused by?

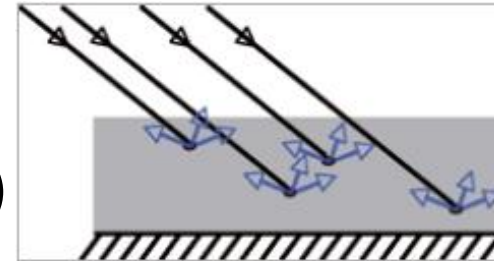
- Different types of pigments and arrangements inside the topcoat:

- Absorption
- Scattering
- Reflection
- Interference
- Transmission

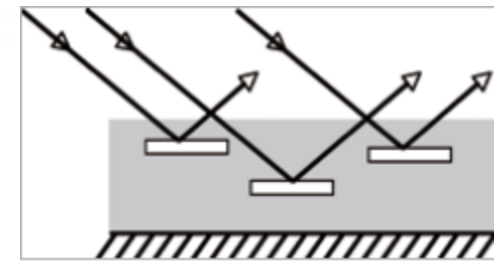


# How visual effects are caused by?

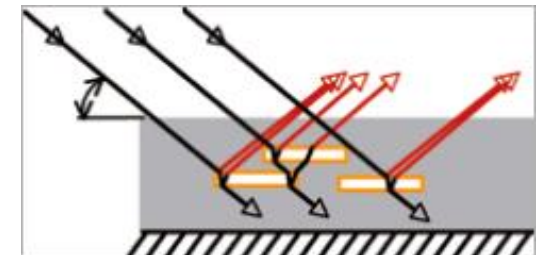
- Different types of pigments and arrangements inside the topcoat:
- Color pigments (solid shades)  
Light absorption and reflection in all directions (scattering)
- Aluminium pigments  
Light mirroring and adjusted reflection
- Interference pigments  
Light refraction and selective reflection



Absorption pigments



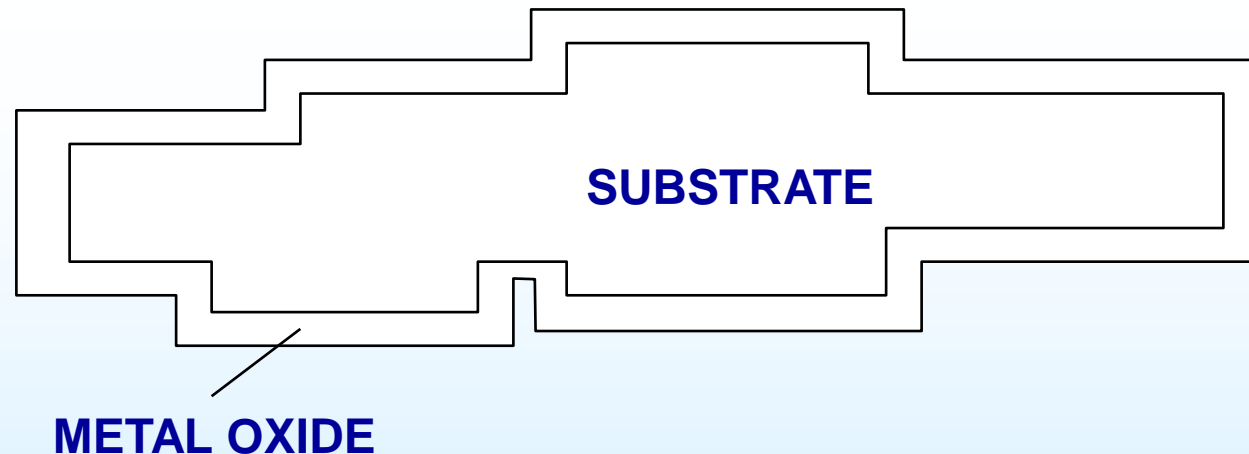
Metallic pigments



Interference pigments

# How visual effects are caused by?

- **Shapes and sizes of special-effect pigments:**



## **SUBSTRATE**

Mica

Silicium Oxide (synthetic)

Aluminium

Aluminium Oxide (synthetic)

plated Iron Oxide (synthetic)

## **METAL OXIDE**

TiO<sub>2</sub> (Rutil)

FeTiO<sub>3</sub>

CoTiO<sub>3</sub>

Fe<sub>2</sub>O<sub>3</sub>

Cr<sub>2</sub>O<sub>3</sub>

multiple layer

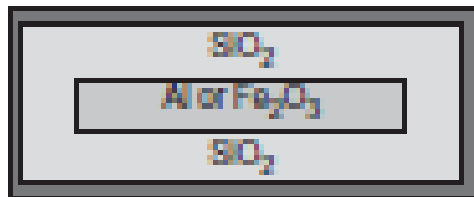
# How visual effects are caused by?

- Shapes and sizes of special-effect pigments:



Cr  
Al  
Cr

Chromaflair, Flex Products



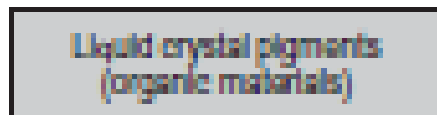
Fe<sub>2</sub>O<sub>3</sub>

Varlocrom, BASF



Fe<sub>2</sub>O<sub>3</sub>  
or  
TiO<sub>2</sub>

Colorstream, Merck

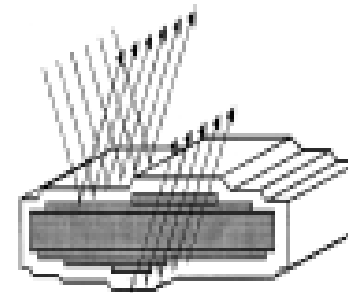


Helicon, Wacker

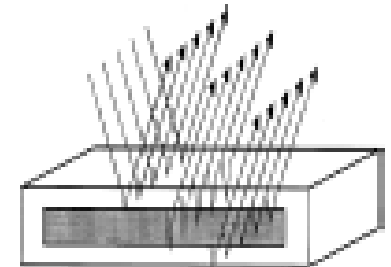


Al

Geometric pigment,  
(Holographic pigment, Spectratek)



Pearlescent pigment on mica



Pearlescent pigment on silica flakes



# How visual effects are caused by?

- **Shapes and sizes of special-effect pigments:**
- ***Iriodin/Afflair Merck:***  
natural mica coated with high refractive metal oxide like  $\text{TiO}_2$  or  $\text{Fe}_2\text{O}_3$
- ***Xirallic Merck:***  
 $\text{Al}_2\text{O}_3$ -platelets coated with high refractive metal oxide
- ***Colorstream Merck:***  
 $\text{SiO}_2$ -platelets coated with high refractive metal oxide
- ***Pyrisma Merck:***  
special mica coated with high refractive metal oxide

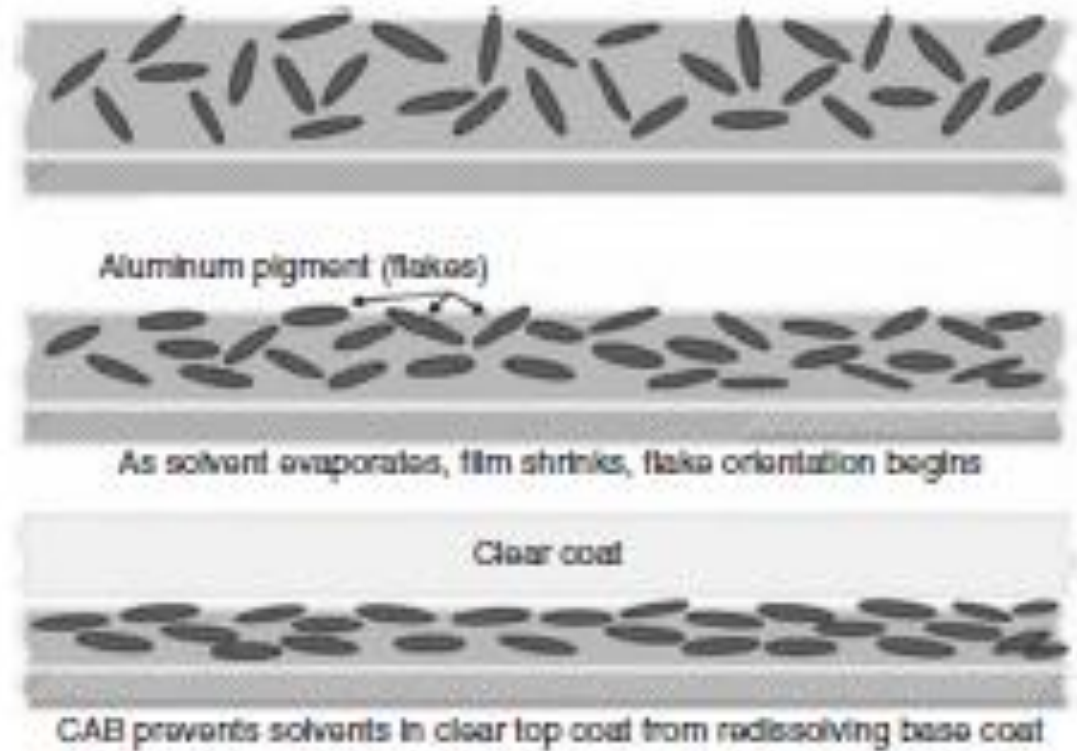
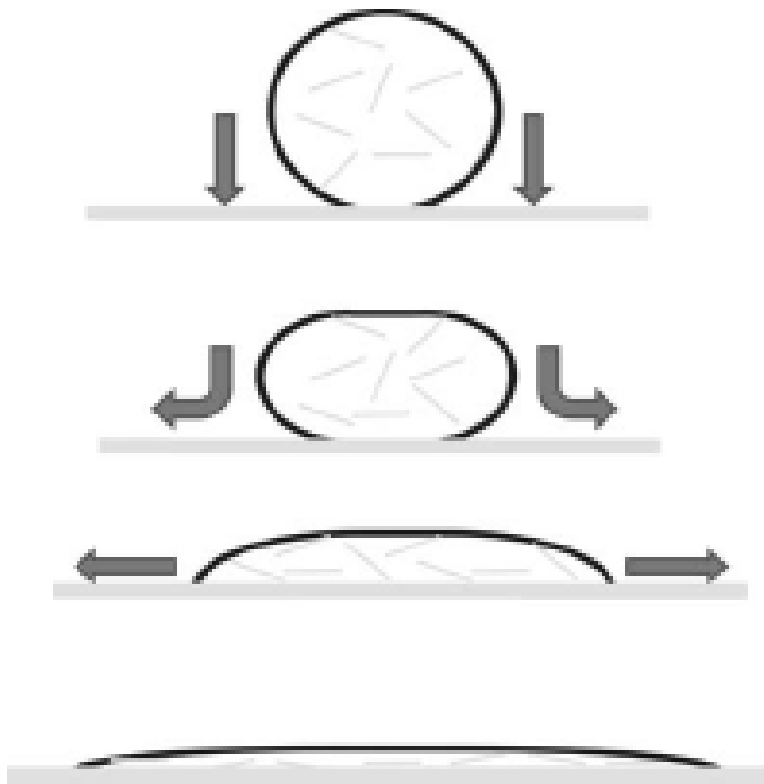
# How visual effects are caused by?

- **Shapes and sizes of special-effect pigments:**
- ***Variocrom BASF:***  
Optical Variable Pigments (OVP) chemical vapor deposition
- ***ChromaFlair Flex Products:***  
5-layers with opaque reflector, dielectrical and semi-transparent layers
- ***SpectraFlair Flex Products:***  
microstructure surface and opaque reflector layer
- ***Helicone Wacker Chemie:***  
Liquid Crystals Polymers (LCP)

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# How visual effects are caused by?

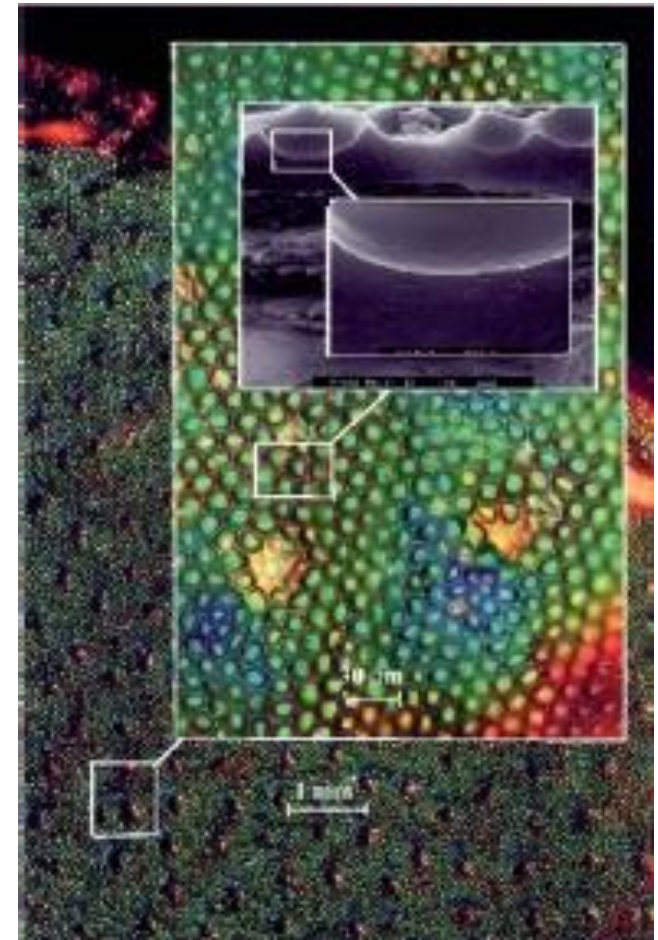
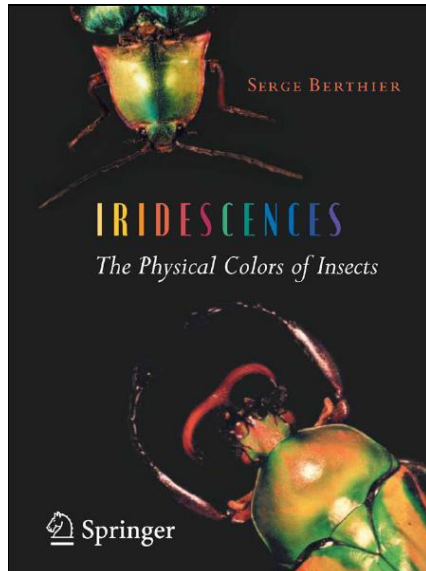
- Flake orientation during film formation and by film shrinkage:





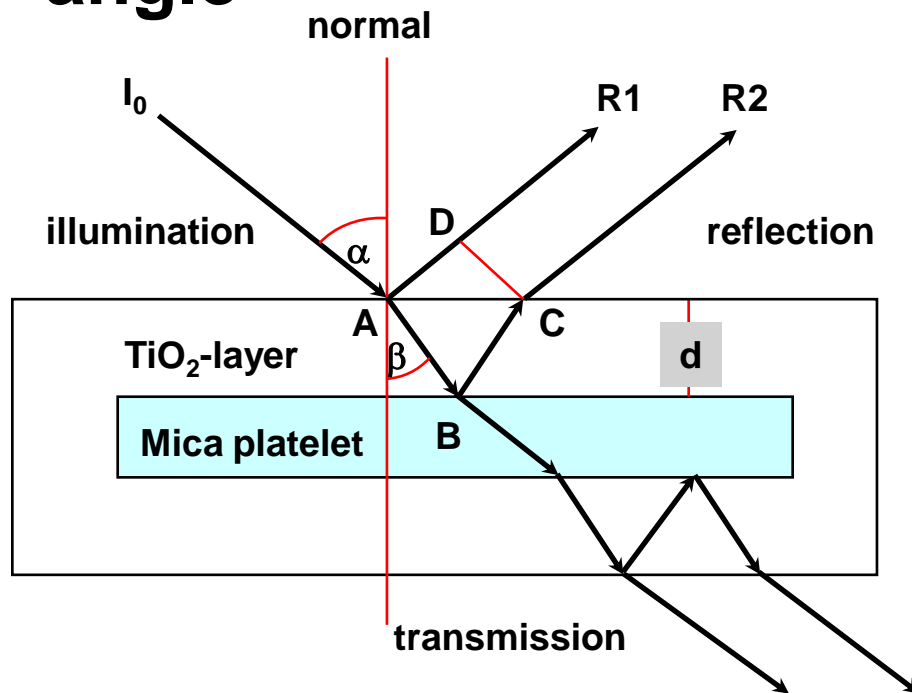
# Are there these visual effects in Nature?

- <http://www.webexhibits.org/causesofcolor/15A.html>
  - 1-D structure : interference
  - 2-D structure: interferences and diffraction
  - 3-D structure: crystalline diffraction
  - Amorphous structures: scattering



# Optics of special-effect pigments

- Resulting color depends on:
  - Thickness of external layer,  $n$ , and, illumination angle



$$\delta = n(AB + BC) - AD$$

$$\delta = 2d \sqrt{n^2 - \sin^2 \alpha}$$

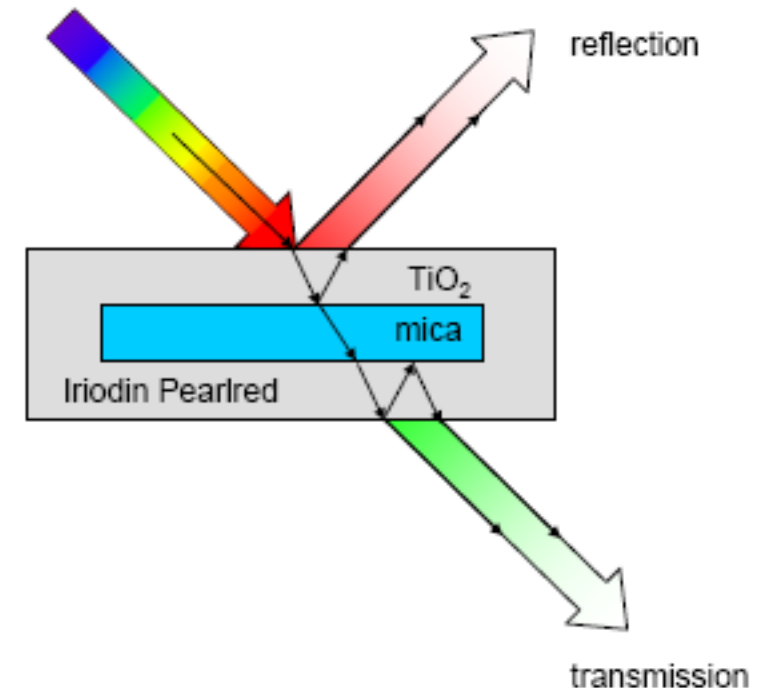
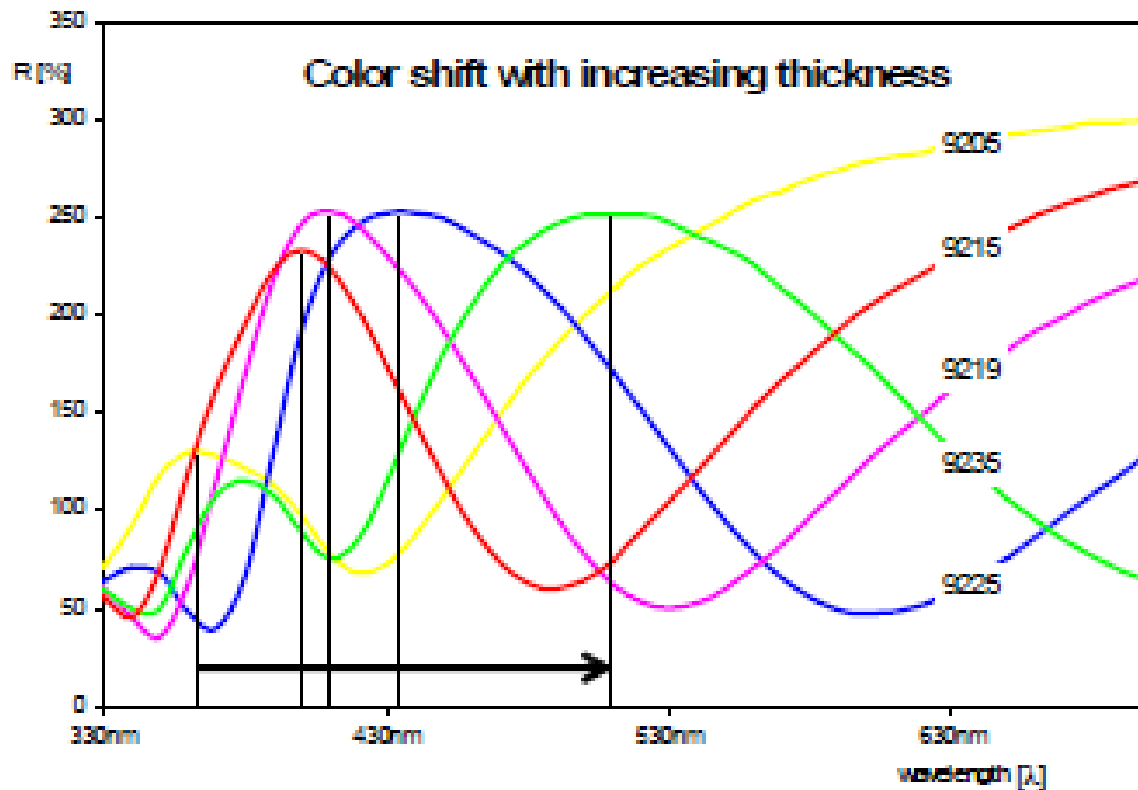
$$\Delta = 2d \sqrt{n^2 - \sin^2 \alpha} + \frac{\lambda}{2}$$

$$\lambda_{\max} = \frac{4d}{2m+1} \sqrt{n^2 - \sin^2 \alpha},$$

with  $m = 0, 1, 2, 3, \dots$

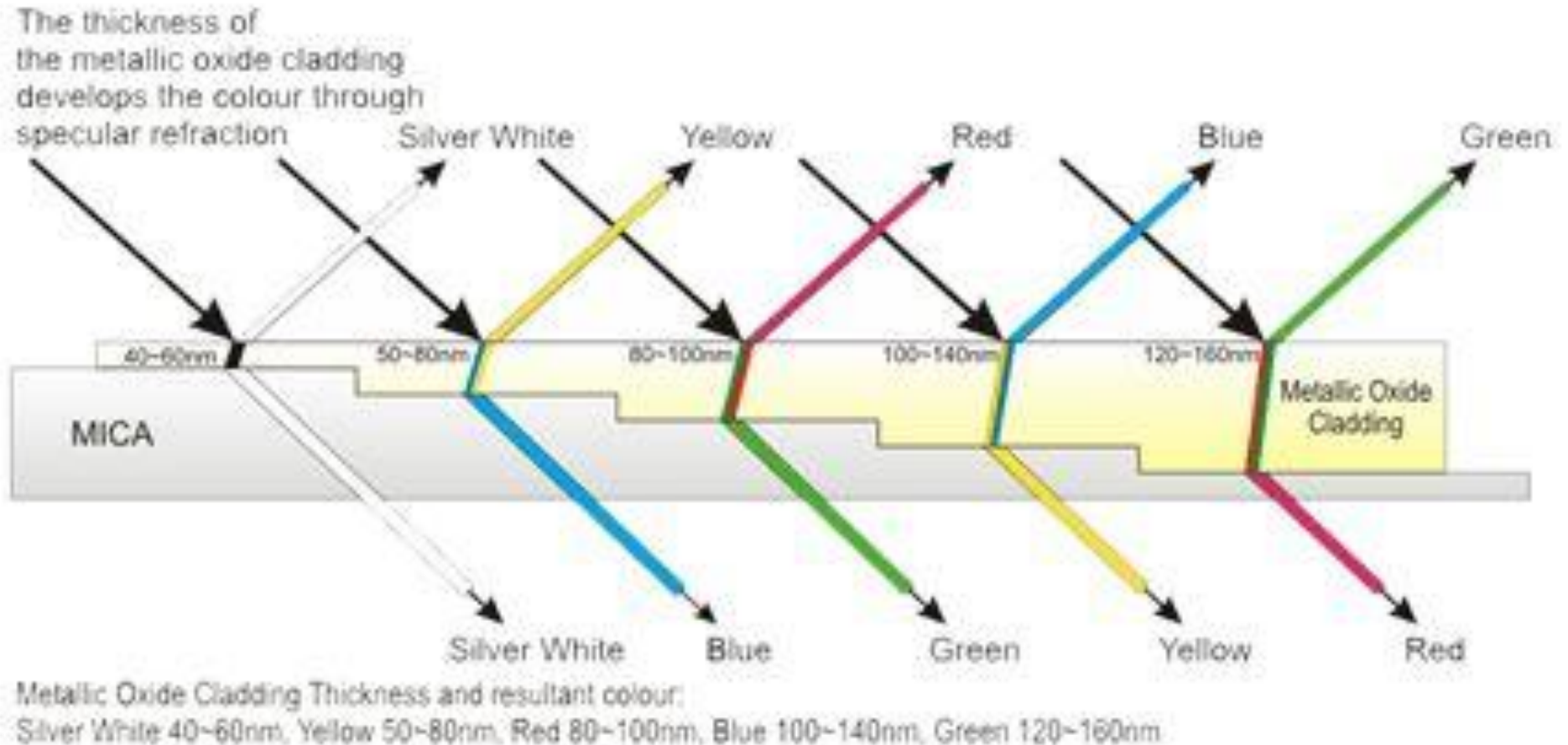
# Optics of special-effect pigments

- Impact of thickness:



# Optics of special-effect pigments

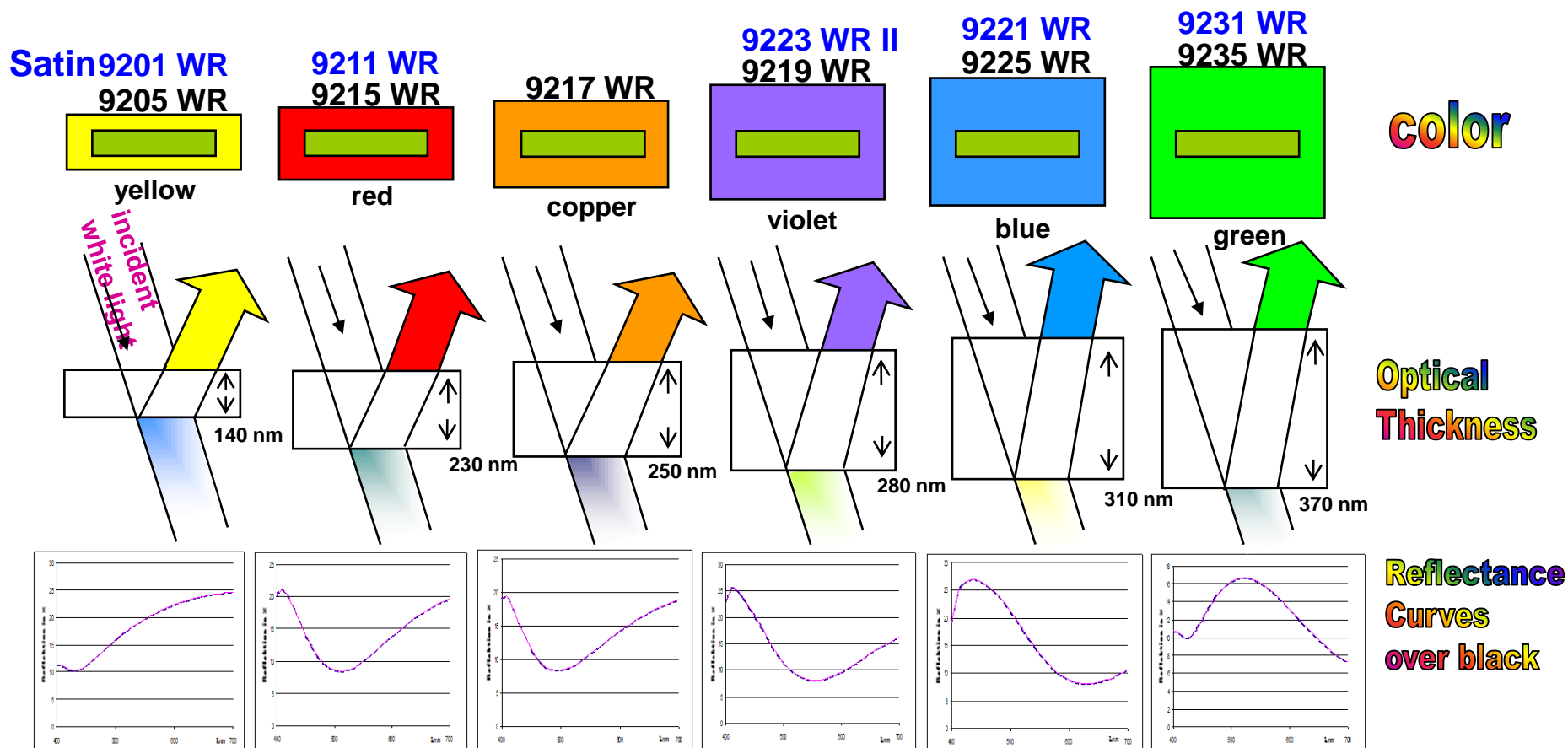
- Impact of thickness:





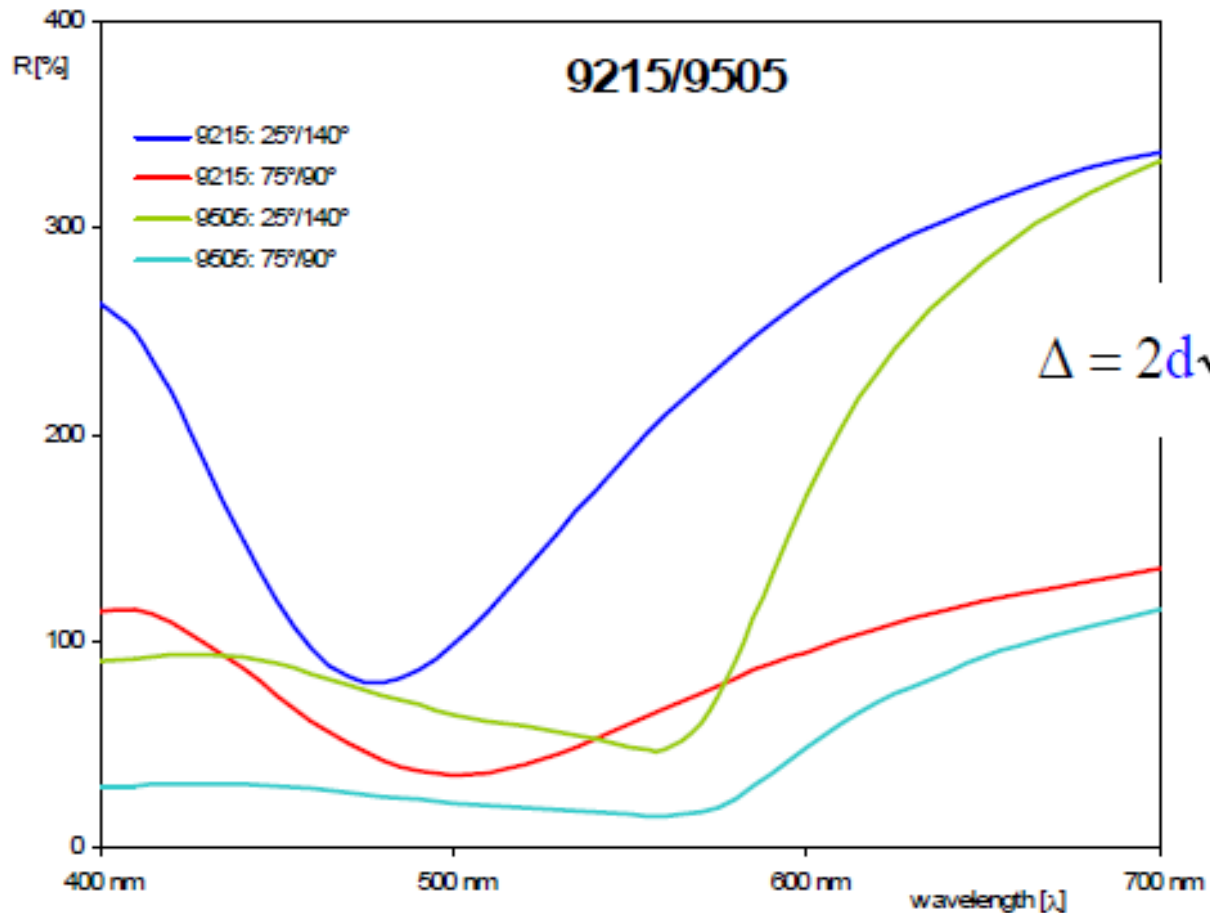
# Optics of special-effect pigments

- Impact of thickness:



# Optics of special-effect pigments

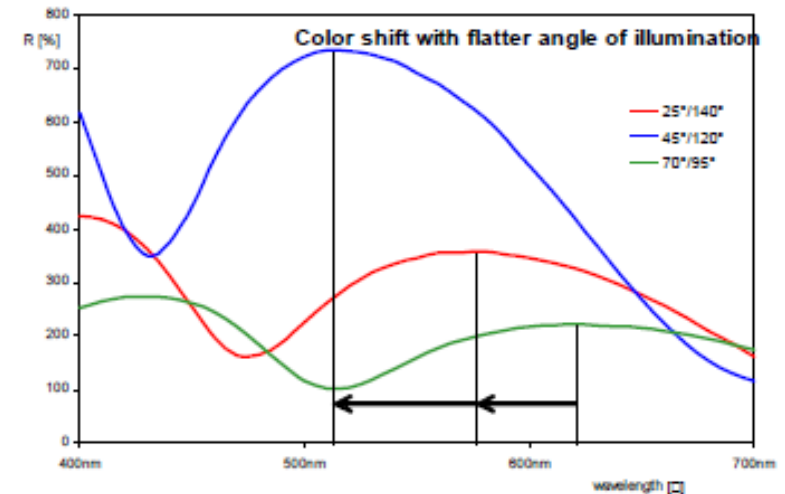
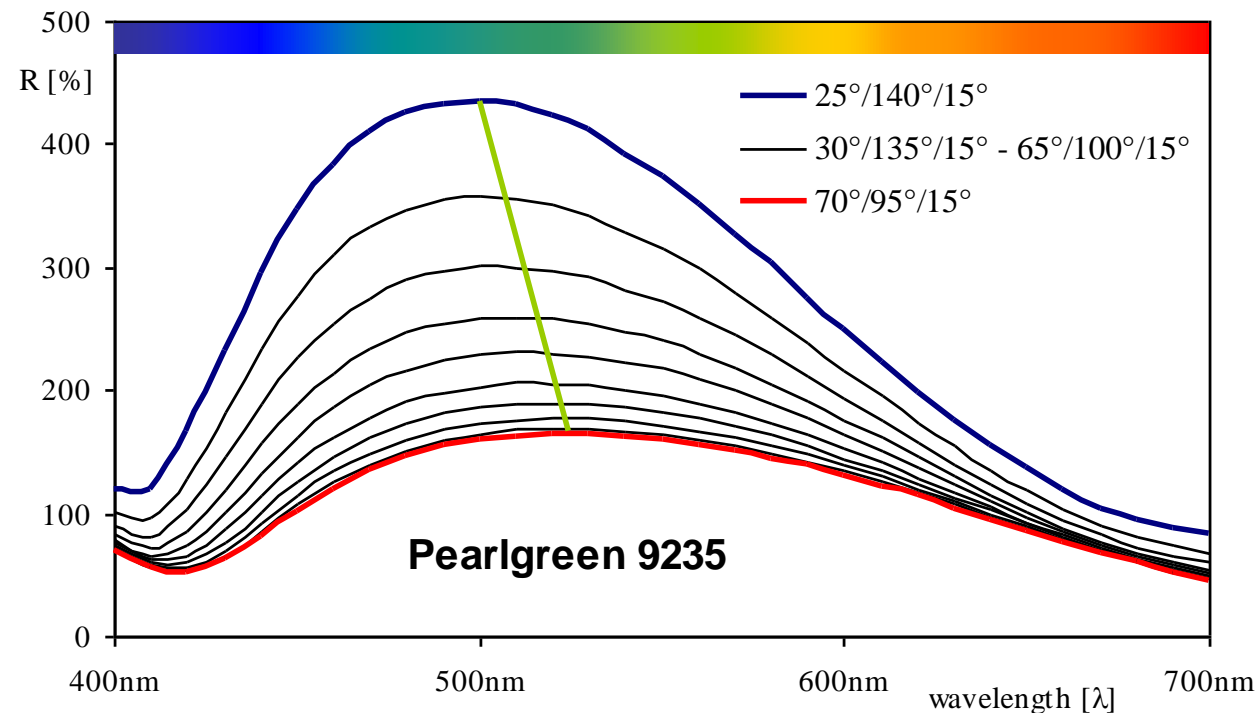
- Impact of refractive index:



$$\Delta = 2d\sqrt{n^2 - \sin^2 \alpha} + \frac{\lambda}{2}$$

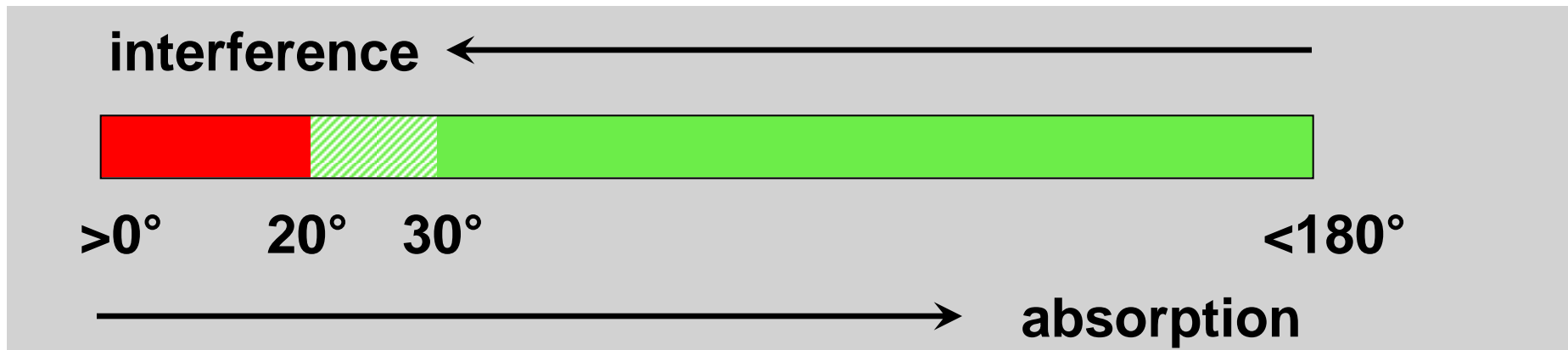
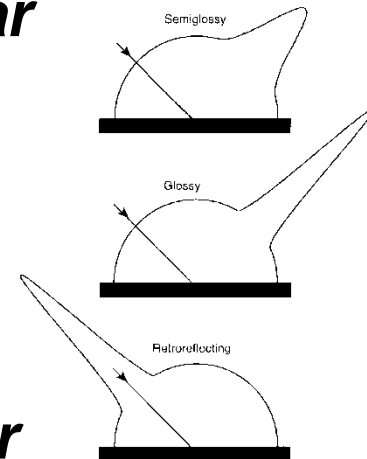
# Optics of special-effect pigments

- Impact of illumination angle:



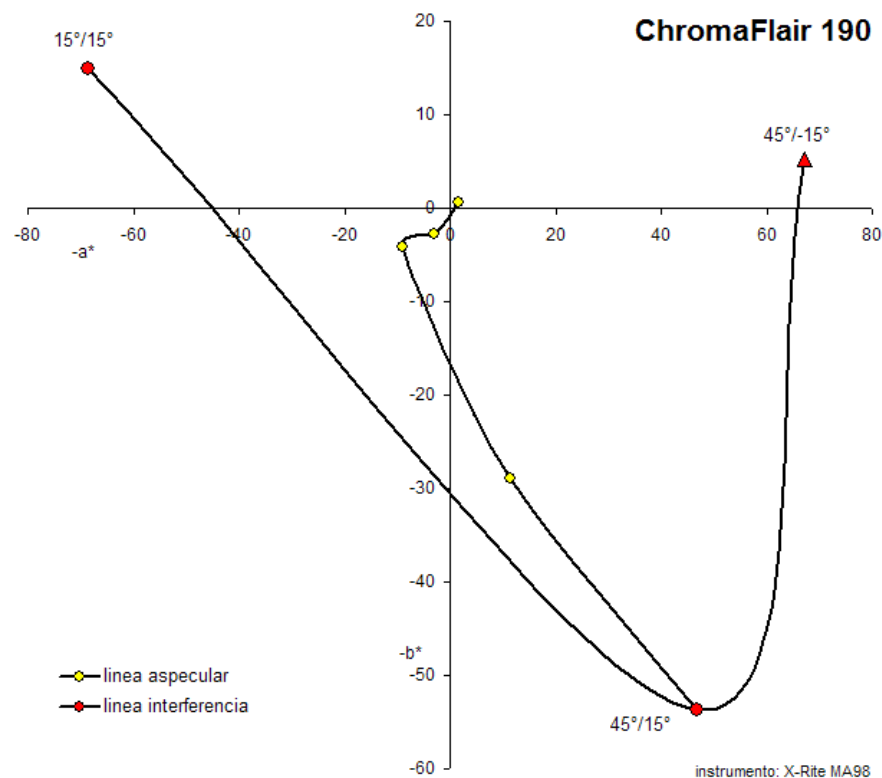
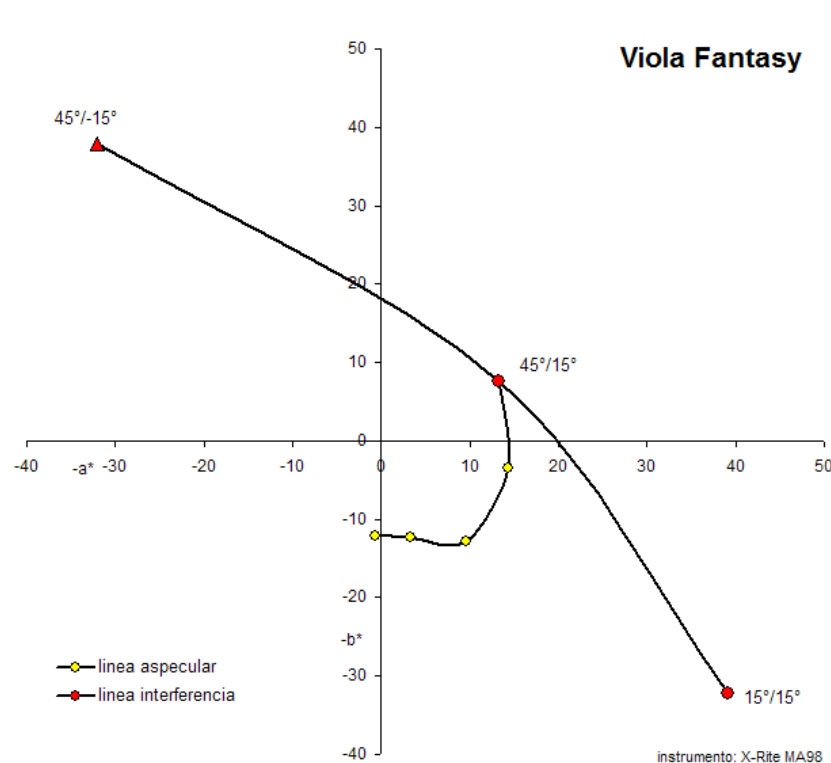
# Color system with 3 components

- **Interference component**  
*main influence on color system up to 20° aspecular*
- **Transition zone**  
*variably influence between 20° and 30° aspecular*
- **Absorbing component**  
*main influence on color system from 30° aspecular*



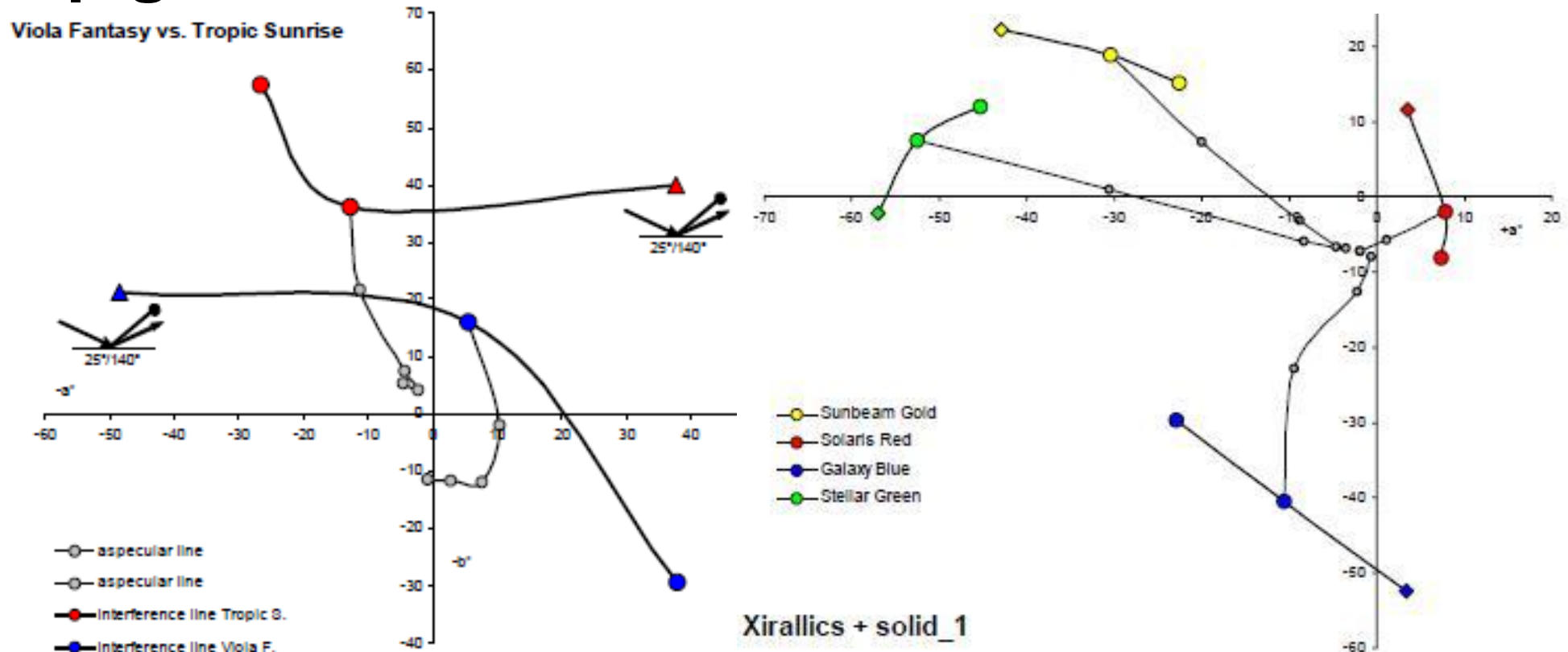
# Identification of special-effect pigments

- T-shape for interference pigments:
  - Aspecular vs. Interference lines: both necessary



# Identification of special-effect pigments

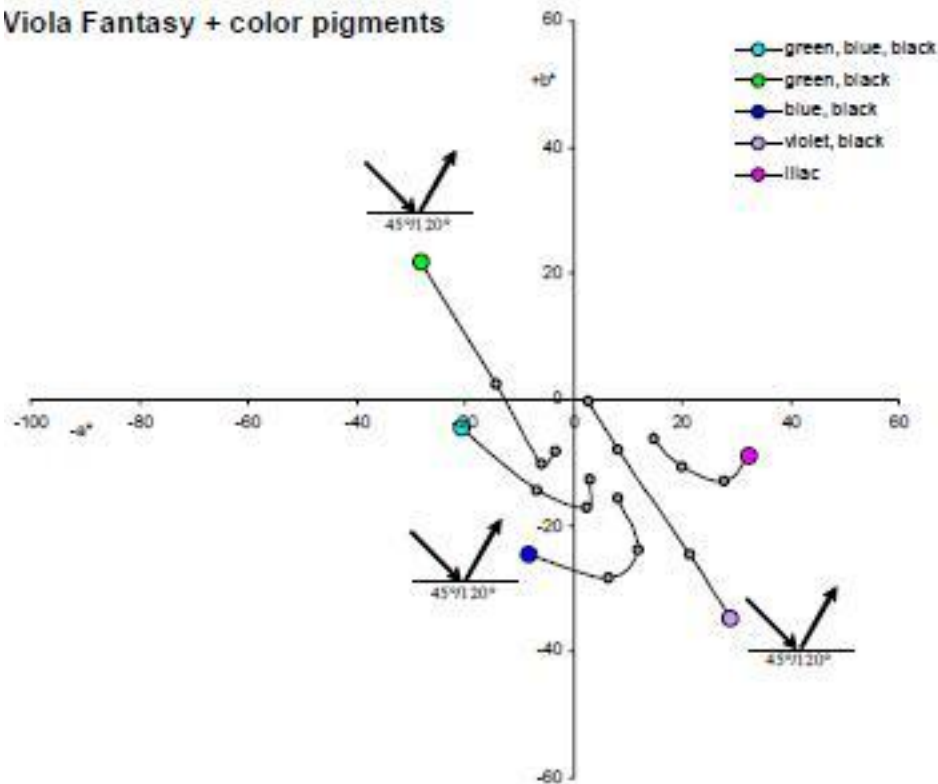
- Mixing special-effect pigments with absorbing pigments:



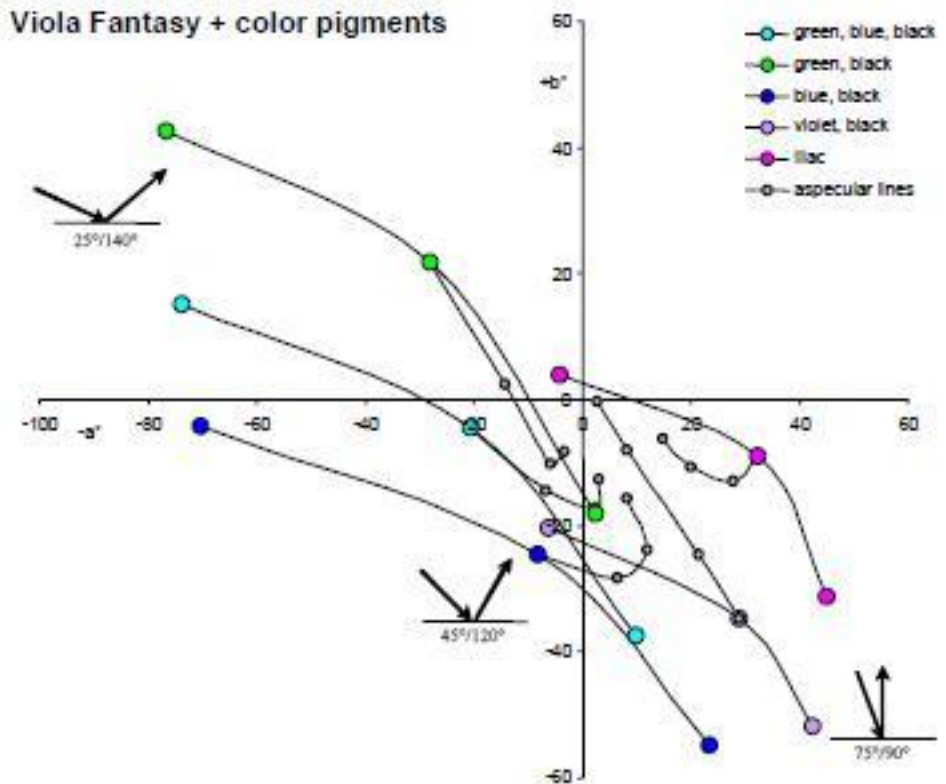
# Identification of special-effect pigments

- Mixing special-effect pigments with absorbing pigments:

Viola Fantasy + color pigments



Viola Fantasy + color pigments



# Outline

- **What is? How is it measured and perceived?**
  - Current instrumentation and standards
- **How these visual effects are caused by?**
- **New findings from the GVC-UA**
- **Future challenges:**
  - Modeling and prediction of visual appearance
  - New materials and process technologies
  - Visual appearance matching control management



# **New findings from the GVC-UA**

- **Optical anisotropy in special-effect pigments**
  - **Published in Journal of Modern Optics (2009)**
- **Color gamuts outside Rösch-MacAdam limits**
  - **Accepted in Applied Optics (2011)**
- **Reproducibility between multi-gonios**
  - **Sent to Color Research & Application (2012)**

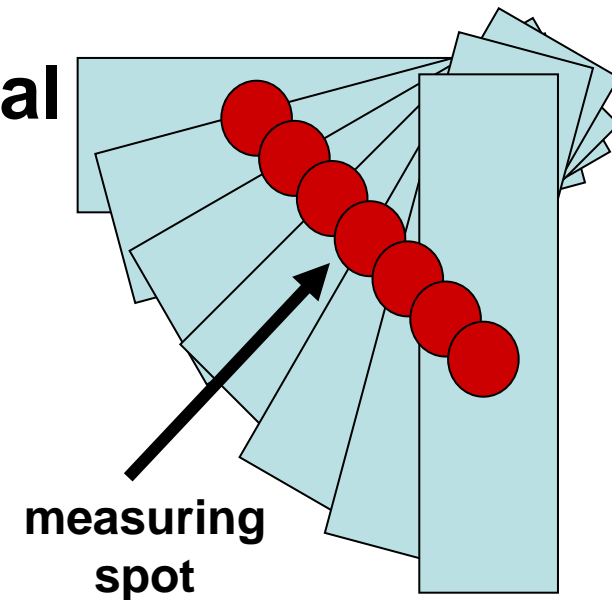
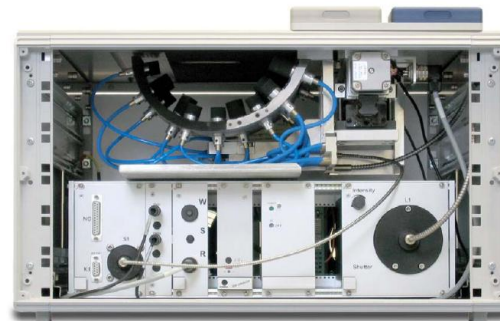
# Optical anisotropy in flake pigments

- **Purpose:**

- To obtain more information about the sBDRF of gonio-apparent samples with current instruments

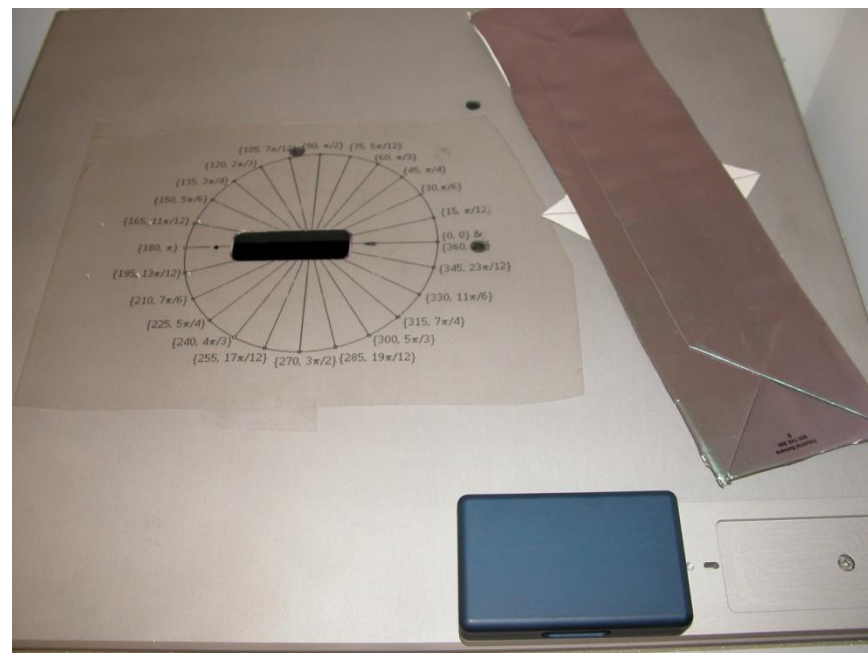
- **Our proposal**

- Rotating sample around the normal
- Multi-gonio-spectrophotometer with 10 angle-pairs



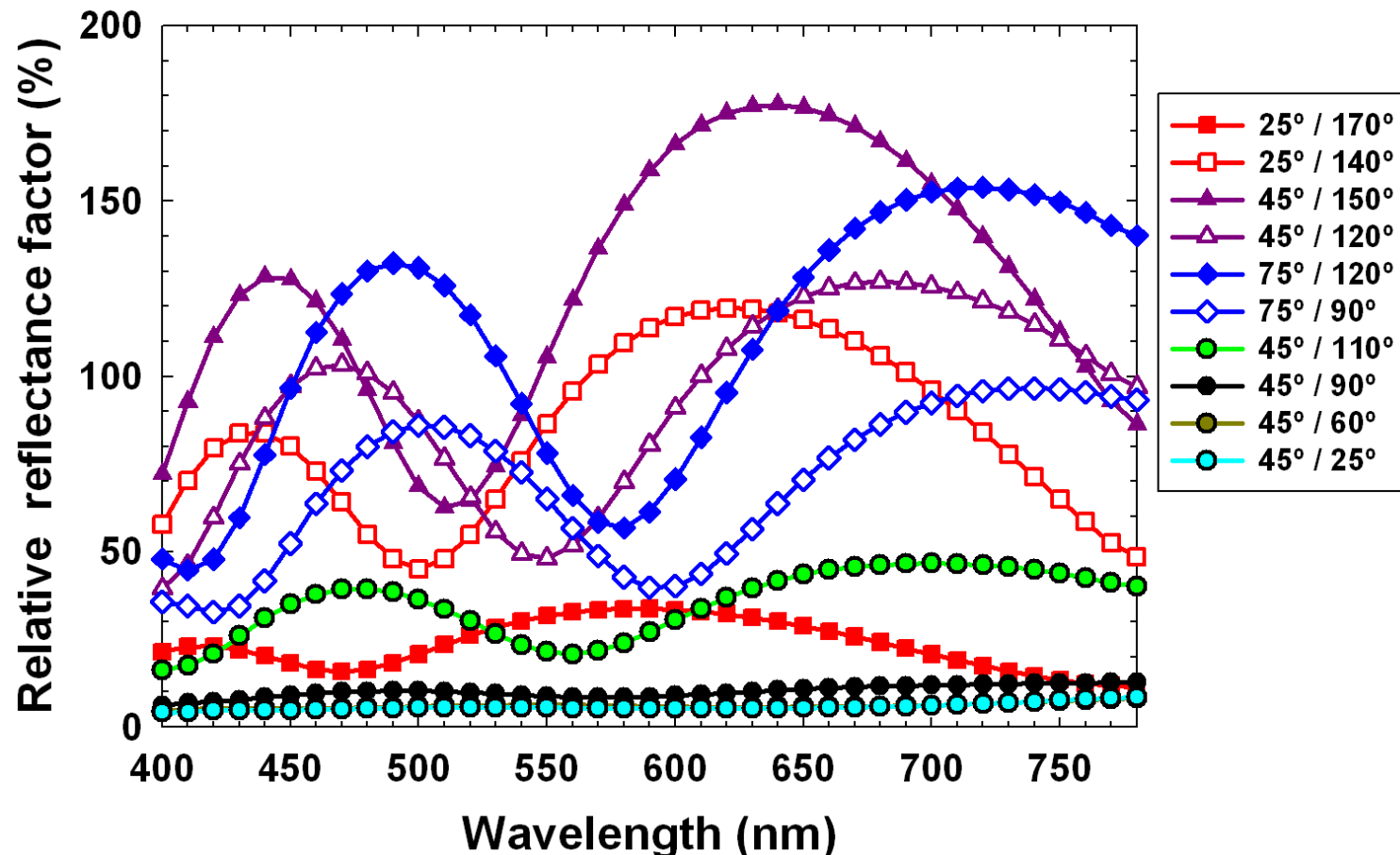
# Optical anisotropy in flake pigments

- **Material and methods:**
- **One gonio-apparent sample:**
  - Merck product: Colorstream T20-02 Arctic Fire Exterior (T20-02) PSD 5-40  $\mu\text{m}$  Rutile
  - Big size
    - Aperture size: 22 x 69 mm
    - Average measuring area:
      - 17,6 x 32,2 mm
- **One gonio-template**
  - Rotating angle step:
    - 15 deg, from 0 to 360 deg



# Optical anisotropy in flake pigments

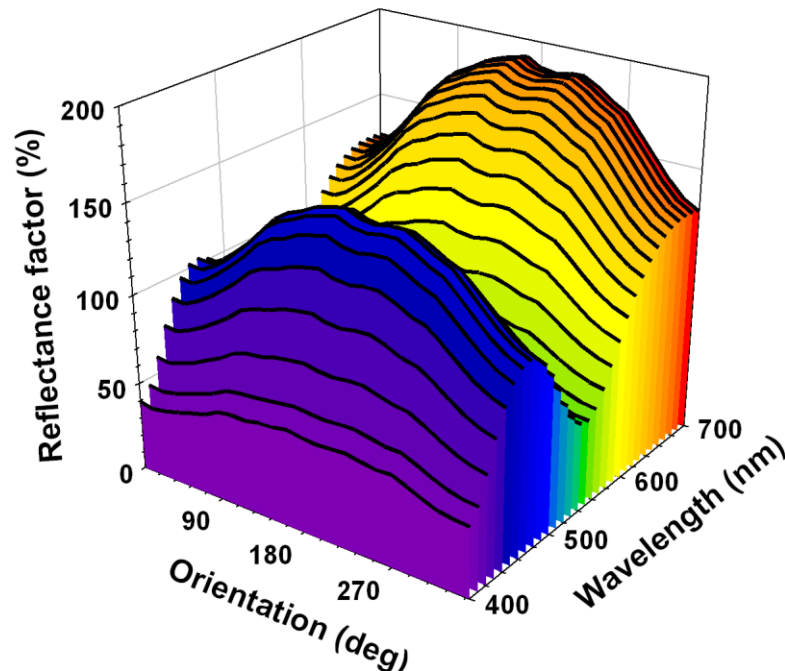
## • Results (I): Orientation 0 deg



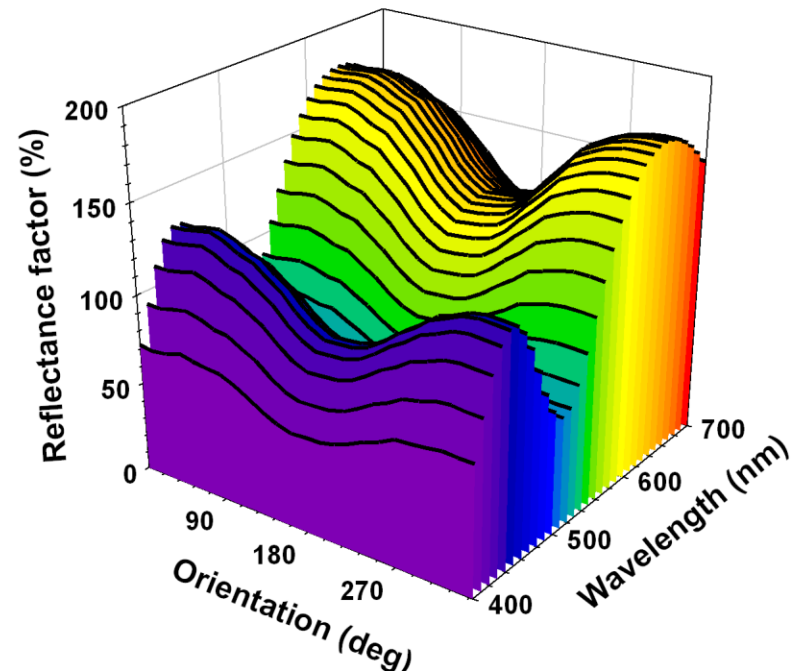
# Optical anisotropy in flake pigments

- Results (II): 3D spectral data
- Geometries 45/120 vs. 45/150 deg
  - Shift to the peaks towards longer wavelengths from “trans” to “cis”

Geometry: 45/120



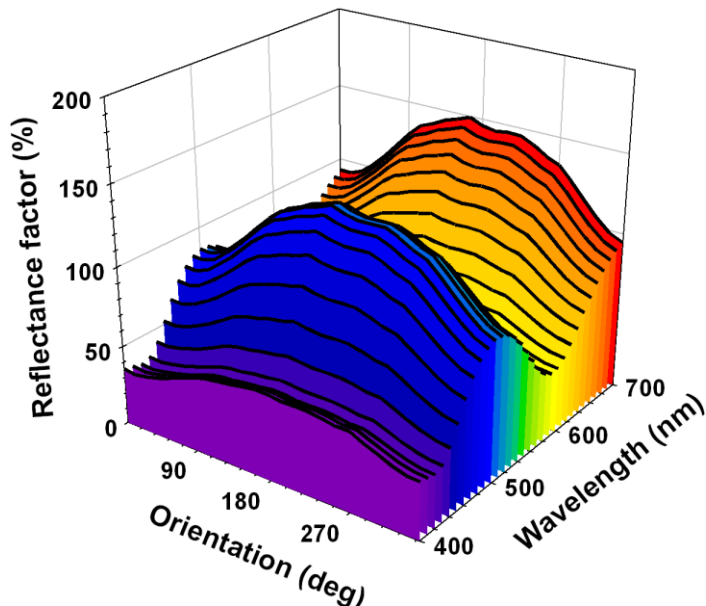
Geometry: 45/150



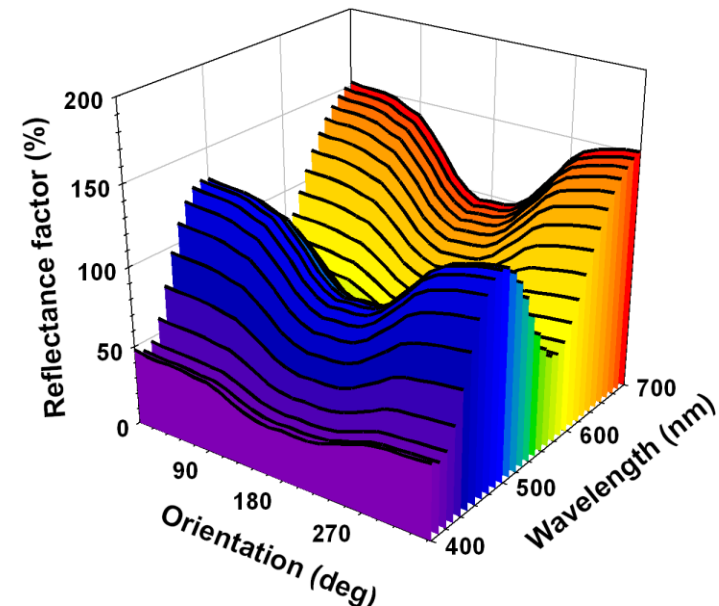
# Optical anisotropy in flake pigments

- Results (III): 3D spectral data
- Geometries 75/90 vs. 75/120 deg
  - There is not spectral reversibility between orientation pairs like 0-180, 15-195 deg, ..., etc

Geometry: 75/90

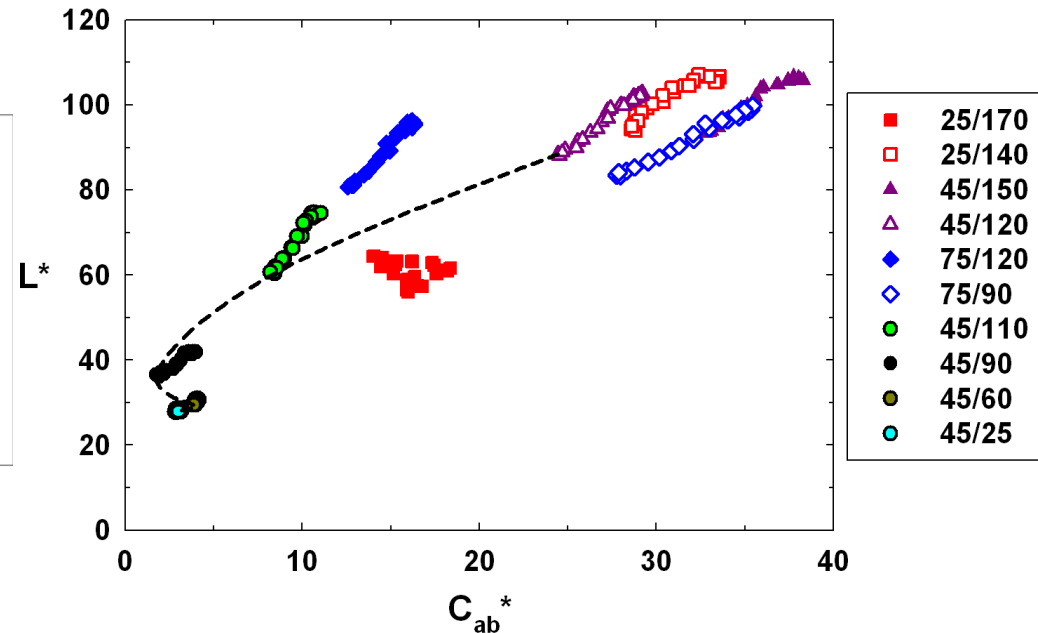
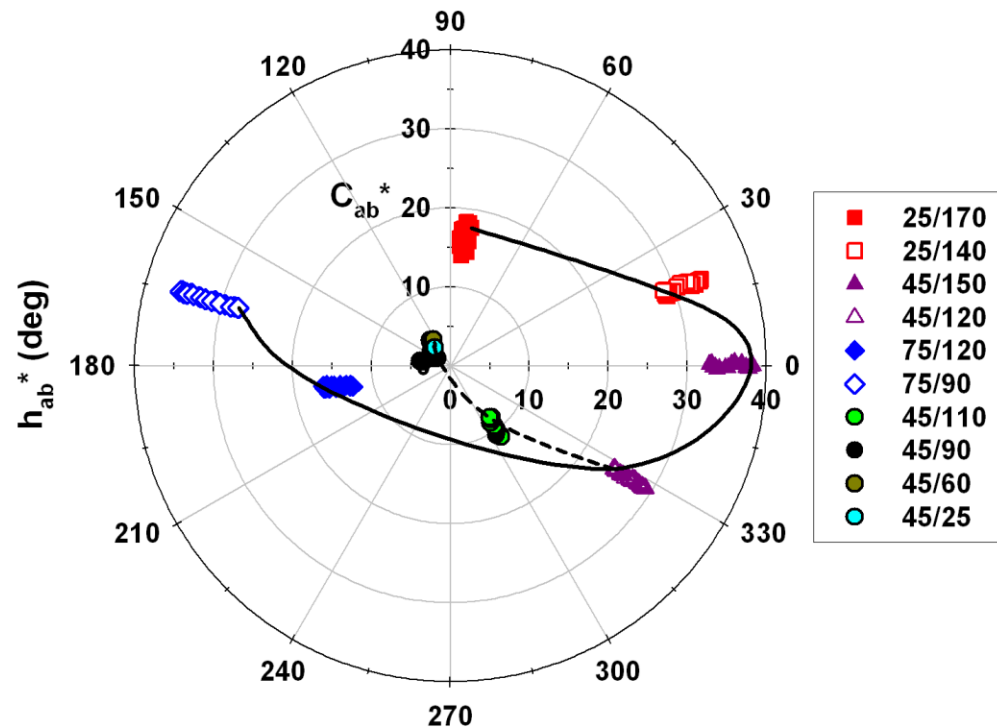


Geometry: 75/120



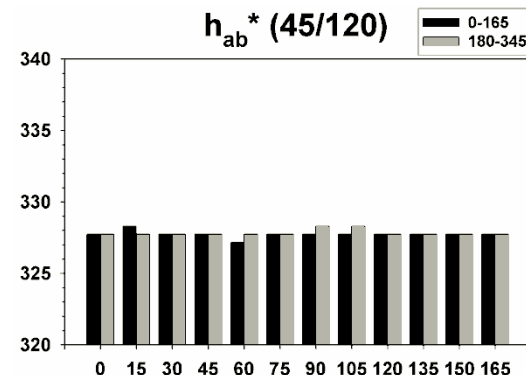
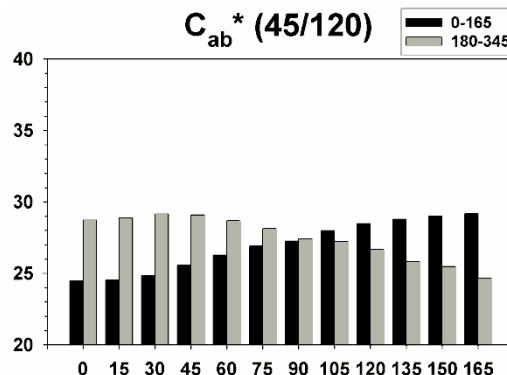
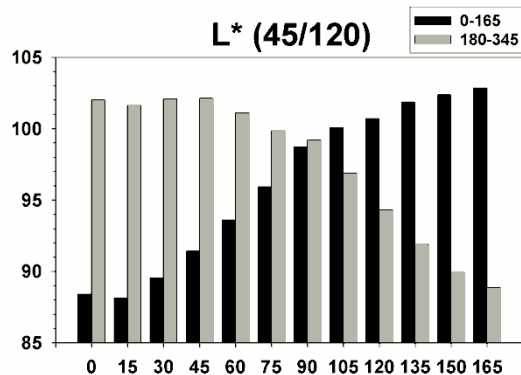
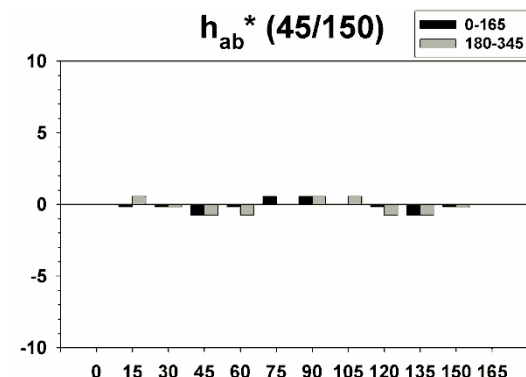
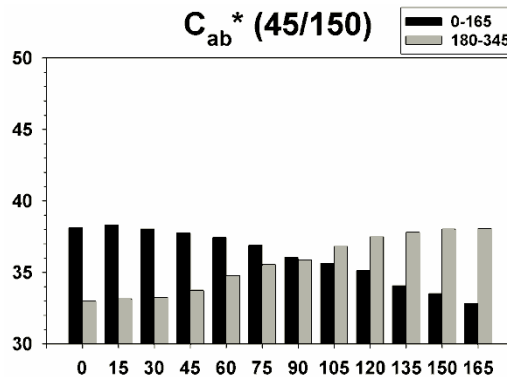
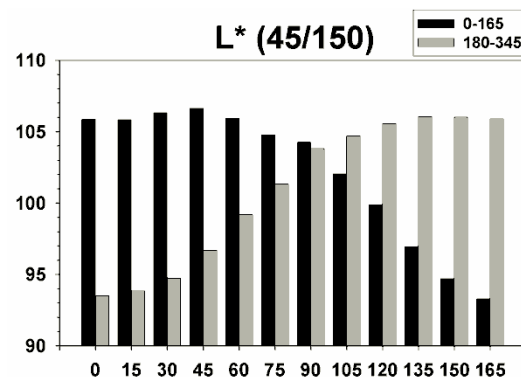
# Optical anisotropy in flake pigments

- Results (IV): all orientations & geometries



# Optical anisotropy in flake pigments

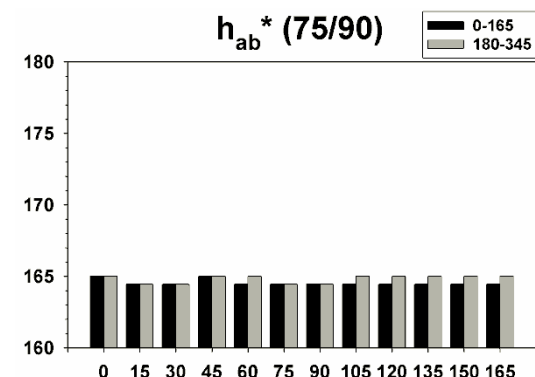
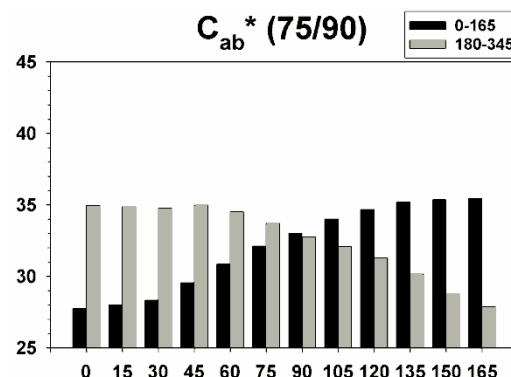
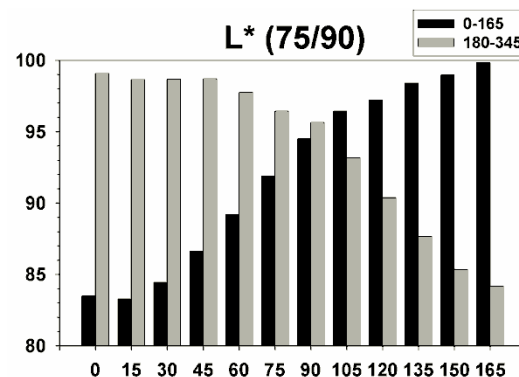
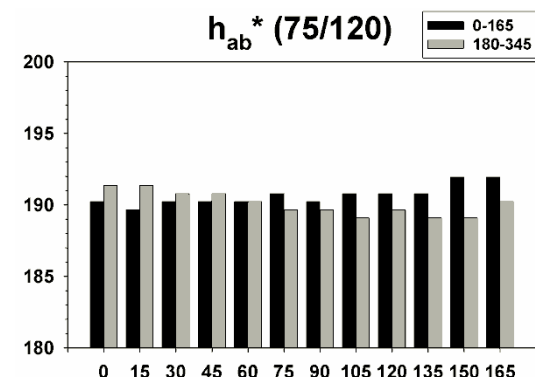
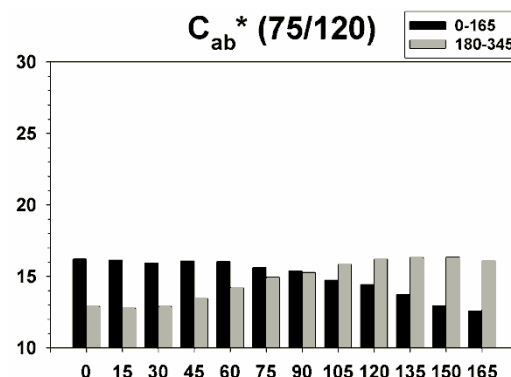
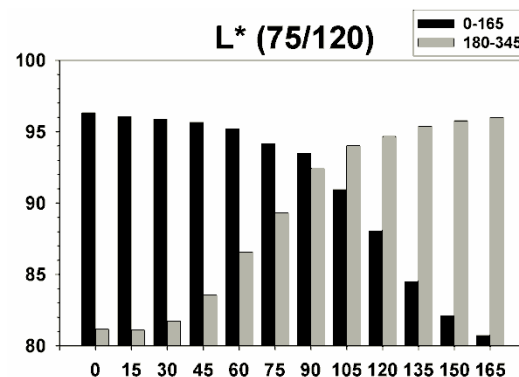
- Results (V): analysis of chromatic reversibility





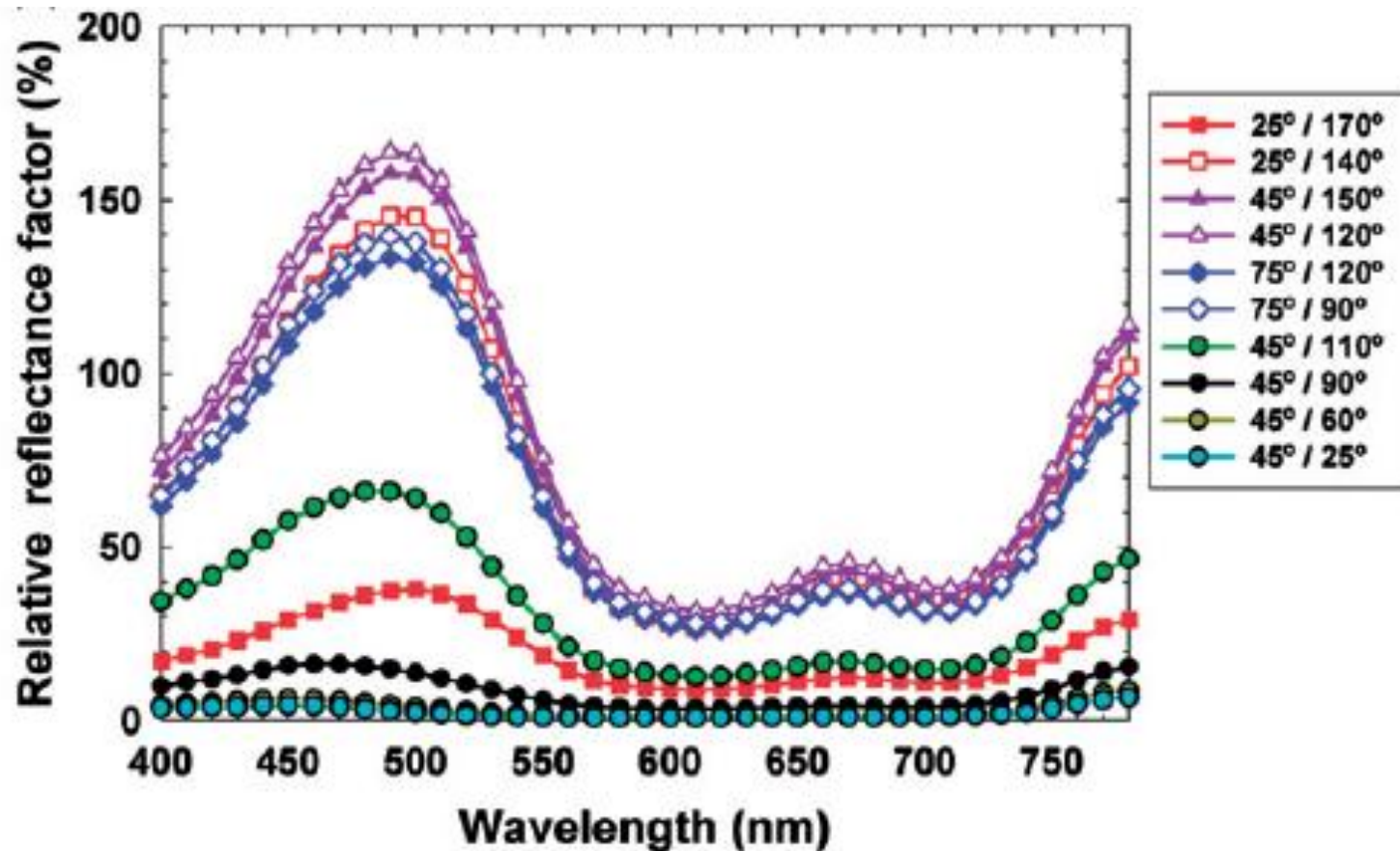
# Optical anisotropy in flake pigments

- Results (VI): analysis of chromatic reversibility



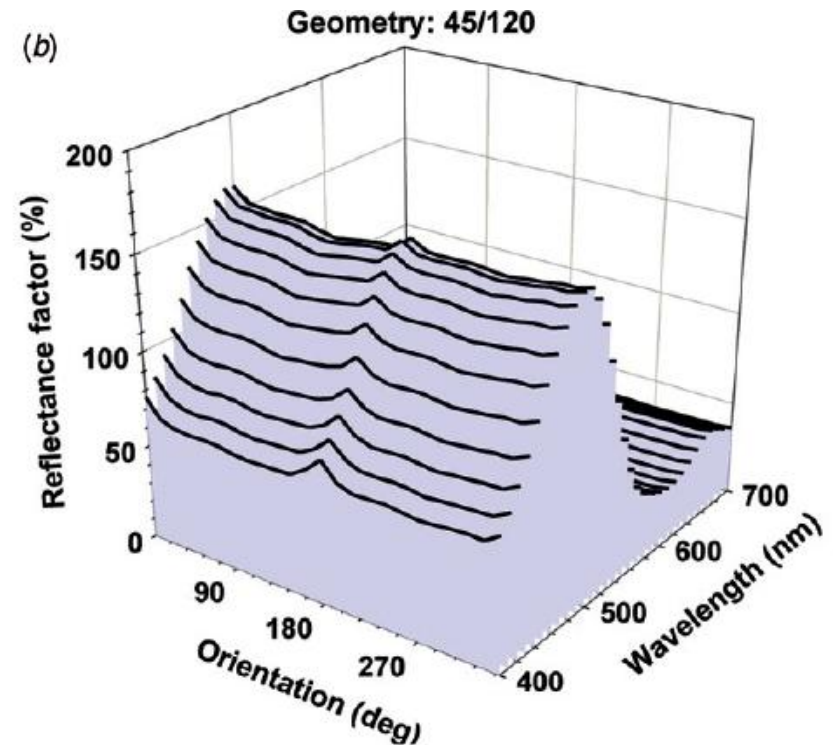
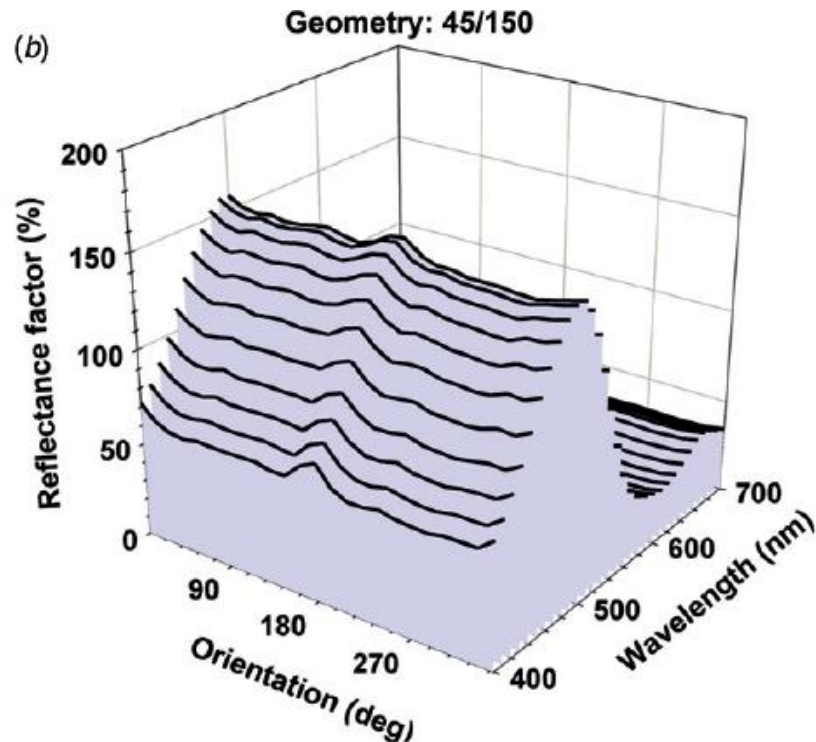
# Optical anisotropy in flake pigments

- Results (VII): metallic blue sample



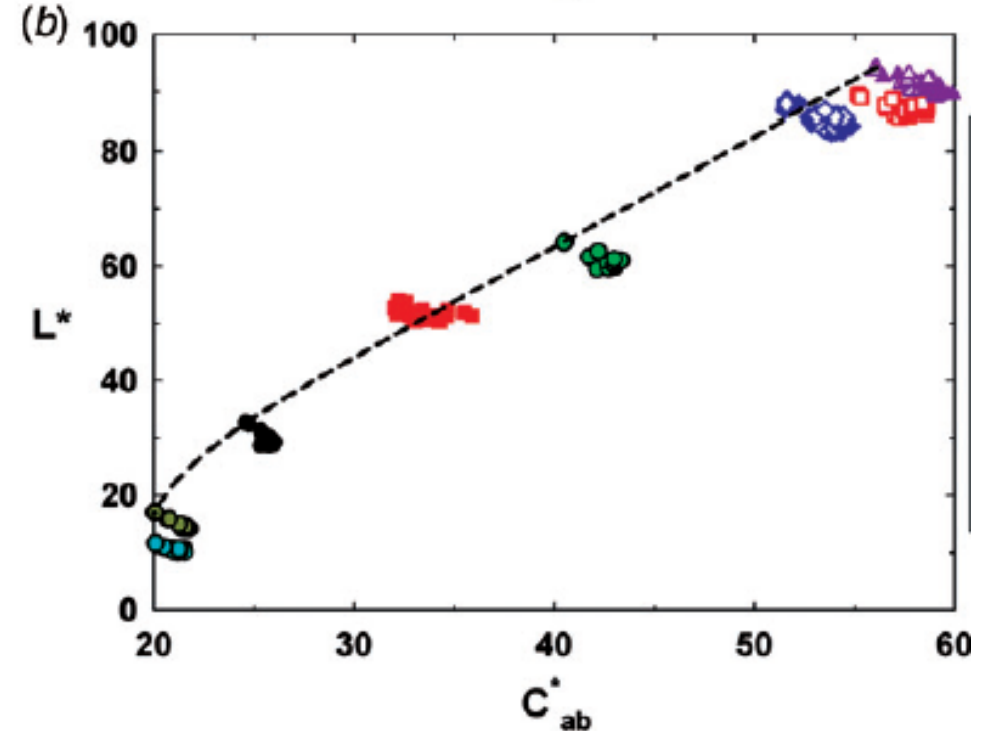
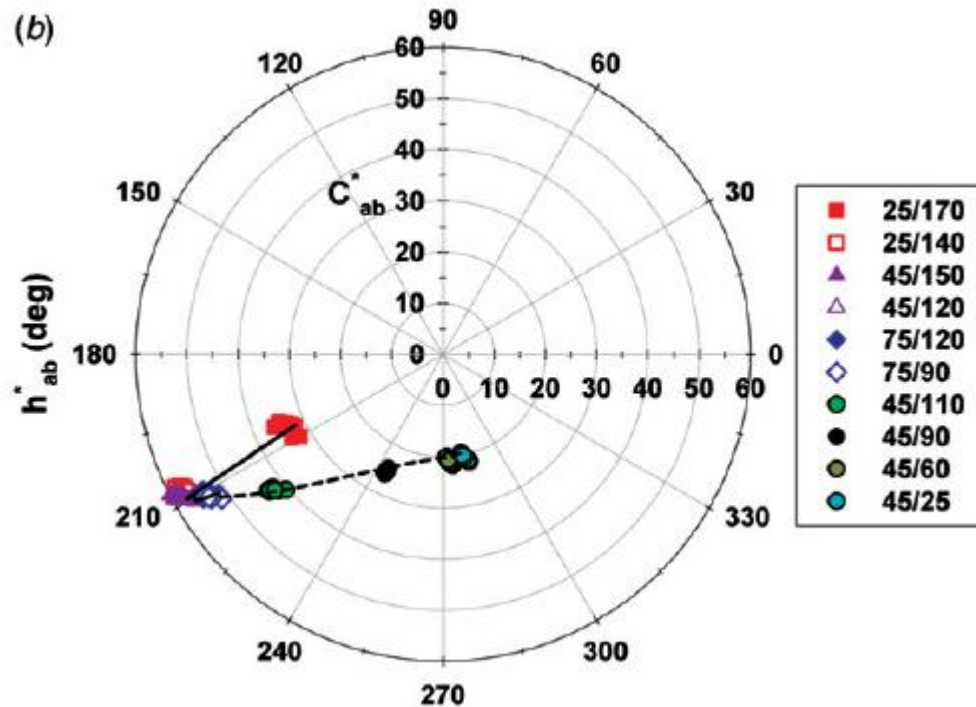
# Optical anisotropy in flake pigments

- Results (VIII): 3D spectral data
- Geometries 45/120 vs. 45/150 deg



# Optical anisotropy in flake pigments

- Results (IX): all orientations & geometries



# Optical anisotropy in flake pigments

- Are these color differences perceptible?

Table 2. Differences of colorimetric coordinates between angles associated to the aspecular geometry (45/150, trans) of pearlescent and metallic samples.

Angle pair	Pearlescent sample				Metallic sample			
	$\Delta L^*$	$\Delta C_{ab}^*$	$\Delta H_{ab}^*$	$\Delta E_{ab}$	$\Delta L^*$	$\Delta C_{ab}^*$	$\Delta H_{ab}^*$	$\Delta E_{ab}$
0°–180°	+12.37	+5.15	0.00	13.40	−0.48	−0.73	−0.04	0.87
15°–195°	+11.98	+5.18	+0.47	13.06	+0.23	−0.76	−0.05	0.80
30°–210°	+11.58	+4.80	0.00	12.54	+0.66	−0.96	−0.10	1.17
45°–225°	+9.92	+4.01	0.00	10.70	+0.88	−0.60	−0.40	1.14
60°–240°	+6.73	+2.66	+0.36	7.25	+0.98	−0.58	+0.03	1.14
75°–255°	+3.42	+1.35	+0.36	3.69	+1.18	−0.54	−0.06	1.30
90°–270°	+0.44	+0.15	0.00	0.46	+1.66	−0.37	−0.06	1.70
105°–285°	−2.67	−1.20	−0.36	2.95	+1.97	+0.14	−0.17	1.98
120°–300°	−5.69	−2.37	+0.36	6.17	+1.27	+0.39	−0.07	1.33
135°–315°	−9.08	−3.73	0.00	9.82	+0.47	+0.32	−0.37	0.68
150°–330°	−11.31	−4.52	0.00	12.18	+0.29	+0.84	+0.43	0.99
165°–345°	−12.61	−5.28	0.00	13.67	+1.05	+0.90	−0.10	1.38

# Optical anisotropy in flake pigments

- Are these color differences perceptible?

Table 4. Colour differences  $\Delta E_{ab}$  for the pearlescent sample viewing in two complementary azimuth angles for 10 measurement geometries.

Angle pair	25°/170°	25°/140°	45°/150°	45°/120°	75°/120°	75°/90°	45°/110°	45°/90°	45°/60°	45°/25°
0°–180°	4.71	12.31	13.40	14.25	15.50	17.17	13.50	5.26	0.94	0.41
15°–195°	3.84	12.18	13.06	14.18	15.31	16.85	13.05	5.69	0.86	0.39
30°–210°	4.57	11.89	12.54	13.26	14.45	15.61	12.29	5.01	0.83	0.43
45°–225°	4.32	10.30	10.70	11.27	12.38	13.23	10.71	4.25	0.88	0.41
60°–240°	3.08	7.15	7.25	7.89	8.84	9.32	7.40	3.30	0.57	0.21
75°–255°	1.70	3.98	3.69	4.12	4.90	4.83	3.69	2.17	0.30	0.19
90°–270°	1.09	1.06	0.46	0.60	1.08	1.17	0.53	0.46	0.11	0.22
105°–285°	1.45	2.68	2.95	3.28	3.30	3.81	3.97	1.83	0.47	0.33
120°–300°	1.12	5.74	6.17	6.66	6.87	7.65	7.27	3.00	0.73	0.24
135°–315°	2.85	9.02	9.82	10.37	11.16	11.86	10.67	4.68	0.87	0.30
150°–330°	3.78	11.24	12.18	12.93	14.09	15.13	12.99	5.30	0.96	0.41
165°–345°	4.64	12.59	13.67	14.70	15.65	17.43	14.21	5.60	1.06	0.50



# Optical anisotropy in flake pigments

- **Discussion (I): spectral data**
- **Relative reflectance factor**
  - Reflectance scale higher to 100 for some geometries and orientations
- **Shift of peaks towards longer wavelengths from “trans” to “cis”**
- **There is not spectral reversibility between angle pairs like 0-180, 15-195, ..., 90-270 deg, etc**
- **Spectral profiles are constant with orientation, for all geometries**

# Optical anisotropy in flake pigments

- **Discussion (II): colorimetric data**
- **Interference line:**
  - Trans (+15°) and Cis (-15°) from specular direction
  - Sudden change of hue in these directions
- **Aspecular line**
  - Same incidence angle, different reception angles
  - Increasing change of lightness and chroma, with slight variation of hue angle

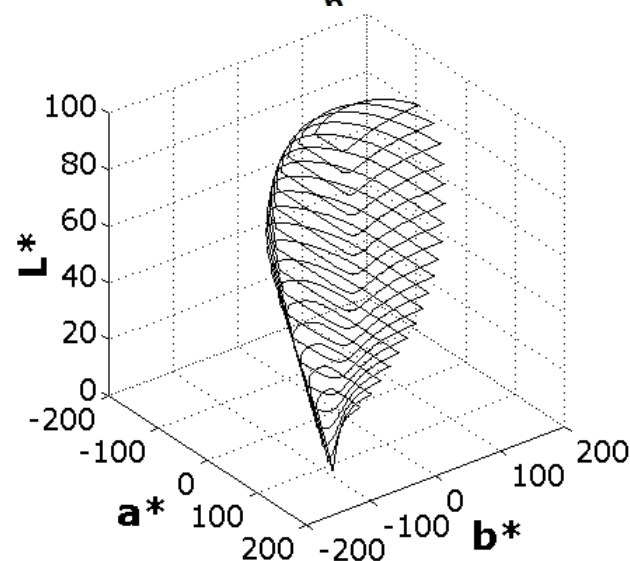
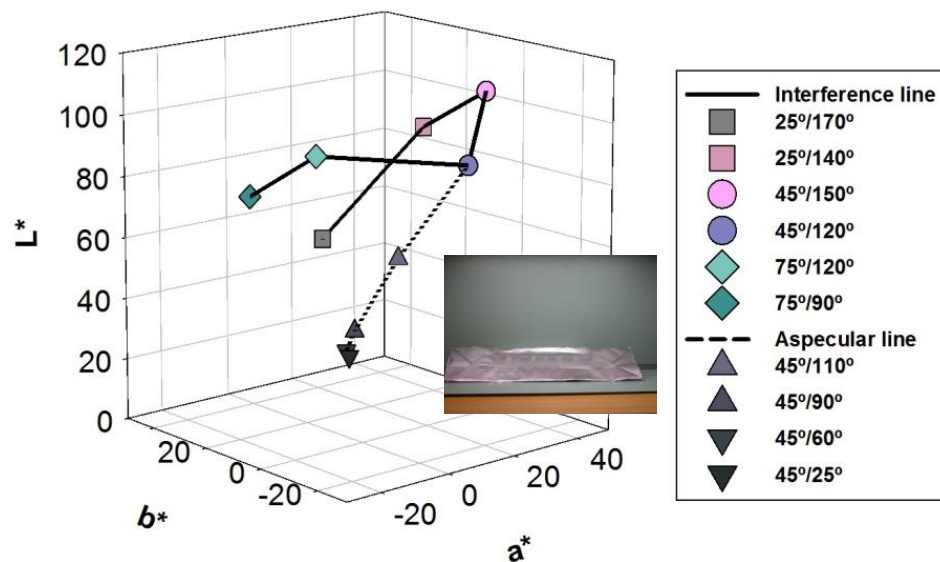
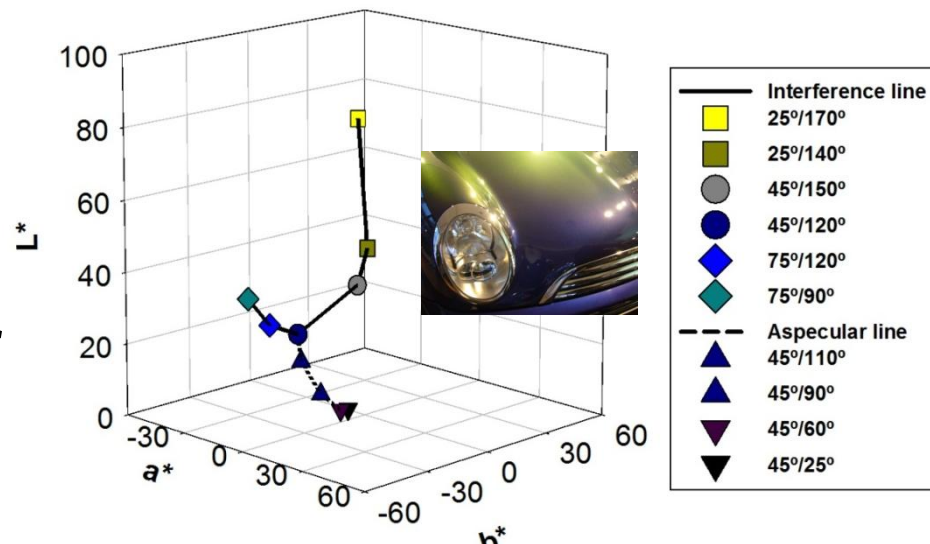


# Optical anisotropy in flake pigments

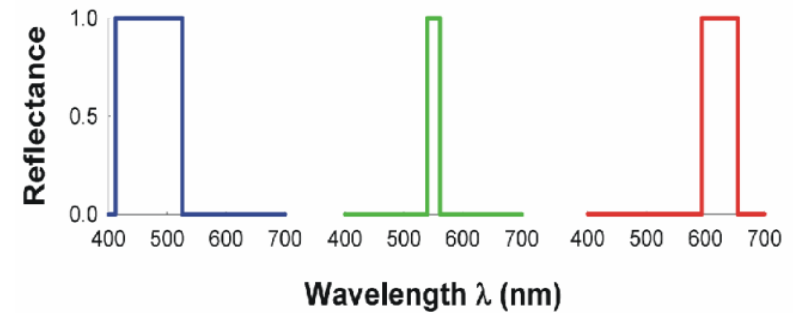
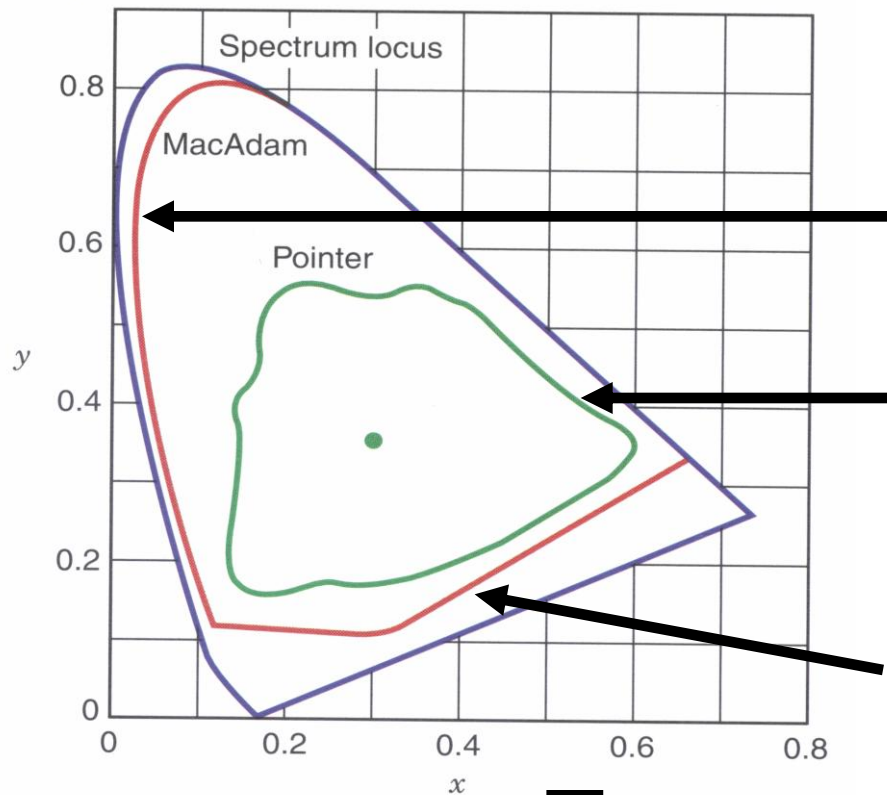
- **Discussion (III): colorimetric data**
- **All orientations & geometries:**
  - Constant hue angle
- **Failure of chromatic reversibility between orientation pairs**
  - “Trans” geometries:
    - 0 – 165 deg: from lighter – stronger to darker – weaker
    - 180 – 345 deg: vice versa
  - “Cis” geometries:
    - From darker – weaker to lighter – stronger, and vice versa

# Color gamuts outside theor. color solid

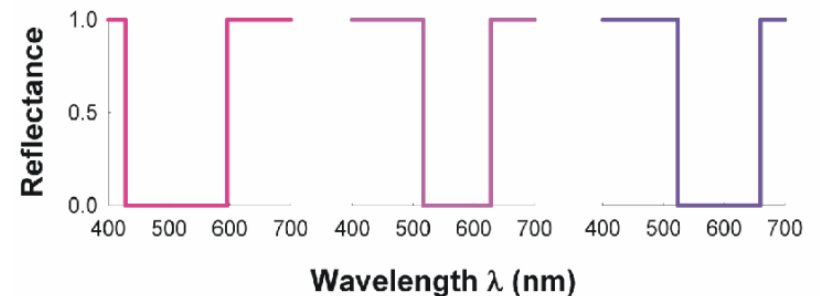
- Purpose:
- Are these special color samples **into** or **outside** of MacAdam/Pointer limits?



# Color gamuts outside theor. color solid



**real colors,  
smooth spectral data**



**and the gonio-apparent samples?**

# Color gamuts outside theor. color solid

- Database → 91 samples from commercial catalogues:

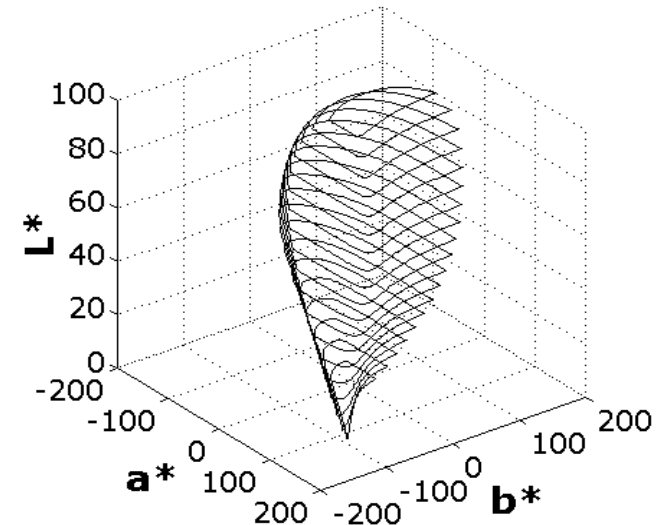
- Merck, BASF Coatings, etc



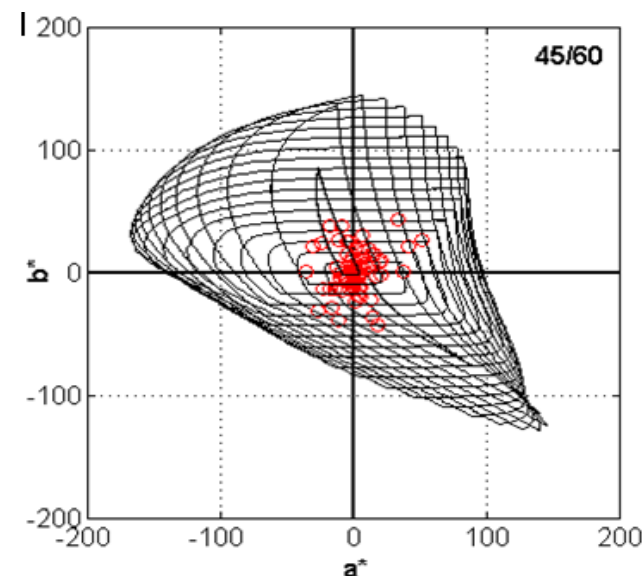
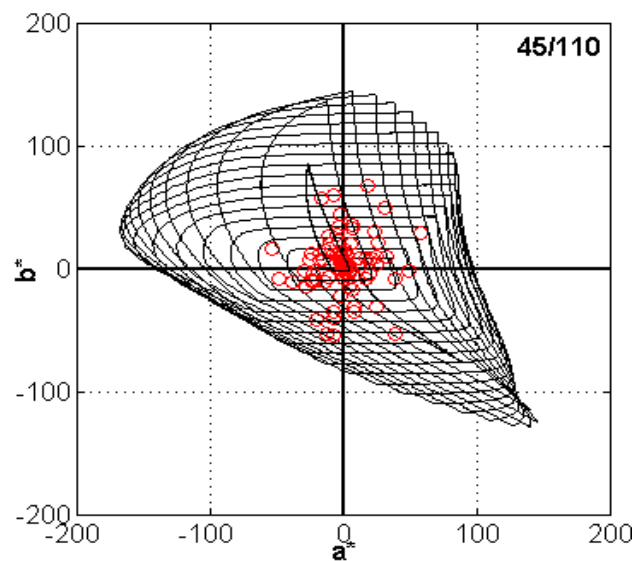
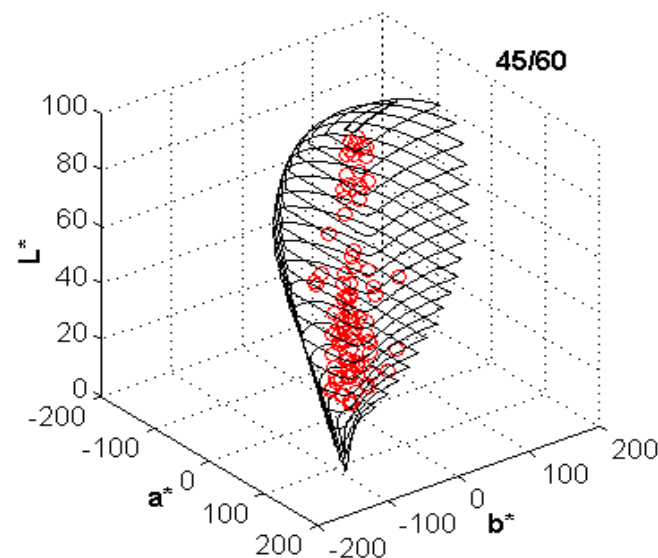
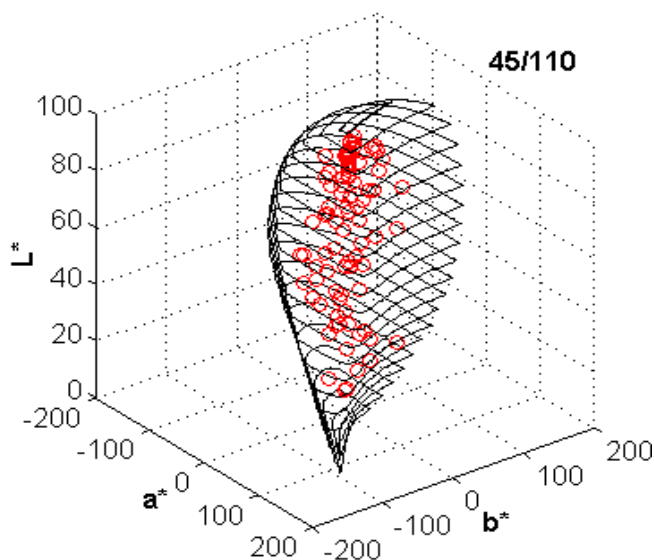
- Instrument → multi-gonio-spectrophotometer Datacolor FX10



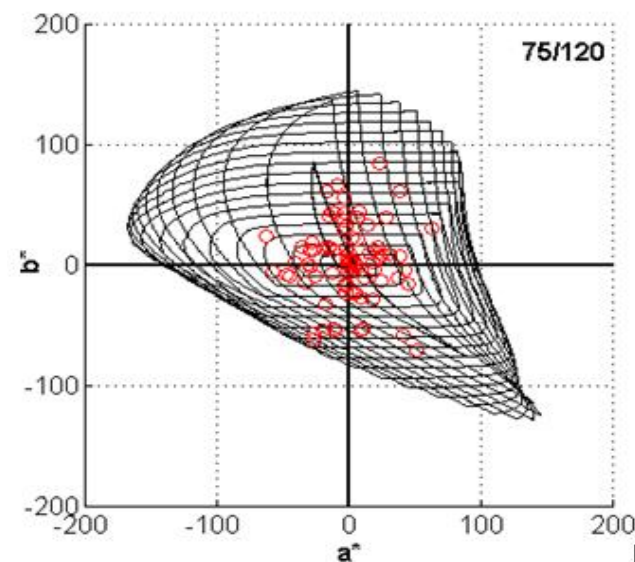
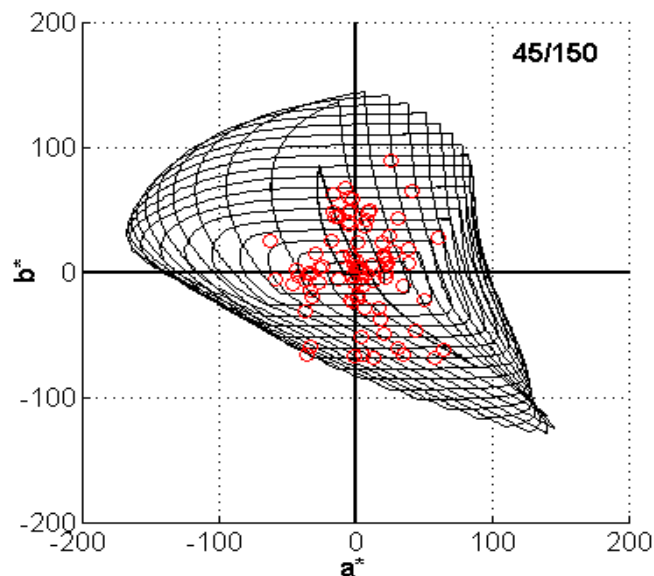
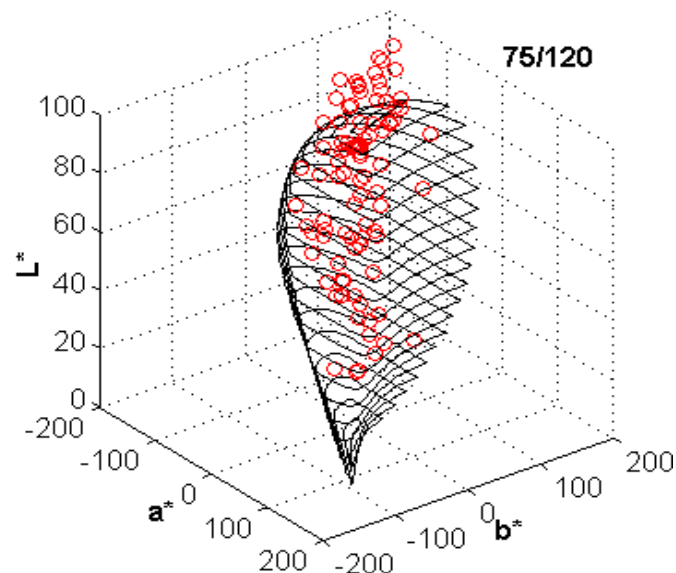
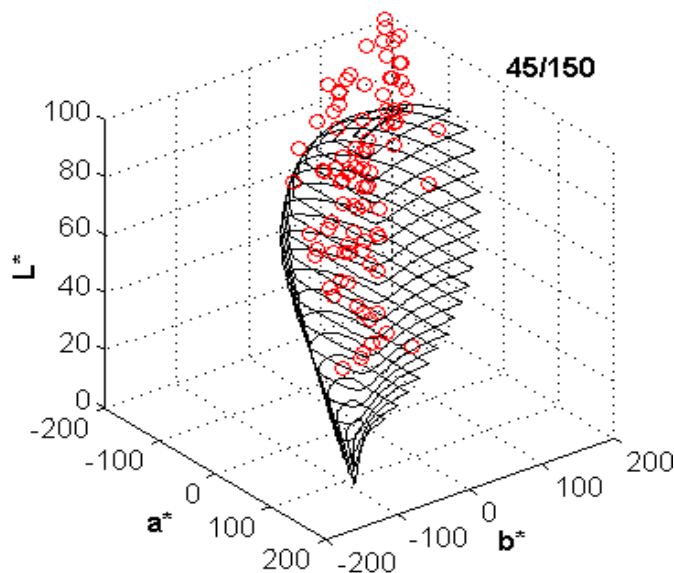
- Color solid
  - CIE-L\*a\*b\*, D65, CIE-1964



# Results in aspecular lines



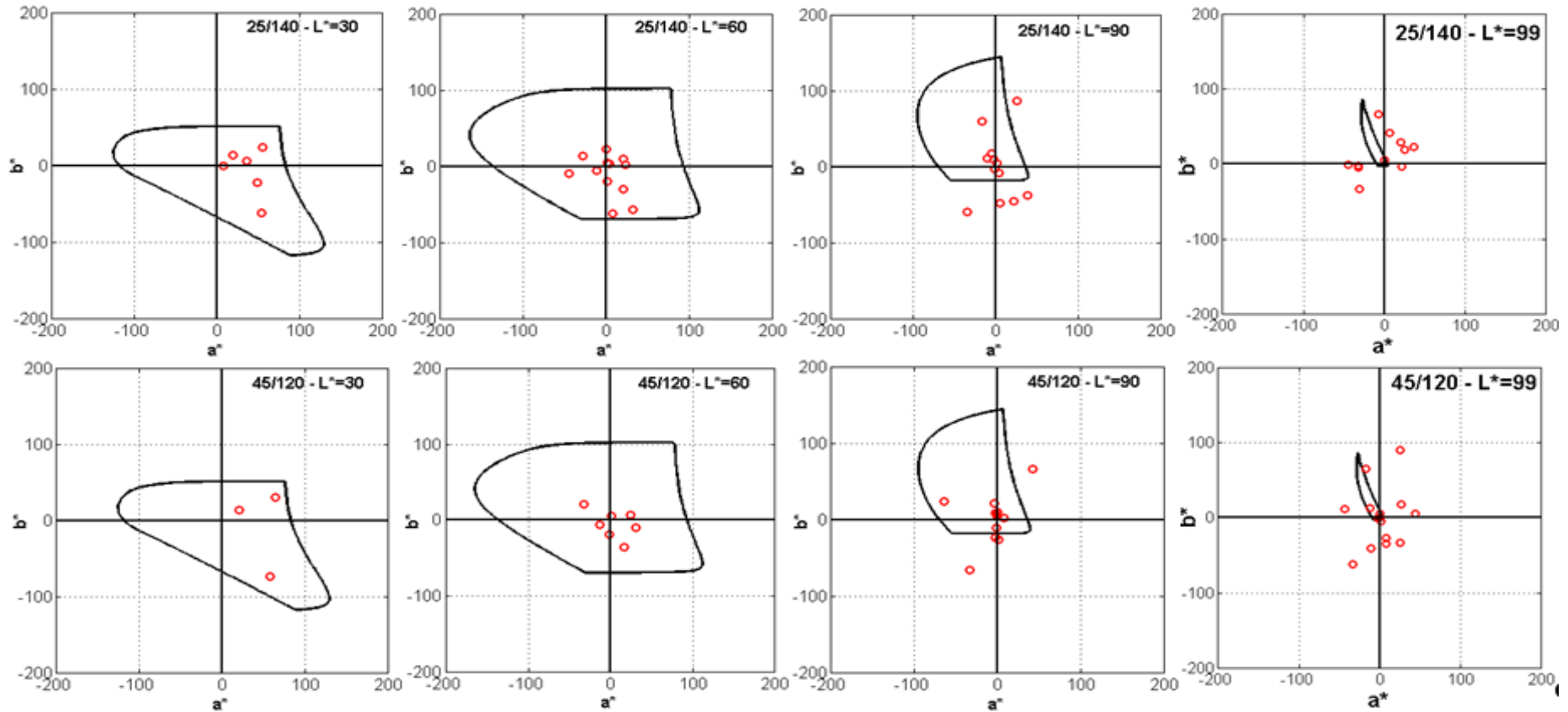
# Results in interference lines





# Results in constant $L^*$ planes

Top: 25/140 geometry,  $L^*$  planes: 30, 60, 90 and 99

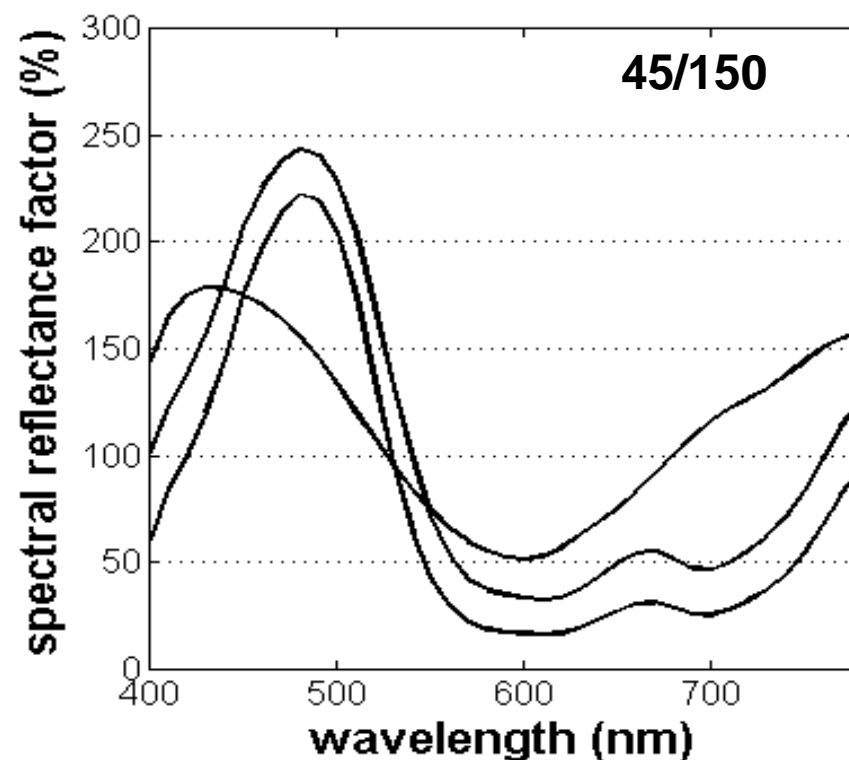
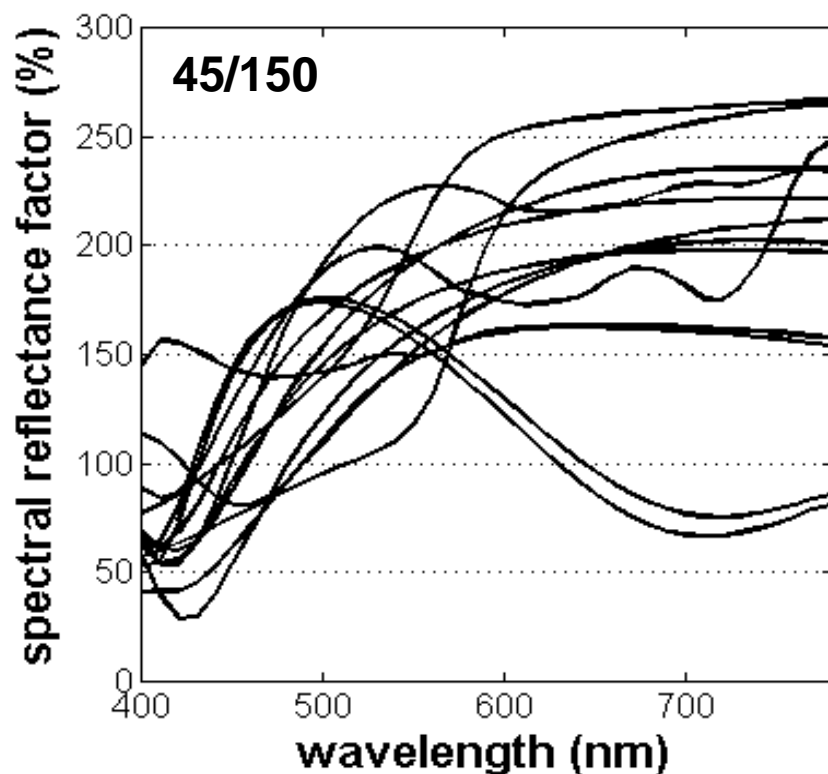


Bottom: 45/120 geometry,  $L^*$  planes: 30, 60, 90 and 99

# Spectral reflectances of gonio-samples

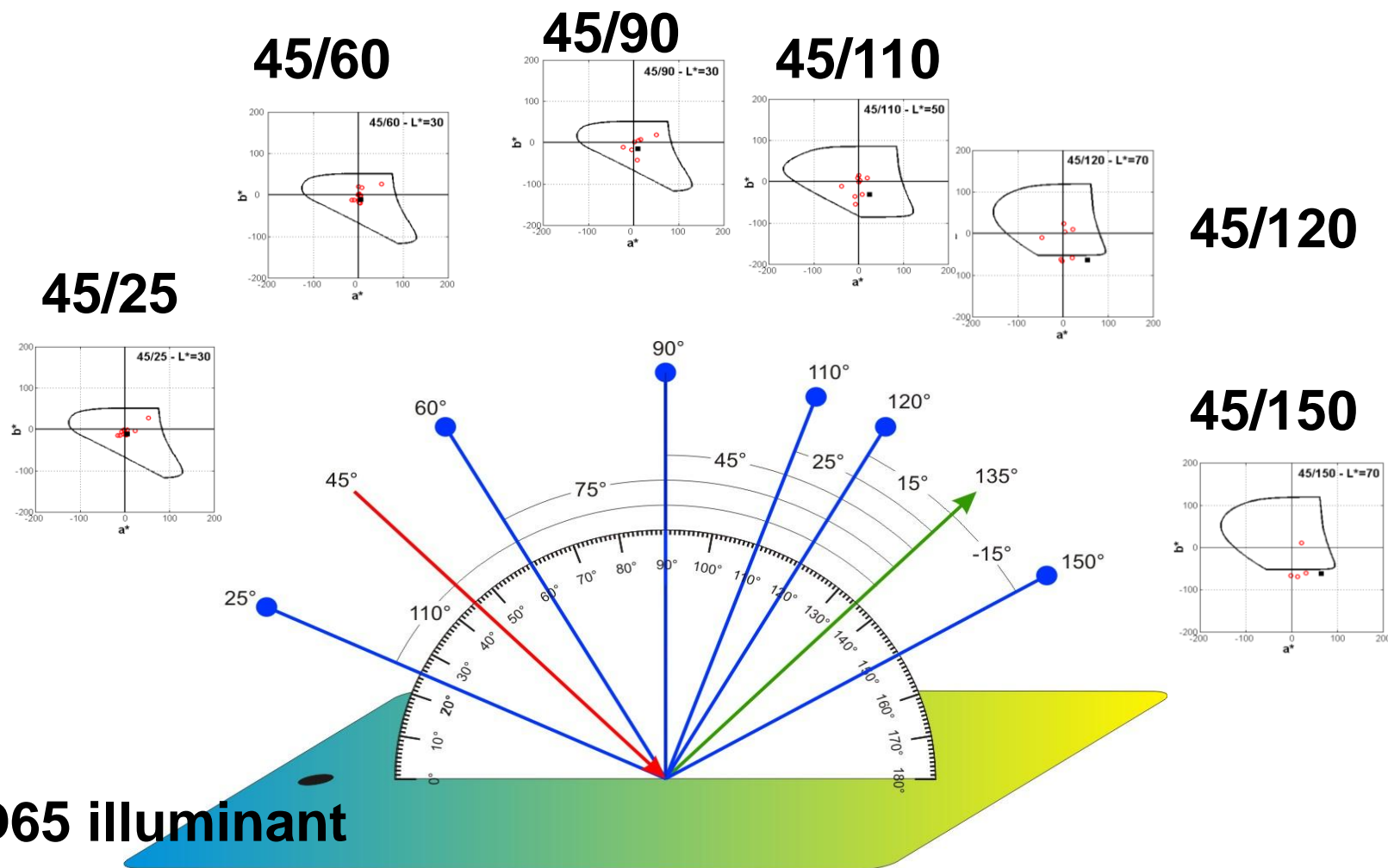
$$\rho(\lambda) > 150 \% \rightarrow L^* > 100$$

$$\rho(\lambda) > 150 \% \text{ but } L^* < 100 \rightarrow C^*_{ab} > C^*_{ab, \text{MacAdam}}$$



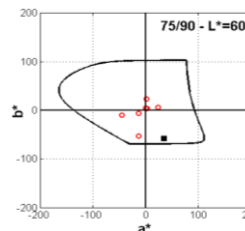


# “Road” across aspecular geometries

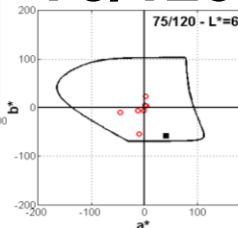


# “Road” across interference geometries

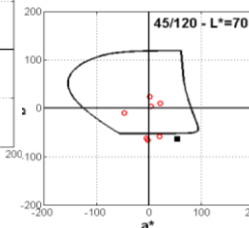
75/90



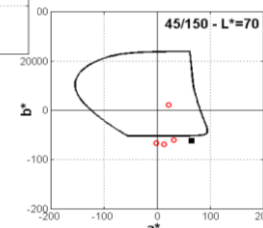
75/120



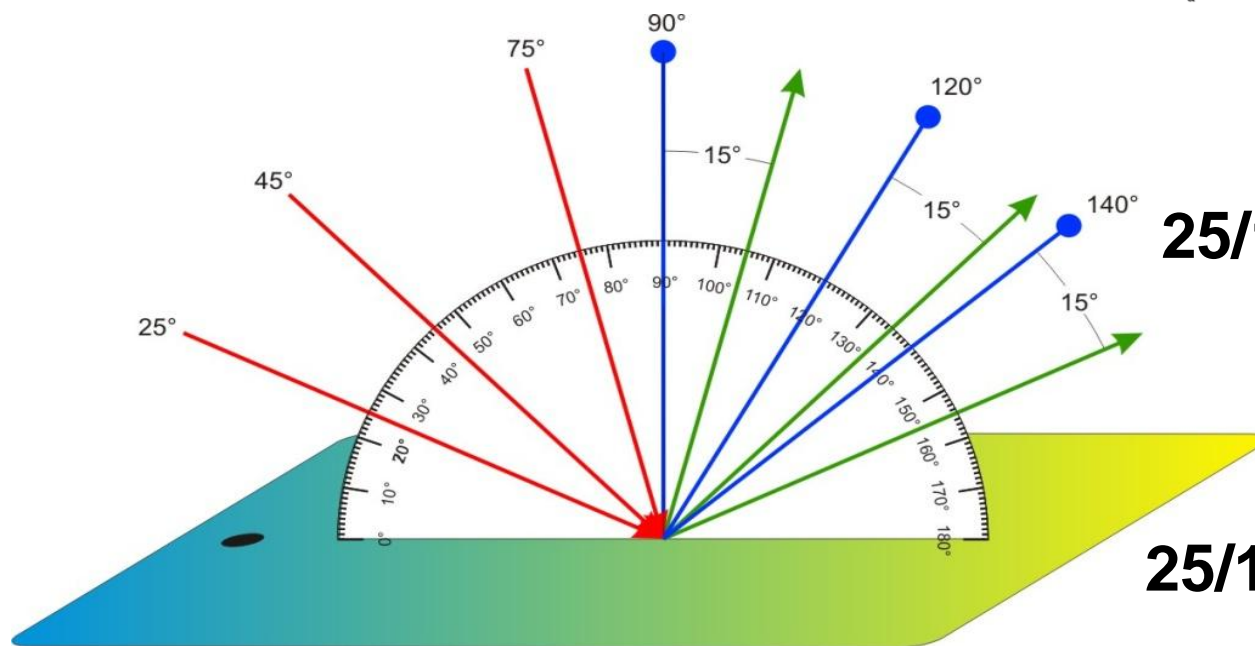
45/120



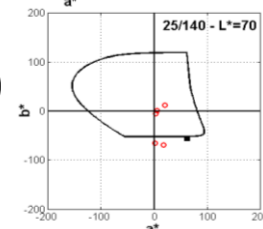
45/150



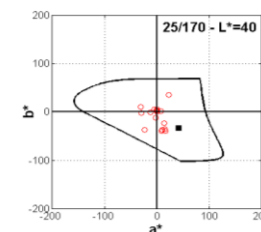
D65 illuminant



25/140



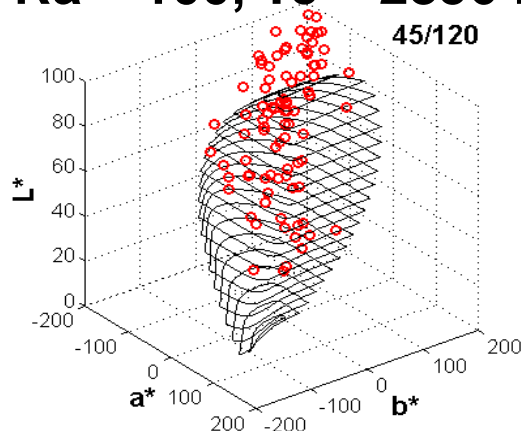
25/170



# Varying light source

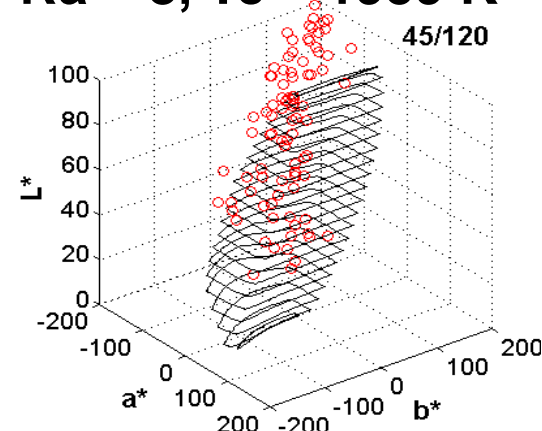
**Illuminant A**

**Ra = 100, Tc = 2856 K**



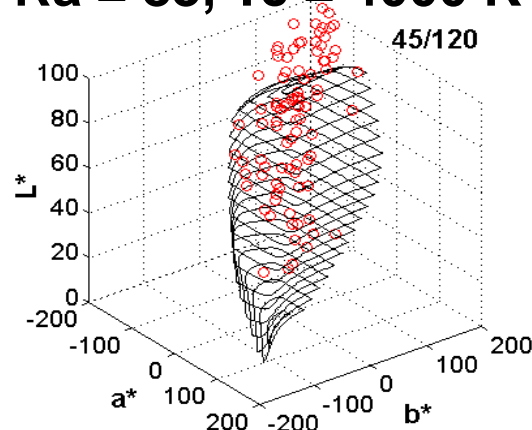
**Lamp HP1**

**Ra = 8, Tc = 1959 K**



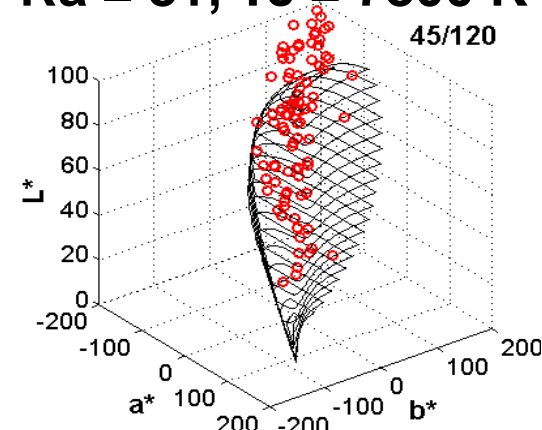
**Illuminant F11**

**Ra = 83, Tc = 4000 K**



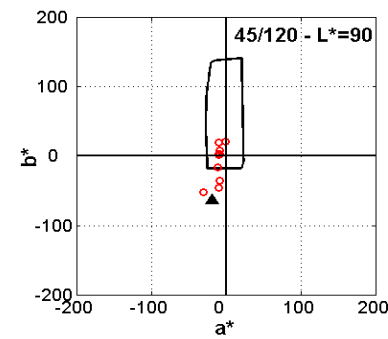
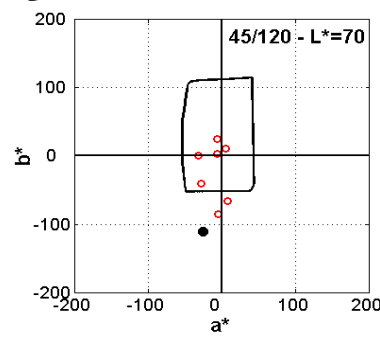
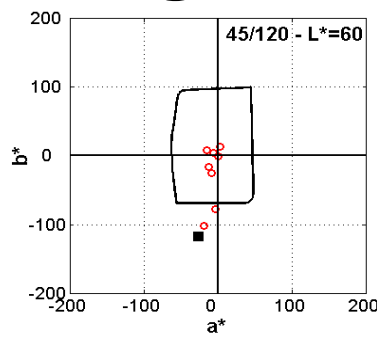
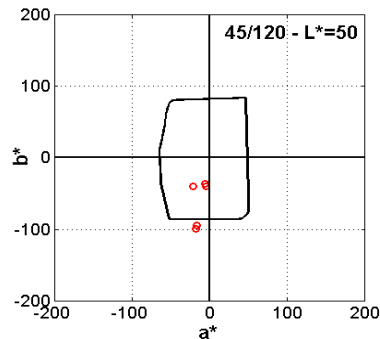
**Lamp wLED**

**Ra = 81, Tc = 7800 K**

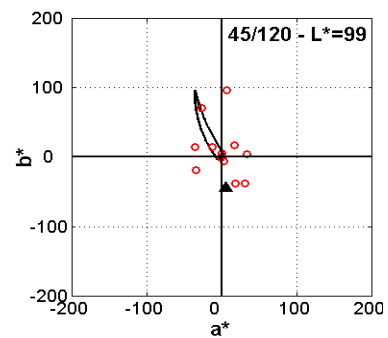
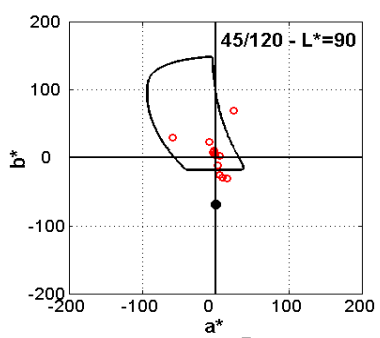
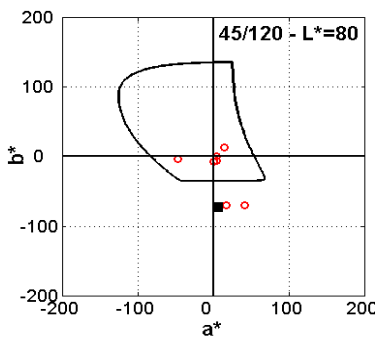
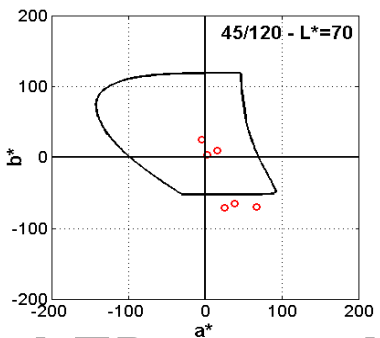


# Varying light source

- Some gonio-samples (different symbols for 3 special samples) in chromaticity diagrams with some lightness planes at 45/120 geometry



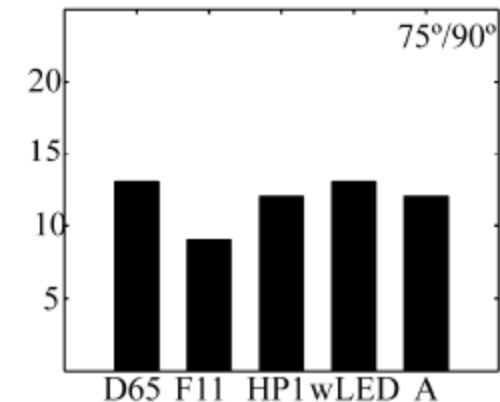
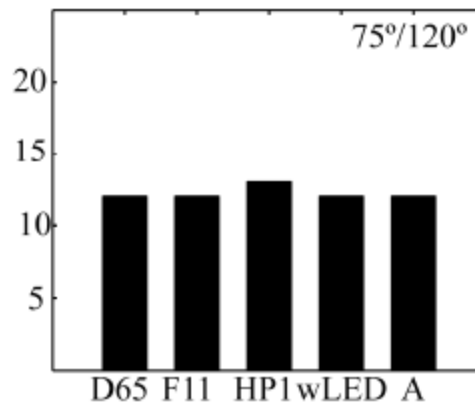
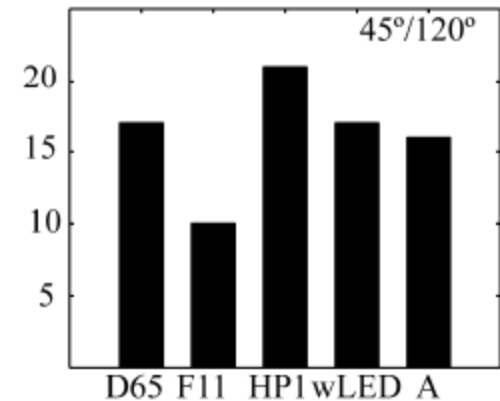
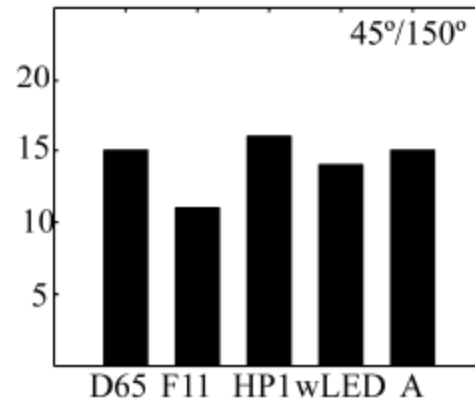
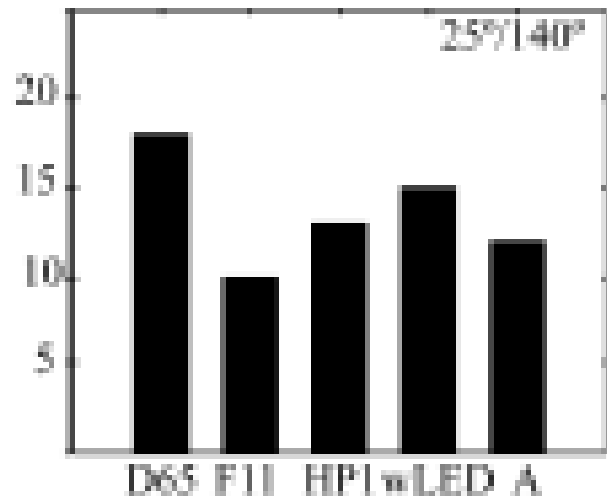
**HP1 lamp,  $L^*$  planes: 50, 60, 70 and 90**



**wLED lamp,  $L^*$  planes: 70, 80, 90 and 99**

# Varying light source

- Number of samples outside of MacAdam limits for different light sources and different geometries with  $C_{ab}^{*} > C_{ab, \text{MacAdam}}^{*}$



# Color gamuts outside theor. color solid

- **Conclusions:**
- There are chromatic perceptions **outside** MacAdam limits, even **simultaneously** for some illuminants and real light sources
  - Interference lines is very important at metrological level
  - There are gonio-samples with  $C^* > C^*_{\text{MacAdam}}$ , with  $L^* < 100$
  - Valid for any out-of-plane geometry?
  - For any special-effect pigment?
- Therefore, the concept of classical optimal color, from Rösch-MacAdam theory, should be revised

# Color gamuts outside theor. color solid

- **Future perspectives:**
- **Great opportunities for Design, Arts, Architecture and Computer Graphics**
  - Will can future digital color technologies offer these new chromatic perceptions?
  - At spectral or colorimetric level?
- **Which spectral dynamic ranges provide chromatic encodings outside of MacAdam limits for many illuminants and light sources?**
- **Which 3D measurement geometries would be interesting for these topics?**

# Reproducibility between multi-gonios

- **Purpose:**
  - To compare the reproducibility of some multi-gonio-spectrophotometers following the ASTM E2214-08 rules for the common geometries
  - Available instruments: comparisons by pairs
    - X-Rite MA68II and X-Rite MA98
    - Datacolor FX10
    - BYK-mac





# Reproducibility between multi-gonios

- **Materials and Methods:**
- **Database of 88 gonio-apparent samples**
- **Measure conditions: 20 times without replacement for the four instruments**
- **Calculation of the relative reflectance factors and colorimetric coordinates (illuminant D65, CIE-1964 standard observer)**
- **Calculation of the partial and total colour differences in CIELAB color space**
- **Statistical studies: Hotelling's test and the inter-comparison-test (ASTM E2214-08)**



# Reproducibility between multi-gonios

- Statistical inter-comparison test:

**Critical Value**  $t_{\Delta E} = \sqrt{\frac{\chi_3^2}{n \cdot g_E}}, \quad n = 88$

$\chi^2 \rightarrow$  chi-square value for 3 degree of freedom

$n \rightarrow$  total of measurements

$g_E \rightarrow$  parameter calculated by the following equation:

$$g_E = g_{11}\alpha^2 + g_{22}\beta^2 + g_{33}\gamma^2 + 2g_{12}\alpha\beta + 2g_{23}\beta\gamma + 2g_{13}\alpha\gamma$$

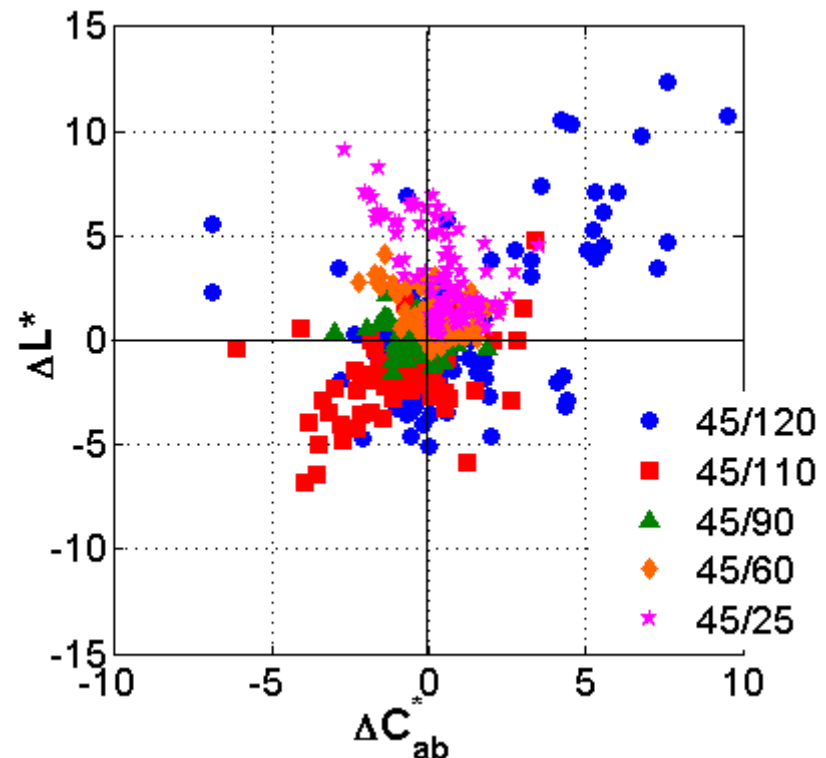
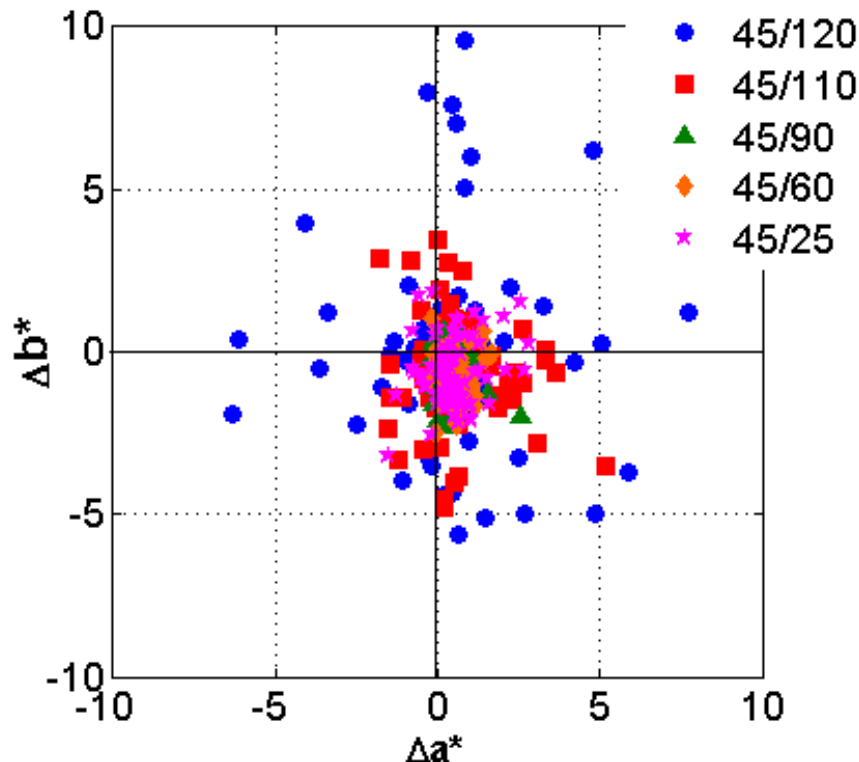
$$\alpha = \frac{\text{mean}(\Delta L^*)}{\text{mean}(\Delta E_{ab})}, \quad \beta = \frac{\text{mean}(\Delta a^*)}{\text{mean}(\Delta E_{ab})}, \quad \gamma = \frac{\text{mean}(\Delta b^*)}{\text{mean}(\Delta E_{ab})}$$

**if Average( $\Delta E_{ab}$ ) >  $t_{\Delta E} \Rightarrow$  statistically significant**

# Reproducibility between multi-gonios

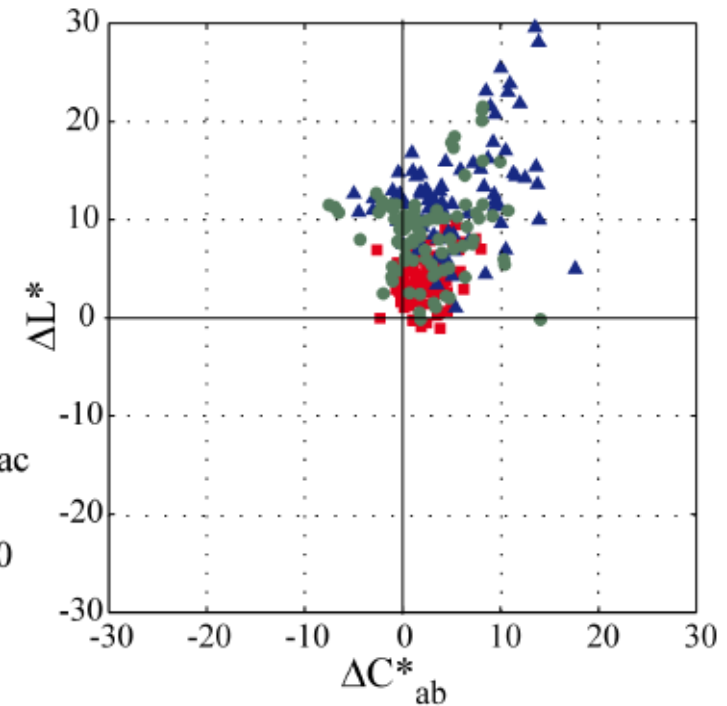
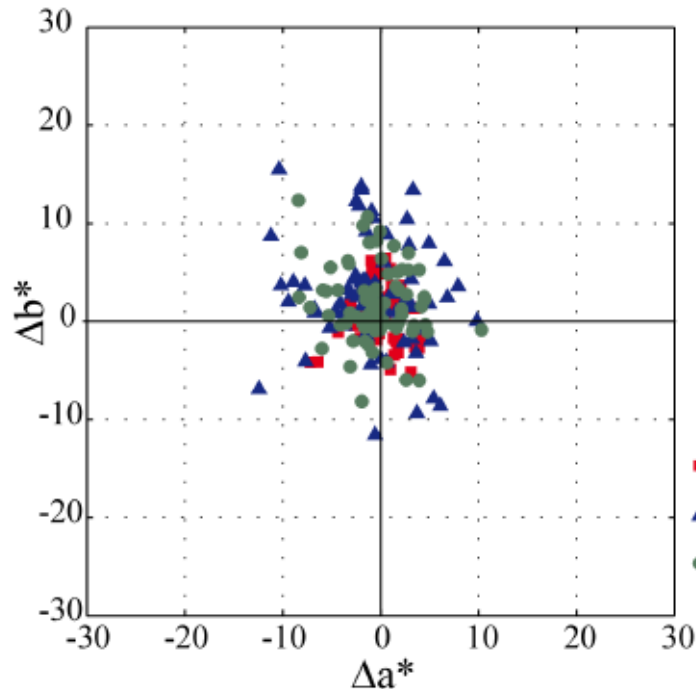
## • Results: MA98 vs. FX10

- Are these color deviations from identical gonio-apparent samples systematic or random (non-statistically significant)?



# Reproducibility between multi-gonios

- **Results: MA98 vs. mac vs. FX10 for 45as-15**
  - Are these color deviations from identical gonio-apparent samples systematic or random (non-statistically significant)?



# Reproducibility between multi-gonios

- Results: MA98 vs. mac vs. FX10 for 45as-15
  - Are these color deviations systematic or random (non-statistically significant)?
  - Test: if  $\Delta E_{ab} < t_{\Delta E} \Rightarrow$  statistically not significant differences

45°x:-60° (as -15°)	MA98 vs. BYK- mac			MA98 vs. FX10			BYK- mac vs. FX10		
	$\Delta L^*$	$\Delta a^*$	$\Delta b^*$	$\Delta L^*$	$\Delta a^*$	$\Delta b^*$	$\Delta L^*$	$\Delta a^*$	$\Delta b^*$
Average	3.87	1.37	2.01	12.55	3.08	4.26	8.75	2.31	2.92
[Max]	9.47	7.16	6.45	29.58	12.44	15.50	21.53	10.29	12.35
[Min]	1.09	4.03	5.15	1.02	9.82	11.56	0.18	8.40	8.15
P		0.000			0.000			0.000	
$t_{\Delta E}$		0.806			1.622			0.037	
$\Delta E_{ab}^*$		4.988			14.278			10.169	

# Reproducibility between multi-gonios

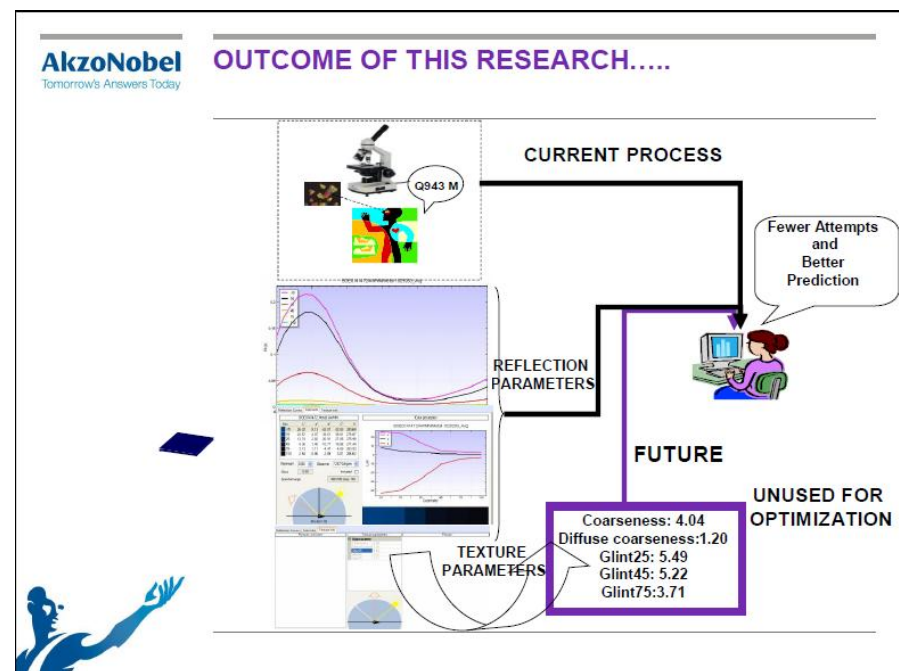
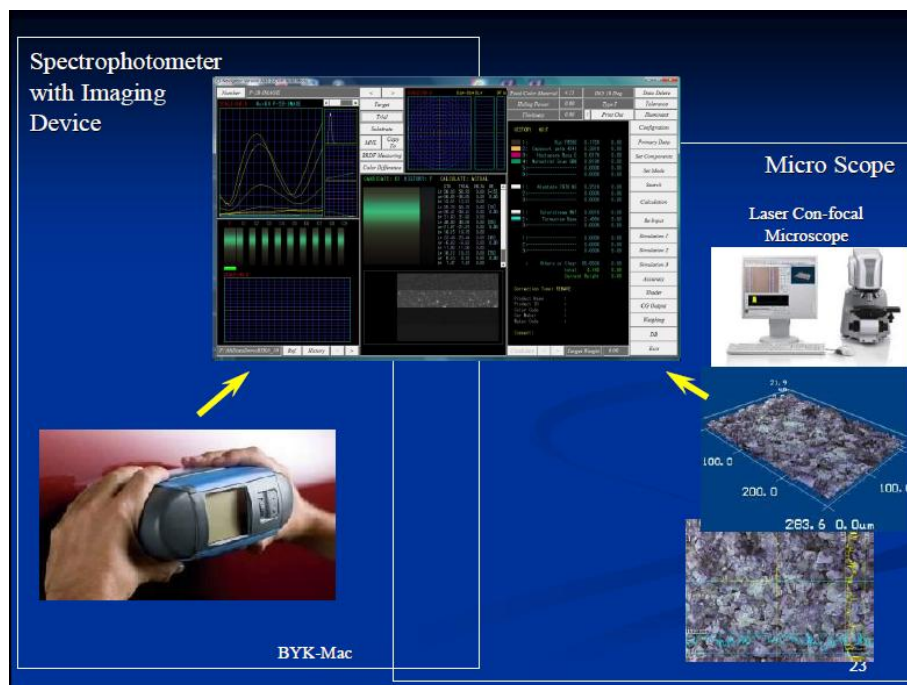
- **Conclusions: MA98 vs. mac as the best pair**
- **Most of the measurement geometries are statistically significant → angle tolerances, photometric scales, white standards, etc.**
- **Both statistical tests are not valid to discriminate and quantify the detected bias errors**
- **Intrinsic difficulty to find efficient methods for comparing the reproducibility in multi-gonio-spectrophotometers**

# Outline

- **What is? How is it measured and perceived?**
  - Current instrumentation and standards
- **How these visual effects are caused by?**
- **New findings from the GVC-UA**
- **Future challenges:**
  - Modeling and prediction of visual appearance
  - New materials and process technologies
  - Visual appearance matching control management

# Modeling and prediction of visual appearance

- Color formulation of special-effect pigments
  - Calculation of color recipes (concentrations) for obtaining the final desired color (and texture)





# Modeling and prediction of visual appearance

- Coarseness (graininess) model from AZKNCoatings:

**AkzoNobel**  
Tomorrow's Answers Today

## DIFFUSE COARSENESS

$$\begin{aligned}
 DC_{LinReg1} = & \alpha_0 + \alpha_1 ConcC + \alpha_2 OM_{110} \Sigma K_{Metallic} + \alpha_3 OM_{110} \Sigma K_{pearl} + \alpha_4 OM_{110} \Sigma S_{Metallic} \\
 & + \alpha_5 OM_{110} \Sigma S_{pearl} + \alpha_6 OM_{25} \Sigma \left( \frac{1}{R} \right) + \alpha_7 OM_{25} \Sigma (R) + \alpha_8 OM_{25} \Sigma (R^2) + \alpha_9 OM_{25} \Sigma S_{Metallic} \\
 & + \alpha_{10} OM_{25} \Sigma (\sqrt{R}) + \alpha_{11} OM_{45} L^* + \alpha_{12} OM_{45} \Sigma K_{Metallic} + \alpha_{13} OM_{45} \Sigma (R) + \alpha_{14} OM_{45} \Sigma S_{Metallic} \\
 & + \alpha_{15} OM_{45} \Sigma S_{pearl} + \alpha_{16} OM_{45} \Sigma (\sqrt{R}) + \alpha_{17} OM_{25} \Sigma K / \Sigma S
 \end{aligned}$$

**OM:** Optical Model

**ConC:** Summed concentration of the non-effect toners in the formula

**OMgeoKMetallic:** Summed ci-Ki values at a given geometry for metallic toners.

**OMgeoSMetallic:** Summed ci-Si values at a given geometry for metallic toners.

**OMgeoKPearl:** Summed ci-Ki values at a given geometry for Pearl toners.

**OMgeoSPearl:** Summed ci-Si values at a given geometry for Pearl toners.

**OMgeoΣR:** predicted reflection values summed over all wavelengths at geometry.

**OMgeoL\*:** predicted CIE lightness at a geometry



# Modeling and prediction of visual appearance

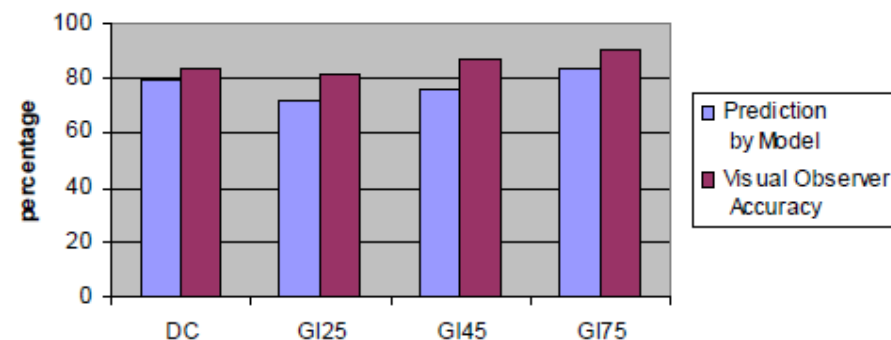
- Glint (sparkle) model from AZKNCoatings:

**AkzoNobel**  
Tomorrow's Answers Today

## GLINT IMPRESSION MODEL

$$\begin{aligned}
 GI_{LinReg1} = & \alpha_0 + \alpha_1 ConcC + \alpha_2 ConcPearl + \alpha_3 OM_{110} CieC + \alpha_4 OM_{110} Ciel + \alpha_5 OM_{110} \sum K_{Metallic} \\
 & + \alpha_6 OM_{110} \sum K_{pearl} + \alpha_7 OM_{110} \sum R + \alpha_8 OM_{110} \sum R^2 + \alpha_9 OM_{110} \sum S_{Metallic} + \alpha_{10} OM_{110} \sum S_{pearl} + \alpha_{11} OM_{110} \sum \sqrt{R} + \\
 & \alpha_{12} OM_{110} \sum S_{solid} + \alpha_{13} OM_{110} X + \alpha_{14} OM_{110} Y + \alpha_{15} OM_{25} Ciea + \alpha_{16} OM_{25} Cieb + \alpha_{17} OM_{25} Cieh \\
 & + \alpha_{18} OM_{25} \sum K_{Metallic} + \alpha_{19} OM_{25} \sum K_{pearl} + \alpha_{20} OM_{25} \sum K_{solid} + \alpha_{21} OM_{25} \sum S_{metallic} + \alpha_{22} OM_{25} \sum S_{solid} / \sum K_{solid} \\
 & + \alpha_{23} OM_{25} X + \alpha_{24} OM_{25} Y + \alpha_{25} OM_{45} Ciea + \alpha_{26} OM_{45} CieC + \alpha_{27} OM_{45} Ciel + \alpha_{28} OM_{45} \sum K_{Metallic} \\
 & + \alpha_{29} OM_{45} \sum K_{pearl} + \alpha_{30} OM_{45} \sum R + \alpha_{31} OM_{45} \sum R^2 + \alpha_{32} OM_{45} \sum S_{Metallic} + \alpha_{33} OM_{45} \sum S_{pearl} \\
 & + \alpha_{34} OM_{45} \sum (\sqrt{R}) + \alpha_{35} OM_{45} \sum S_{solid} + \alpha_{36} OM_{45} Z + \alpha_{37} OM_{25} \sum K / \sum S + \alpha_{38} OM_{45} \sum K / \sum S
 \end{aligned}$$

X, Y Z are the tristimulus values corresponding to each angle and L, a, b, C and h are the color parameters



# Modeling and prediction of visual appearance

- Total color + texture difference formulae:
- From Zhejiang & Leeds: Chinese Opt. Lett. 2010
  - Diffuse vs. directional illumination:

$$\Delta T = \sqrt{\sum_{i=1}^6 c_i (\Delta E^*_i)^2 + c_7 (\Delta \text{Coarseness})^2}$$

$$\Delta T = \sqrt{c_1 (c_2 \Delta L^{*2} + \Delta a^{*2} + \Delta b^{*2}) + c_3 \Delta \text{Glnt}^2}$$

# Modeling and prediction of visual appearance

- Total color + texture difference formulae:
- From AzkoNobel Car Refinishes: Col Res Appl 2011
  - Visual assessment of color and texture vs. total difference formula:

$$CDG = a + \sum_{i=1}^6 b_i \cdot dE_i + \sum_{j=1}^3 c_j \cdot \Delta GI_j + d \cdot \Delta DC$$

$$TADiF = \sum_{i=1}^6 b'_i \cdot dE_i + c'_1 \cdot \Delta GI_1 + c'_3 \cdot \Delta GI_3 + d' \cdot \Delta DC$$

$$\text{with } \sum_{i=1}^6 b'_i + c'_1 + c'_3 + d' = 1 \quad (5)$$

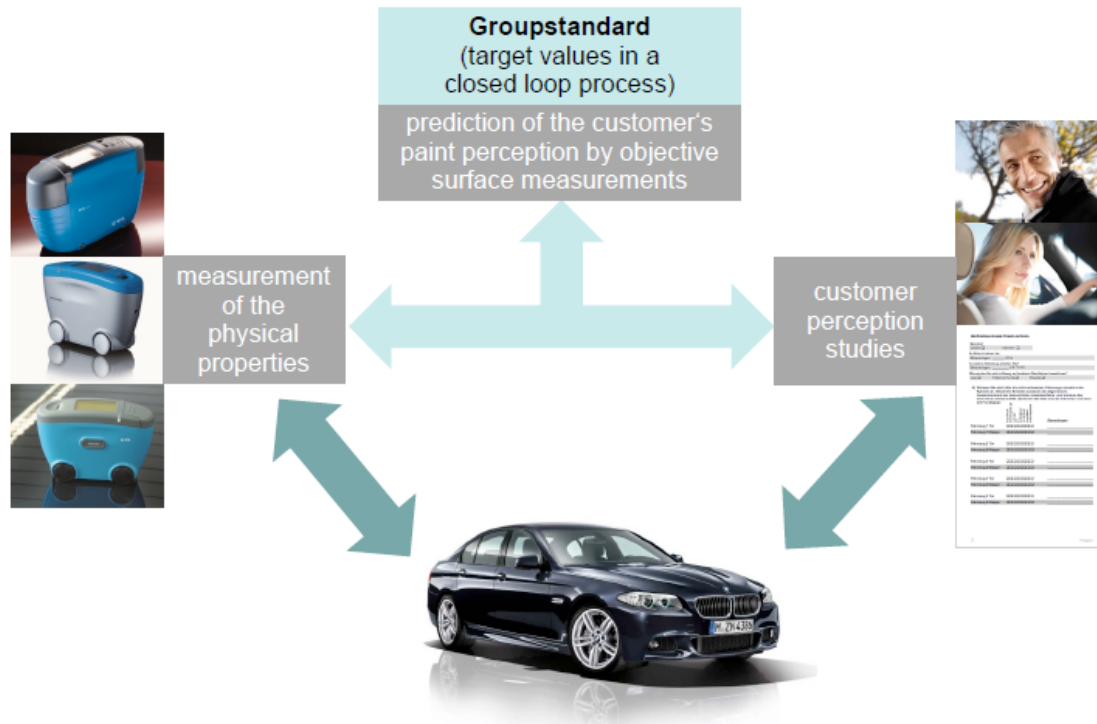
# Visual scales of color + texture

- Customer as a sensor, by BMW:

9th BYK-Gardner  
User Meeting  
BMW Group  
Surface Technology  
2010-11-16/17  
Page 7

**Closed-loop process control.**

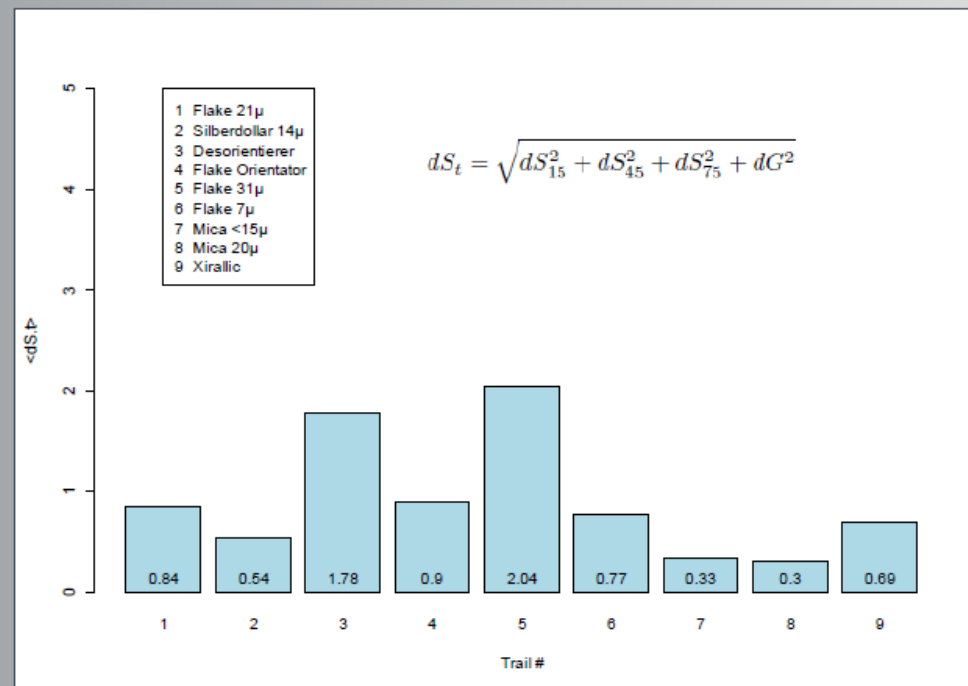
**3. The customer as a sensor.**



# Visual scales of color + texture

- Total difference of sparkling, by Audi:
  - Why euclidean?

Silver Formulation – total Sparkling Difference



# Visual scales of color + texture

- Evaluation of mottling (cloudiness), by BASF Coat.

## Color homogeneity of effect basecoats

### Mottling

- Definition of mottling
  - macroscopic bright / dark shading of the basecoat (angle-dependent characteristic)
    - local differences regarding the orientation of the effect pigment particles
    - tinted color shades may additionally show shadings of the tone
- Main causes for imperfect alignment of aluminum flakes
  - critical application parameter
  - application pattern too big (overlap <3)
  - wet and dry areas
  - deviations of the layer thickness
  - insufficient hiding power

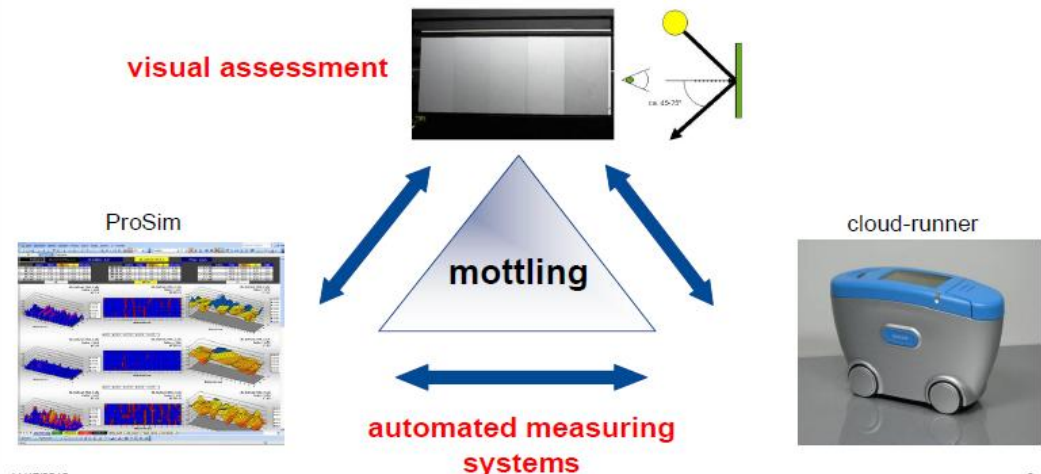
11/17/2010

## Visual assessment of mottling

### Alternatives

**BASF**  
The Chemical Company

- Target: objective assessment of mottling by using a measuring method that is in accordance with the visual impression



11/17/2010

6



# **New materials**

## **and process technologies**

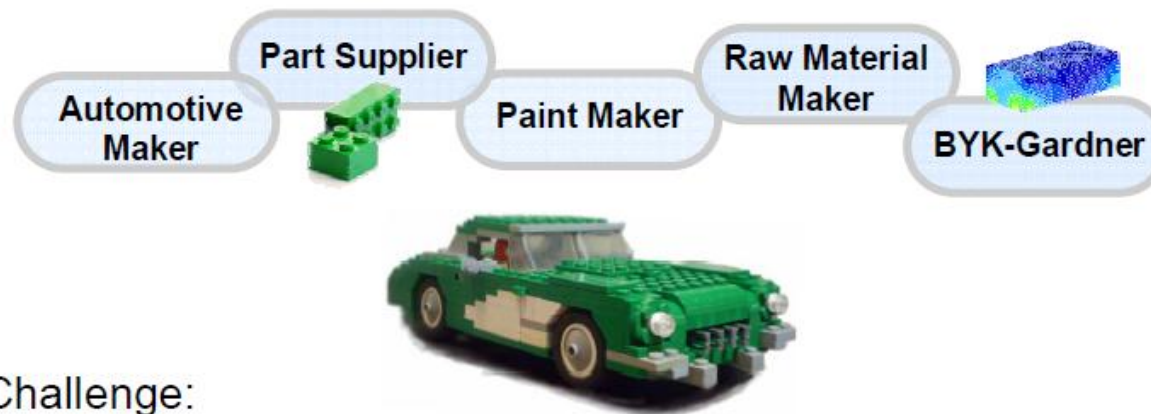
- **Design and fabrication of special colors outside MacAdam color solid ??**
  - **Prediction model for these special colors?**
  - **Visual anisotropies ??**
- **New special-effect pigments from Nature ??**
- **Processing control of special-effect pigments**
  - **Better management of reproducibility in fabrication**



# Visual appearance matching control management

Goal of today and tomorrow

→ Uniformity and Top Quality



Challenge:

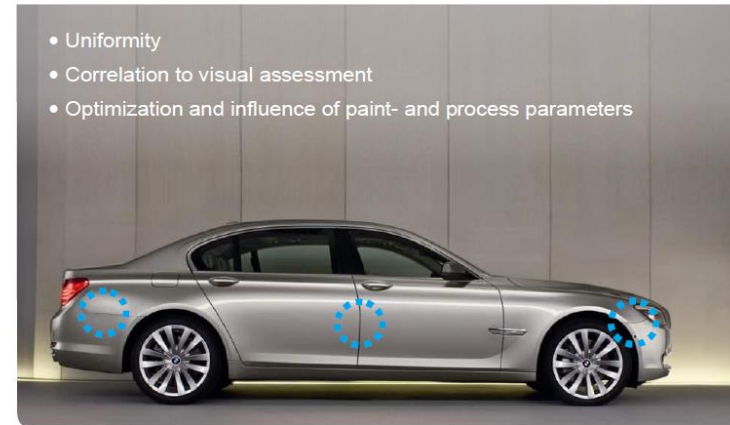
- New material and process technologies
- Efficient color & appearance control management

The whole is more than the sum of the individual parts.



9th BYK-Gardner User Meeting  
Harmony: Uniform Color & Appearance

- Uniformity
- Correlation to visual assessment
- Optimization and influence of paint- and process parameters



# Conclusions

- **Goniochromism is a very active field of color science & technology with large impact in automotive industry and affine sectors**
- **Spectral and color characterization of special-effect pigments is complicated, as well as its visual appearance (color + texture)**
- **Many challenges for next decades, which needs the effort and coordination of companies and research centers (universities, etc.)**

# References

- All contributions of W.R. Cramer, worldwide color consultant in special-effect pigments (1999 - ).
- Contributions shown in the past 9<sup>th</sup> BYK-Gardner User's meeting (Bad Tölz, November 2010).
- G. Pfaff: *Special Effect Pigments*. Norwich: William Andrew Publishers, 2008.
- E. B. Faulkner & R.J. Schwartz: *High Performance Pigments*, 2<sup>nd</sup> ed. Weinheim: Wiley-VCH, 2009.
- H.J. Streitberger, K.F. Dössel: *Automotive Paints and Coatings*, 2<sup>nd</sup> ed. Weinheim: Wiley-VCH, 2008.

# Additional references

- **G.A. Klein: *Industrial Color Physics*. New York: Springer, 2010.**
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# ¡¡ Thank you for your attention !!

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